

Research Paper

# GSTP1 Ile105Val polymorphism might be associated with the risk of radiation pneumonitis among lung cancer patients in Chinese population: A prospective study

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## Abstract

**Background:** Growing data suggest that DNA damage repair and detoxification pathways play crucial roles in radiation-induced toxicities. To determine whether common functional single-nucleotide polymorphisms (SNPs) in candidate genes from these pathways can be used as predictors of radiation pneumonitis (RP), we conducted a prospective study to evaluate the associations between functional SNPs and risk of RP.

**Methods:** We recruited a total of 149 lung cancer patients who had received intensity modulated radiation therapy (IMRT). GSTP1 and XRCC1 were genotyped using the SurPlex<sup>TM</sup>-xTAG method in all patients. RP events were prospectively scored using the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE), version 4.0. Kaplan-Meier analysis was used to determine the cumulative probability of RP of grade  $\geq 2$ . Cox proportional hazard regression was performed to identify clinical variables and SNPs associated with risk of RP grade  $\geq 2$ , using univariate and multivariate analysis, respectively.

**Results:** With a median follow-up of 9 months, the incidence of RP of grade  $\geq 2$  was 38.3%. A predicting role in RP was observed for the GSTP1 SNP (adjusted hazard ratio 3.543; 95% CI 1.770-7.092; adjusted  $P < 0.001$  for the Ile/Val and Val/Val genotypes versus Ile/Ile genotype). Whereas, we found that patients with XRCC1 399Arg/Gln and Gln/Gln genotypes had a lower risk of RP compares with those carrying Arg/Arg genotype (adjusted HR 0.653; 95% CI 0.342-1.245), but with no statistical significance observed (adjusted  $P = 0.195$ ).

**Conclusions:** Our results suggested a novel association between GSTP1 SNP 105Ile/Val and risk of RP development, which suggests the potential use of this genetic polymorphism as a predictor of RP. In addition, genetic polymorphisms of XRCC1 399Arg/Gln may also be associated with RP.

Key words: Radiation pneumonitis; Genetic polymorphism; GSTP1; XRCC1; Lung cancer

## Introduction

Lung cancer is one of the most prevalent cancers and the leading cause of cancer-related deaths in

China and worldwide [1-2]. Radiation therapy, a key cancer treatment modality, plays a remarkable role in

lung cancer management. Radiation pneumonitis (RP) is one of the most critical dose-limiting toxicities of thoracic radiation, with 5%-36% of patients experiencing symptomatic (grade 2-5) RP [3-4]. The mechanisms underlying RP currently remain to be understood. Numerous factors have been associated with RP and used to estimate the risk of RP. These factors include patient-related factors such as age, sex, smoking status, and pulmonary function, and treatment-related factors, such as radiation dose, irradiated lung volume, surgery, and chemotherapy [5-7]. However, despite these associations, it still is difficult to predict the occurrence of RP for individual patients. One possible explanation is genetic components, which has been associated with the development of adverse radiation responses [8]. As for RP, several studies have revealed its close association with genetic polymorphisms [4, 9-12]. However, these results are not conclusive, and the specific molecular mechanisms underlying RP remain unclear.

Increasing evidence suggests that glutathione S-transferase (GST) polymorphism and expression level can regulate the oxidative stress level and outcome of lung injuries [13-16]. The GSTs identified so far can be categorized into four major classes,  $\alpha$ ,  $\mu$ ,  $\theta$  and  $\pi$ , based on biochemical characteristics [17]. GSTs in the human  $\alpha$ ,  $\mu$  and  $\theta$  families are encoded by different genes, while the GST $\pi$  enzymes are encoded by a single gene, GSTP1. GSTP1 is expressed in epithelial tissues in human, and is the dominant GST enzyme in the lungs [18]. Several GSTP1 variants have been described, including one bearing a single nucleotide polymorphism in exon 5 (A/G, Ile105Val, rs1695). However, not much is known about the roles the GSTP1 polymorphism plays in RP. X-ray repair cross-complementing group 1 (XRCC1) is the first gene reported to modulate cell sensitivity to ionizing radiation. A series of recent advances showed that single nucleotide polymorphisms (SNPs) of XRCC1 were related to a host of radiation-related side effects. Among these SNPs, XRCC1 Arg399Gln was the most common polymorphism and has been extensively investigated. However, some results were contradictory, and the conclusions are still in debate [19-24]. In this study, we investigated the association between the risk of radiation pneumonitis in Chinese lung cancer patients and two common SNPs, GSTP1 Ile105Val and XRCC1 Arg399Gln.

## Materials and Methods

### Patients

From April 2014 to March 2016, 149 lung cancer patients treated with radiotherapy in Chinese PLA

General Hospital (Beijing, China) were recruited with the following inclusion criteria. Lung cancer, including non-small cell lung and small cell lung cancer, has been histologically or cytologically confirmed. There was no previous or other on-going thoracic radiotherapy. There was no severe radiotherapy contraindication for the patient. The Karnofsky performance status (KPS) score was not lower than 60. Pulmonary function tests before radiotherapy were not mandatory but strongly recommended. Symptom evaluation for each patient was performed in advance. Patients' characteristics and their outcomes were unknown to the investigators performing genetic analysis. The results of genotyping were disclosed to clinical investigators after data analysis. This research protocol was approved by the Internal Review Board of Chinese PLA General Hospital (Beijing, China), and was conducted in agreement with the Helsinki Declaration. Written informed consent was obtained from each patient before entry into the study and sample collection.

