

## Review

# Regulatory mechanisms and clinical perspectives of circRNA in digestive system neoplasms

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## Abstract

A new star, circular RNA (circRNA), is a class of noncoding RNA with a stable cyclic structure. Exonic circRNA mainly exists in the eukaryotic cytoplasm. Intronic circRNAs (ciRNA) and exonic circRNAs with introns (EiciRNA) are found in the nucleus. Recent evidences showed the functional diversity of circRNAs, which could be microRNA (miRNA) sponges, interact with protein or translate into small peptide. Due to the change of human eating habits, digestive cancer remains one of the most common cancers worldwide and it is prone to metastasis. Increasing studies have found a number of circRNAs using RNA sequencing technology and displayed double roles of circRNA in digestive cancer. In this review, we surveyed the biogenesis and regulation of circRNAs, discussed circRNA functions and clinical applications (especially circRNAs in exosome) in digestive cancers, which implied that circRNAs could be as potential biomarkers in diagnosis and treatment of digestive cancers in the future.

Key words: CircRNA, Digestive cancers, Biogenesis, Regulation, Clinical applications

## Introduction

In the 1970s, circRNAs were first reported in plant viroids [1]. Through observation using an electron microscope, circRNAs were proven to exist in the eukaryotic cytoplasm [2]. Moreover, ciRNA and EiciRNA were subsequently detected in the nucleus of eukaryotes [3-5]. CircRNAs were found as a class of noncoding RNA without 5' caps and 3' poly (A) tails [6]. Different from linear noncoding RNAs, such as long noncoding RNA (lncRNA) and miRNAs, circRNAs have a covalently closed ring shape and are insensitive to exonuclease R, which leads to the stabilization of the structure [7].

With the development of bioinformatics, most studies showed a variety of circRNA played an important role in various diseases. To explore the circRNA role in diabetes and depression, Jiang et al.

found 183 upregulated hsa-circRNAs and 64 downregulated hsa-circRNAs in the treatment group. KEGG results showed that potential circRNAs might be involved in the thyroid hormone, Wnt, ErbB, and mitogen-activated protein kinase signaling pathways [8]. Moreover, dysregulated circRNAs were implicated in osteogenesis, diabetes mellitus and brain dysfunction, especially cancer [9-11]. Recently, Huang et al. recognized several differentially expressed circRNAs using a circRNA microarray. Furthermore, circRNA\_100338, one of the upregulated circRNAs, promoted invasive potential of hepatitis B-related hepatocellular carcinoma [12]. CircFAT1 (e2), as a novel circular RNA, has been reported to inhibit gastric cancer progression [13]. One intronic circRNA derived from CTNBN1

(circ-CTNNB1) was upregulated in several cancer including gastric cancer, prostate cancer and colon cancer. Function identification showed that circ-CTNNB1 promoted  $\beta$ -catenin activation, growth, invasion, and metastasis in these cancer cells [14]. These studies suggested that circRNAs might be key regulators in cancer progression.

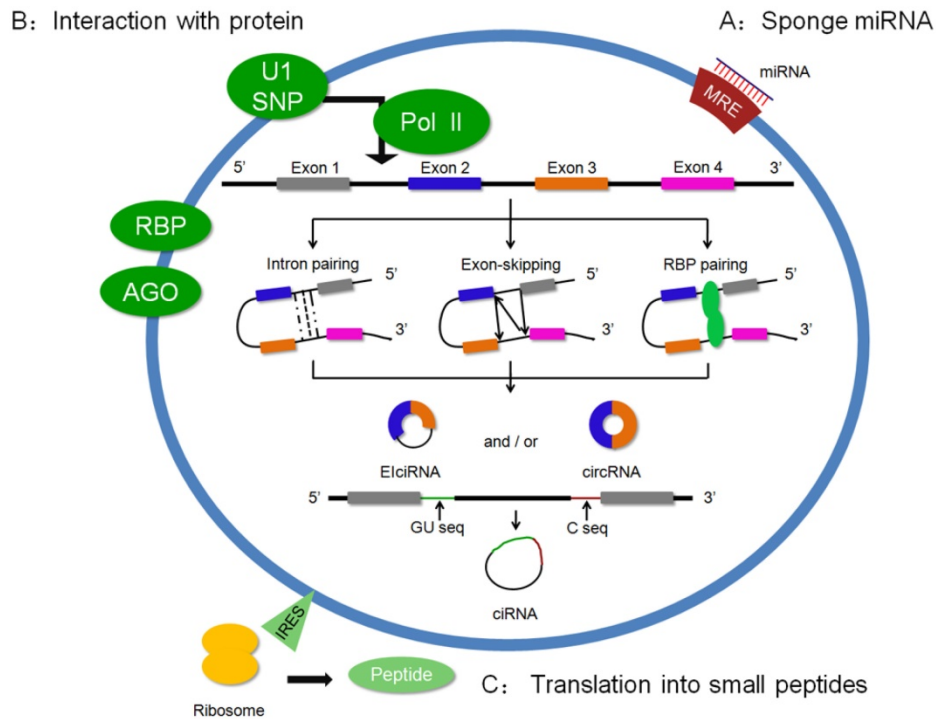
Due to the change of human eating habits, digestive cancers are very common in the world, such as colorectal cancer, gastric cancer and esophageal squamous cell carcinoma [15-17]. Therefore, it is essential for increasing the survival rate of digestive cancer patients via early diagnosis and therapy [18]. In this study, we summarized a series of studies on circRNA biogenesis and its regulation. We also discussed circRNA functions, mechanisms and potential clinical significance in digestive cancers.

### Biogenesis and regulations of circRNA

Increasing circRNAs were identified by microarray or high-throughput sequencing, but the biogenesis of circRNA is still elusive. Recently, some researchers have found that intron pairing, exon skipping, and RNA binding protein (RBP) pairing were the main reasons that drove the circularization of RNA (Figure 1) [7, 19-22]. In 2012, Jeck et