### Radiation treatment

All patients received image-guided IMRT with 6-MV photo beam. Patients underwent computed tomography (CT)-based treatment simulation in the supine position while immobilized in an upper body. Then, the CT images were transmitted to the Pinnacle planning system (version 9.2, Philips), where the target volume and critical normal organs were delineated. The total lung volume was then calculated as lung volume minus the tumor volume. Afterwards, the IMRT plan was generated and optimized using the Pinnacle planning system, and the doses were calculated using the collapsed cone convolution dose algorithm. Plans for each patient were optimized by direct parameter optimization, based on target volume and locations of the organs at risk. Volume of lung areas receiving radiation of  $> 20$  Gy (V20) was limited to 25-35% of the total lung volume. The image-guided IMRT was delivered using the two predefined linear accelerators (Elekta Synergy and Varian Clinac iX). A total dose of 30-72 Gy, at a rate of 1.8-3 Gy per fraction, was given once per day, five per week.

### Pulmonary toxicity assessment and follow-up

All patients were evaluated weekly during radiation and 4-6 weeks after completion of treatment. Afterwards, patients were visited every 3 months for the first 2 years and then every 6 months. Additional extra visits were required if symptoms occurred. CT examinations were performed upon each follow-up visit after completion of radiotherapy.

RP was diagnosed by clinical manifestations (e.g. dyspnoea, cough, pain and low-grade fever) and radiological findings. Once diagnosed, RP was further graded by at least two radiation oncologists following the Common Toxicity Criteria for Adverse Events (CTCAE) version 4 [25]. Criteria for each grade were as follows. Grade 0, no change. Grade 1, RP was asymptomatic and can only be observed in radiographic findings. No intervention was indicated. Grade 2, patient manifested symptoms that limit activities of daily living (ADL). Medical intervention has been indicated. Grade 3, patient manifested severe symptoms limiting self-care ADL. Oxygen has been indicated. Grade 4, patient manifested life-threatening respiratory compromise with urgent intervention indicated (e.g. tracheotomy and intubation). Grade 5, RP has caused lethality. If the symptoms were present at baseline, worsening of symptoms of at least one grade was considered as RP. In addition, if there was pulmonary infection or thoracic disease progression, RP was excluded from the diagnosis. The diagnosis of RP of grade  $\geq 2$  was defined as the primary end point. The time to endpoint was calculated from the beginning of radiation. Patients were censored at the time of the last follow-up visit or death.

### DNA extraction and genotyping

The SNPs selected and their dbSNP ID (rs) were as follows: GSTP1-Ile105Val (rs1695), and XRCC1-Arg399Gln (rs25487). Blood sample was collected from each patient before radiotherapy. Total genomic DNA from peripheral blood leukocytes was extracted with the Maxwell system (Promega, Madison, WI, USA). The SNP status was analyzed with the SurPlex<sup>TM</sup>-xTAG platform (Surexam, Guangzhou, China) as described by Zhu *et al* [26]. Briefly, target genes were amplified with PCR and cleaned up with Exonuclease I and shrimp alkaline phosphatase (EXO-SAP) to remove excess nucleotides and primers. Then, the target genes were amplified again with 70 allele-specific primers linked to 70 universal tags. Using these tags, the amplified alleles were hybridized onto beads and analyzed with Luminex. The median fluorescence intensity was measured and analyzed. For quality control, samples were randomly selected and sent to independent services for DNA sequencing analysis.

Multiplex PCR was performed in a 50  $\mu$ l volume containing: 1x Ex Taq polymerase buffer, 2.5 mM MgCl<sub>2</sub>, 0.2 mM dNTPs, 0.2 mM each of the primers, 1.0 U Ex Taq HS DNA polymerase (TaKaRa) and 10  $\mu$ l DNA. Thermo cycling was performed using 30 cycles of 95°C for 30 s, 56°C for 30 s, and 72°C for 30 s. The reaction was concluded with a final extension step of 72°C for 10 min and the product was kept at 4°C until

use. A similar approach was used to enrich DNA fragments of GSTP1 and XRCC1 genes using 3 mM MgCl<sub>2</sub>. Exonuclease I and shrimp alkaline phosphatase (EXO-SAP) reaction was performed in a 25  $\mu$ l volume containing: 1x SAP buffer, 1 U shrimp alkaline phosphatase (TaKaRa), 10 U exonuclease I (degrading any remaining PCR primers) (New England Biolabs, Ipswich, USA) and 7.5  $\mu$ l PCR product. Samples were then incubated at 37°C for 30 min, followed by 20 min incubation at 80°C to inactivate the enzymes. Multiplex ASPE was carried out using 5  $\mu$ l of treated PCR product in a final volume of 20  $\mu$ l. Each reaction consisted of SAP buffer, 1.25 mM MgCl<sub>2</sub>, 5 mM biotin-dCTP, 5 mM each of dATP, dTTP, dGTP; 0.75 U of Platinum Tsp; and 25 nM ASPE primer pool. The ASPE reactions were incubated at 96°C for 2 min and then subject to 40 cycles at 94°C for 30 s, 52°C for 1 min, and 74°C for 2 min.

### Statistical analysis

Patients were grouped based on their genotypes. Cox proportional hazard models were performed to identify clinical variables and genotypes associated with risk of RP, using univariate and multivariate analysis, respectively. Kaplan-Meier analysis was performed to assess the effects of different genotypes on the cumulative probability of RP. All statistical tests were two-sided, and P values < 0.05 were considered statistically significance. Statistical analyses were performed using the IBM SPSS Statistics platform (Version 20, SPSS Science, IL, USA).

## Results

### Characteristics of patients and clinical outcome

The demographic and clinical information of the 149 recruited lung cancer patients were summarized in Table 1. The median age was 60 years old (age ranges from 24 to 84 years old). There were 127 men (85.2%) and 22 women (14.8%). In terms of histology, 30.2% of the patients were diagnosed with squamous cell carcinoma (45 cases), 18.8% with adenocarcinoma (28 cases), and 38.5% with small cell cancer (57 cases). Over half of patients (65.2%) had stage III lung cancer, 28.2% with stage IV, and 10 patients with stage I-II. Of all patients, 71.1% were current or former smokers. Twenty-five patients (16.8%) were also diagnosed with chronic obstructive pulmonary disease (COPD). Notably, 13 patients (9.4%) had undergone surgery before radiotherapy. Most patients (89.9%) were treated with a combination of radiotherapy, chemotherapy, and target therapy. In the 121 patients who participated in pulmonary function tests, the

median force expiratory volume in 1 second (FEV1) and diffusion capacity for carbon monoxide of the lung (DLCO) was 2.58 L (range, 0.83-3.63) and 18.24 mL/min/mm Hg (5.82-33.01), respectively. Most patients (84.6%) received radiation dose above 60 Gy (median, 60 Gy; range 30-72 Gy). The median V20 was 22% (5.0-35.5), median V5 58% (15.0-92.7), and median mean lung dose (MLD) was 13.8 Gy (range, 4.2-34.9 Gy). The median follow-up time was 9 months (range 3-24 months) after the initiation of radiotherapy. Fifty-seven patients (38.3%) developed RP of grade  $\geq$  2, and the median interval before RP diagnosis was 2.6 months (range 1-6 months). In addition, 90 patients experienced RP of grade  $\geq$  1, while 10 patients suffering RP grade  $\geq$  3, and four patients died from severe RP.

## Genotype frequency

The genotype frequencies and distributions of the two SNPs are shown in Table 2. For GSTP1, 95 cases (63.8%) were of the genotype Ile/Ile, 49 (32.8%) were Ile/Val, and 5 (3.4%) were Val/Val. The frequency of the Ile allele and Val allele were 239 (80.2%) and 61 (19.8%), respectively. For XRCC1, 87 patients (58.4%) were Arg/Arg carriers, 52 (34.9%) were Arg/Gln, and 10 (6.7%) were Gln/Gln genotype carriers. The frequency of the Arg allele and Gln allele were 226 (75.2%) and 72 (24.2%), respectively. The allele frequencies of two SNPs observed in this study were all similar to those previously reported in Chinese population [17, 26-28].

**Table 1.** Patient demographics and clinical characteristics (N=149)

Parameter (Variable)	All Patients (n=149)	Grade0-1RP (n=92)	Grade $\geq$ 2RP (n=57)
<b>Sex</b>			
Male	127(85.2%)	82(89.1%)	45(78.9%)
Female	22(14.8%)	10(10.9%)	12(21.1%)
<b>KPS</b>			
<80	24 (16.1 %)	13(14.1%)	11(19.3%)
$\geq$ 80	125 (83.9%)	79(85.9%)	46(80.7%)
<b>Smoking Status</b>			
No	43(28.9%)	26(28.3%)	17(29.8%)
Yes	106(71.1%)	66(71.7%)	40(70.2%)
<b>COPD</b>			
No	124 (83.2%)	80(87.0%)	44(77.2%)
Yes	25 (16.6%)	12(13.0%)	13(12.8%)
<b>Tumor characteristics</b>			
<b>Histology</b>			
Squamous cell carcinoma	45(30.2%)	27(29.3%)	18(31.6%)
Adenocarcinoma	28(18.8%)	20(21.7%)	8(14.0%)
Small cell lung cancer	57(38.2%)	33(35.9%)	24(42.1%)
Others	19(12.8%)	12(13.1%)	7(12.3%)
<b>Stage</b>			
I- II	10(6.7%)	6(6.5%)	4(7.0%)
III	97(65.1%)	59(64.1%)	38(66.7%)
IV	42(28.2 %)	27(29.4%)	15(26.3%)
<b>Surgery</b>			
NO	136(90.6%)	85(92.4%)	51(89.5%)
YES	13(9.4%)	7(7.6%)	6(10.5%)
<b>Chemotherapy</b>			
No	15(10.1%)	7(7.6%)	8(14.0%)
Yes	134(89.9%)	85(92.4%)	49(86.0%)
<b>Median age (y)</b>	60(24-84)	59(24-84)	62(35-80)
<b>Median Radiation dose(range)(Gy)</b>	60(30-72Gy)	60(32-70)	61.6(30-72)
<b>Pre-RT pulmonary function</b>			
Median FEV1(range)(L)	2.52(0.83-3.63; n=121)	2.50(1.01-4.63; n=75)	2.53(0.83-3.42; n=46)
Median FVC(range)(L)	3.36(1.54-5.25;n=121)	3.46(1.54-5.25; n=75)	3.34(1.61-4.78; n=46)
Median DLCO(range) (mL/min/mmHg)	18.24(5.82-33.01; n=121)	18.04((5.82-33.01; n=75)	18.51(9.64-26.43;n=46)
<b>Bilateral Lung dose-volume histogram</b>			
Median V5 (range)	58.0%(15.0-92.7%)	58.0%(15.0-92.7%)	58.5%(29.0-91.9%)
Median V20(range)	22.0%(5.0-35.5%)	21.0%(5.0-29.6%)	23.5%(6.0-35.5%)
Median MLD (range)	13.8Gy(4.2-34.9Gy)	13.6Gy(5.3-22.4Gy)	14.2Gy(4.2-34.9Gy)

RP: radiation pneumonitis; KPS: Karnofsky performance status; COPD: chronic obstructive pulmonary disease; V5: volume of normal lung receiving 5Gy or more radiation; V20: volume of normal lung receiving 20 Gy or more radiation; MLD: mean lung dose; FEV1: forced expiratory volume in 1 second; FVC: forced vital capacity; DLCO: diffusion capacity for carbon monoxide of the lung.

**Table 2.** Genotypic and allelic frequencies of gene polymorphisms in this study

Gene	Exon	NCBI ds SNP ref: ID	Amino acid no.	Genotype	Number(%) 149(%)	Allele number(%) 298(%)
GSTP1	5	rs1695	105	A/A (Ile/Ile)	95(63.8)	A(Ile) 239(80.2)
				A/G (Ile/Val)	49(32.8)	G(Val) 61(19.8)
				G/G (Val/Val)	5(3.4)	
XRCC1	10	rs25487	399	G/G (Arg/Arg)	87(58.4)	G(Arg) 226(75.8)
				G/A (Arg/Gln)	52(34.9)	A(Gln) 72(24.2)
				A/A (Gln/Gln)	10(6.7)	

GSTP1: Glutathione S-transferase P1; XRCC1: X-ray repair cross-complementing group 1; dbSNP ref no.: National Center for Biotechnology Information Single Nucleotide Polymorphism database reference number (Ref SNP Cluster Report information available online at (<http://www.ncbi.nlm.nih.gov>)); Ile: isoleucine; Val: valine; Arg: arginine; Gln: glutamine.

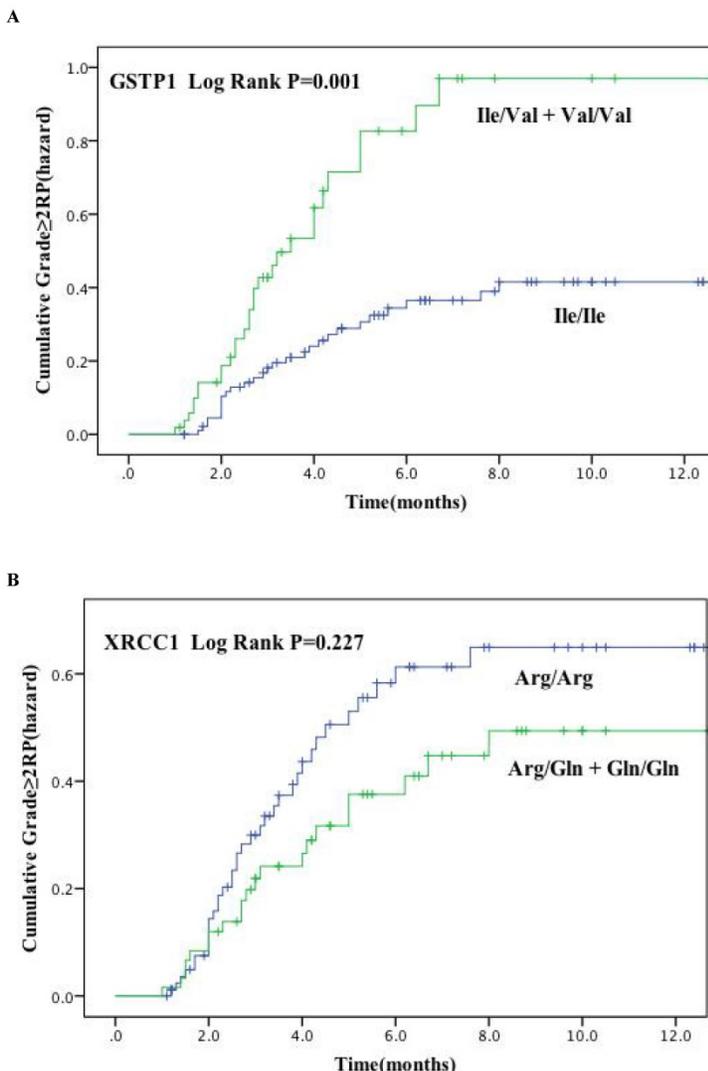
### Clinical factors and risk of RP

We assessed the associations between patient-, tumor-, and therapy-related factors and RP grade  $\geq 2$  by univariate and multivariate Cox regress analyses (Table 3). These factors include age, sex, race, KPS, tumor histology, disease stage, smoking status, COPD

history, surgery, chemotherapy, radiation dose, V20, V5, MLD, FEV1, forced vital capacity (FVC) and DLCO. Age and V20 were significantly associated with RP risk (grade  $\geq 2$ ), while surgery had a borderline significant effect ( $P = 0.062$ ) on RP development. Patients older than 60 years old had a higher risk of RP compared with those younger than 60 years, after adjustment for other covariates (HR 2.517, 95% CI 1.167-5.430,  $P = 0.019$ ). In addition, V20 also showed strong association with the risk of RP. No other analyzed clinical factors were observed to be associated with RP risk in this study population.

### Association between GSTP1 and XRCC1 and RP risk

Correlation between GSTP1 and XRCC1 SNPs and RP of grade  $\geq 2$  were analyzed using the Cox proportional hazards regression models. The results of the univariate and multivariate analyses are summarized in Table 4. Of note, of the five patients harboring GSTP1 the 105Val/Val genotype, four patients (80%) experienced grade  $\geq 2$  RP. Further statistical analysis revealed a significant association between GSTP1 105Ile/Val or Val/Val polymorphisms and development of RP of grade  $\geq 2$ . Multivariate model analysis showed compared to the GSTP1 105Ile/Ile genotype, carriers of the 105Ile/Val or 105Val/Val genotypes had a 3.141-fold (95% CI, 1.536-6.424; adjusted  $P = 0.002$ ) or 9.850-fold (95% CI, 2.411-40.242; adjusted  $P = 0.001$ ) increased risk for developing RP, respectively. When the GSTP1 Ile/Val and Val/Val variants were grouped for analysis, the hazard ratio (HR) was 3.543 (95% CI 1.770-7.092; adjusted  $P = 0.000$ ). In contrast, patients carrying XRCC1 399Arg/Gln or Gln/Gln variants did not show significantly decreased risk of RP (HR 0.652; 95% CI 0.329-1.291; adjusted  $P = 0.220$ ) or (HR 0.748, 95% CI 0.148-3.778; adjusted  $P = 0.726$ ) respectively. Similarly, combination of the

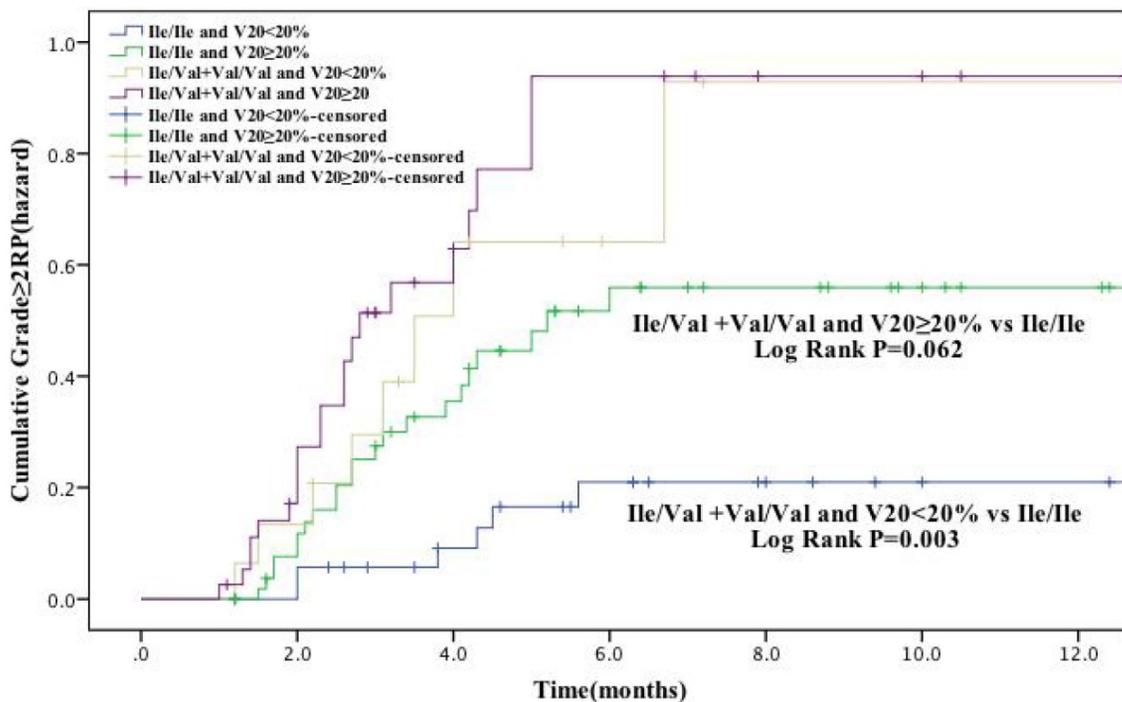


**Figure 1:** Cumulative probability of grade  $\geq 2$  RP as a function of time from the start of radiation therapy by genotypes. (A) GSTP1 rs1695: Ile105Val; (B) XRCC1 rs25487: Arg399Gln. The Ile/Val and Val/Val genotypes of GSTP1 were associated with a statistically significant higher incidence of RP compared with other genotypes.

XRCC1 399Arg/Gln and Gln/Gln genotypes, a reduced risk was observed compared with Arg/Arg carriers, albeit without achieving statistical significance (HR 0.653, 95% CI 0.342-1.245; adjusted  $P = 0.195$ ).

Figure. 1 plots the incidence of RP grade  $\geq 2$  as a function of time since radiation therapy according to these two genetic polymorphisms. Kaplan-Meier estimates indicated that patients with GSTP1 105Ile/Val and Val/Val variants had significantly higher risk of RP of grade  $\geq 2$  ( $P = 0.001$ ) (Figure. 1A). The cumulative incidence of RP (grade  $\geq 2$ ) at 3 months after radiotherapy for patients with the variant versus wild-type genotypes were 30.6% and 16.6%, and 59.2% and 34.8% at 6 months after radiotherapy, respectively. However, no significant findings were observed for the XRCC1 Arg399Gln polymorphisms (Log rank  $P = 0.277$ ) (Figure. 1B).

In our study population, V20 was identified as a factor significantly associated with RP risk (grade  $\geq 2$ ). Therefore, we further analyzed the cumulative RP incidence (grade  $\geq 2$ ) as a function of time according to genotype and V20 (Figure. 2). Patients with GSTP1 105Ile/Val and Val/Val genotypes and received V20  $<20\%$  had a remarkable higher RP incidence than Ile/Ile genotype carriers with V20  $<20\%$  ( $P = 0.003$ ). Moreover, there was also difference in RP incidence between these two patient groups with V20  $\geq 20\%$  ( $P = 0.062$ ), although with a borderline statistical significance. Altogether, these results suggest that the risk of development of RP (grade  $\geq 2$ ) in lung cancer receiving radiotherapy is associated with several factors, including patient age and V20, as well as genetic polymorphisms, including GSTP1 Ile105Val and possibly XRCC1 Arg399Gln.



**Figure 2:** The effects of single nucleotide polymorphism at GSTP1 Ile105Val with volume of normal lung receiving 20Gy or more radiation (V20)  $< 20\%$  the cumulative incidence of grade  $\geq 2$  RP. Patients with the Ile/Val and Val/Val genotypes of GSTP1 and V20  $< 20\%$  had a statistically significant higher incidence of grade  $\geq 2$  RP compared with Ile/Ile genotype. These association between GSTP1 rs1695: Ile105Val and risk grade  $\geq 2$  RP is independent of the V20.

**Table 3.** Association between patient-, tumor-, and treatment-related characteristics and grade  $\geq 2$ RP

Parameter (Variable)	Patients	Number of RPs(n=57)	Percent of Patients RPs	Univariate Analysis			Multivariate Analysis <sup>†</sup>		
				HR	95%CI	p	AHR	95%CI	p
Sex									
Male	127	45	35.4%	1.0			1.0		
Female	22	12	54.5%	1.370	0.725-2.590	0.333	1.888	0.605-5.891	0.274
Age(years)									
<60	71	24	33.8%	1.0			1.0		
$\geq 60$	78	33	42.3%	1.339	0.791-2.266	0.277	2.517	1.167-5.430	0.019
KPS									
<80	24	11	45.8%	1.0			1.0		
$\geq 80$	125	46	36.8%	0.622	0.343-1.279	0.220	0.935	0.393-2.222	0.879

Parameter (Variable)	Patients	Number of RPs(n=57)	Percent of Patients RPs	Univariate Analysis			Multivariate Analysis <sup>†</sup>		
				HR	95%CI	p	AHR	95%CI	p
Smoking Status									
NO	43	17	39.5%	1.0			1.0		
YES	106	40	37.7%	1.092	0.619-1.927	0.761	1.690	0.622-4.590	0.304
COPD									
No	124	44	35.5%	1.0			1.0		
Yes	25	13	52.0%	1.621	0.872-3.013	0.127	1.043	0.400-2.724	0.931
Tumor characteristics									
Histology									
Squamous cell carcinoma	45	18	40.0%	1.0			1.0		
Adenocarcinoma	28	8	28.6%	0.643	0.279-1.478	0.298	0.800	0.264-2.420	0.800
Small cell lung cancer	57	24	42.1%	0.978	0.531-1.803	0.943	1.244	0.560-2.765	0.591
Others	19	7	36.8%	0.898	0.375-2.151	0.810	1.368	0.465-4.028	0.569
Stage									
I-II	10	4	40.0%	1.0			1.0		
III	97	38	39.2%	1.101	0.393-3.087	0.854	0.909	0.232-3.558	0.891
IV	42	15	35.7%	0.941	0.312-2.838	0.914	0.967	0.233-4.008	0.963
Surgery									
NO	136	51	37.5%	1.0			1.0		
YES	13	6	46.2%	1.193	0.512-2.781	0.683	3.048	0.959-9.686	0.059
Chemotherapy									
NO	15	8	53.3%	1.0			1.0		
YES	134	49	36.6%	0.729	0.345-1.541	0.408	0.549	0.204-1.475	0.234
Radiation dose									
<60	23	7	30.4%	1.0			1.0		
≥60	126	50	39.7%	1.435	0.650-3.186	0.372	1.080	0.283-4.114	0.910
Bilateral Lung dose-volume histogram									
V5<58%	75	28	37.3%	1.0			1.0		
V5≥58%	74	29	39.2%	1.161	0.691-1.952	0.573	1.133	0.403-3.186	0.813
V20<20%	56	14	25.0%	1.0			1.0		
V20≥20%<25%	53	24	45.3%	2.043	1.057-3.950	0.034	2.971	1.085-8.133	0.034
V20≥25%	40	19	47.5%	2.182	1.094-4.354	0.027	5.810	1.391-24.27	0.016
MLD<13.8Gy	74	24	32.4%	1.0			1.0		
MLD≥13.8Gy	75	33	44.0%	1.372	0.811-2.321	0.239	1.605	0.666-3.872	0.292
FEV1(L),n=121									
<2.52	61	23	37.3%	1.0			1.0		
≥2.52	60	23	38.7%	1.219	0.683-2.174	0.503	1.449	0.569-3.691	0.437
FVC(L),n=121									
<3.36	60	24	40.0%	1.0			1.0		
≥3.36	61	22	36.1%	0.954	0.535-1.703	0.874	1.149	0.463-2.855	0.764
DLCO (mL/min/mmHg), n=121									
<18.24	61	21	34.4%	1.0			1.0		
≥18.24	60	25	41.7%	1.315	0.735-2.351	0.357	1.121	0.515-2.441	0.774

<sup>†</sup> Multivariate analyses were adjusted for all factors listed in Table.

RP: radiation pneumonitis; HR: hazard ratio; KPS: Karnofsky performance status; COPD: chronic obstructive pulmonary disease; V5: volume of normal lung receiving 5Gy or more radiation; V20: volume of normal lung receiving 20 Gy or more radiation; MLD: mean lung dose; FEV1: forced expiratory volume in 1 second; FVC: forced vital capacity; DLCO: diffusion capacity for carbon monoxide of the lung.

**Table 4.** Association between GSTP1 and XRCC1 and grade≥2RP

Parameter (Variable)	Patients	Number of RPs(n=57)	Percent of Patients RPs	Univariate Analysis			Multivariate Analysis <sup>†</sup>		
				HR	95%CI	p	AHR	95%CI	p
GSTP1Ile105Val <sup>‡</sup>									
Ile/Ile	95	28	29.5%	1.0			1.0		
Ile/Val	49	25	51.0%	2.286	1.329-3.931	0.003	3.141	1.536-6.424	0.002
Val/Val	5	4	80.0%	3.787	1.322-10.845	0.013	9.850	2.411-40.242	0.001
Ile/Val+Val/Val	54	29	53.7%	2.416	1.433-4.075	0.001	3.543	1.770-7.092	0.000
XRCC1Agr399Gln <sup>‡</sup>									
Arg/Arg	87	36	41.4%	1.0			1.0		
Arg/Gln	52	18	34.6%	0.732	0.416-1.290	0.281	0.652	0.329-1.291	0.220
Gln/Gln	10	3	30.0%	0.653	0.201-2.122	0.479	0.748	0.148-3.778	0.726
Arg/Gln+Gln/Gln	62	21	33.9%	0.720	0.420-1.233	0.231	0.653	0.342-1.245	0.195

RP: radiation pneumonitis; HR: hazard ratio; GSTP1: Glutathione S-transferase P1; XRCC1: X-ray repair cross-complementing group 1; Ile: isoleucine; Val: valine; Arg: arginine; Gln: glutamine.

<sup>†</sup> Multivariate analyses in this table were adjusted for all factors listed in Table 3.

<sup>‡</sup> Either GSTP1 or XRCC1 was independently entered into a multivariate model that adjusted for all factors listed in Table 3.

## Discussion

It has been well established that radiation therapy exerts its cytotoxic effects either directly, by attacking cellular macromolecules such as DNA, or indirectly by inducing reactive oxygen species (ROS) production and consequent oxidative damage [29]. Therefore, the expression levels and activities of key players in the DNA damage repair and anti-oxidant defense networks are crucial in determining the response of irradiated tissues. An important aspect of gene function, gene polymorphism, has been suggested to regulate radiosensitivity in either a generalized or a tissue- or cell-type-specific manner [30]. Radiation-induced injuries are mostly localized to normal tissues and organs exposed to irradiation, suggesting the significance of tissue- or cell-type-specific modulation of radiosensitivity by genetic polymorphisms in lung cancer patients [31]. Lots of publications have focused on studying the relationship between SNPs and lung cancer, such as radiotherapy or chemotherapy resistance [13-16], but few of them tried to clarify association of SNPs and radiation pneumonitis (RP). In this study, our data revealed that GSTP1 Ile105Val polymorphism might be a biomarker for predicting the risk of radiation pneumonitis among lung cancer patients in Chinese population.

GSTs play a vital role in the detoxification of and protection against radiation-induced oxidative damages in biological molecules, including DNA and proteins [17]. Glutathione S-transferase-P1 (GSTP1) is the most abundantly expressed GST, accounting for 83% of all GST isoenzymes, and functions as the most important detoxification enzyme in the lungs [18]. The encoding gene, GSTP1, is a polymorphic gene located to chromosome 11, and has been implicated in the regulation of cell proliferation, apoptosis, stress response, phase II metabolism, oncogenesis, tumor progression and drug resistance.

To date, two variants of this gene have been discovered, as results of A1578G transition (exon 5, A313G, rs1695) and C2293T transition (exon 6, C341T, rs1138272), leading to amino acid residue substitutions Ile105Val and Ala114Val, respectively. Both amino acid residues are located within the active site of GSTP1 [17-18,32]. Moreover, GSTP1 exon 5 polymorphism was found to dampen GSTP1 enzymatic activity, whereas the exon 6 polymorphism had no apparent effects on enzyme activity [32-33]. Compared with the wide-type Ile/Ile genotype of GSTP1, the Ile105Val variant exhibited a reduced enzymatic activity by 50%-70% [32], thereby putting this SNP under the limelight in several recent studies. Accumulating data has suggested that GSTP1

Ile105Val polymorphism may contribute to the risks of lung cancer and multidrug resistance [27, 34]. However, the results are still not conclusive. As Ile105Val allelic variant, with decreased anti-oxidant activity, may lead to increased susceptibility to oxidative DNA damage. It is therefore conceivable that the GSTP1 Ile105Val SNP can be associated with the normal tissue response to radiation, especially in the lung. However, there has been so far no report on the relationship of genetic polymorphisms of GSTP1 and the risk of RP in lung cancer patients. Our finding suggests that the low activity variant of GSTP1 is strongly associated with a significantly increasing risk for RP in Han Chinese patients with lung cancer, which is in consistent with previous reports in breast cancer [19,35-37]. In addition, our novel findings also suggest the potential application of GSTP1 in customizing radiotherapy regimens for lung cancer patients. At the same time, most studies were carried on within western population, while our samples were uniquely collected from Chinese Han population. Plenty of researches have proved that the prevalence of many diseases including RP among different races varies significantly, which may be caused by genetic diversity, and interestingly the frequency of GSTP1 Ile105Val polymorphism is quite different among races according to Exome Aggregation Consortium (ExAC) database which may partially explain why the prevalence of RP is different between east and west.

XRCC1 plays a prominent role in base excision repair (BER) to efficiently repair DNA damage induced by ionizing radiation, oxidative stress, and DNA alkylating agents [38]. XRCC1 is mapped to human chromosome 19q13.2-13.3[39], and shows three relatively common polymorphisms at codons 194 (Arg/Trp), 280 (Arg/His), and 399 (Arg/Gln) [40]. Arg399Gln (rs25487, G28152A) is the most frequently observed among these SNPs [41], and has been increasingly characterized as to its association with the risk of normal tissue injury after radiotherapy [11-12]. However, similar to GSTP1, there are contradicting reports regarding the validity of this association and its potential as a predictor. In a retrospective study conducted by Yin *et al.* [11], the XRCC1 399Gln variant was associated with a decreased risk of RP in patients with non-small-cell lung cancer (HR 0.48,  $P = 0.041$ ). In another report, Kelsey *et al.* performed a prospective study to examine the correlation between XRCC1 and radiation-induced lung injury in lung cancer patients receiving definitive radiotherapy. The results also suggested association between the 399Arg allele and increased radiosensitivity ( $P = 0.01$ ). Consistently with these reports, in this study, we found that patients

carrying XRCC1 399Gln variant had a lower risk for developing RP (HR 0.653; 95% CI 0.342–1.245) [11–12, 23–24], although the results did not establish statistical significance, in concert with the findings reported by Cheuk *et al* [24]. The possible reason for this discrepancy may be partly attributed to large ethnic differences in the frequency of XRCC1 Arg399Gln alleles.

Several considerations during conducting this study contributed to increase the clinical relevance of this study and our findings, including standardized data collection on side effects at defined time points during and after radiotherapy, and standardized RP classification system. Furthermore, patients received radiotherapy administered using image-guided IMRT, a state-of-the-art technology that allowed radiation delivery with more precise dosage and area targeting. Moreover, a few confounding factors, including tumor-, patient-, treatment-, and dosimetric-related factors, were taken into consideration in the Cox regression analysis in identifying the impact of the genetic components. Together, standardized experiment design and advanced radiotherapy technology help maximize the confidence and relevance of conclusions from this investigation. However, there are certain caveats in this study. For instance, the sample size is relatively small (149 patients), and a larger-scale study is warranted to confirm our findings in the future. Also, we selected GSTP1 and XRCC1 SNPs based on previous reports. A more comprehensive survey of more SNPs in other genes across the genome would most likely benefit the discovery of the association between risk of RP and other SNPs.

## Conclusion

In this prospective study, through analyzing the genotyping and following-up data of a total of 149 patients, we found a significant association between the GSTP1 Ile105Val polymorphism and the risk of RP. This association, which has never been reported, suggests potential clinical application of this SNP as a predictive biomarker for RP susceptibility in lung cancer patients. In addition, XRCC1 Arg399Gln appears to be associated with RP risk, although the results were not statistically significant. Together, these associations may help clinicians in optimizing radiotherapy regimen for individual patient with minimized risk of developing severe or even lethal radiation-induced toxicities. This novel, promising discoveries warrant confirmation by further investigations with large sample size.

## Abbreviations

SNPs: single-nucleotide polymorphisms; RP:

radiation pneumonitis; IMRT: intensity modulated radiation therapy; GST: glutathione S-transferase; GSTP1: Glutathione Stransferase-P1; XRCC1: cross-complementing group 1; KPS: Karnofsky performance status; CTCAE: Common Toxicity Criteria for Adverse Events; ADL: activities of daily living; COPD: chronic obstructive pulmonary disease; FEV1: force expiratory volume in 1 second; DLCO: diffusion capacity for carbon monoxide of the lung; MLD: median mean lung dose; FVC: forced vital capacity; HR: hazard ratio; ROS: reactive oxygen species; BER: base excision repair.

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## Competing Interests

The authors have declared that no competing interest exists.

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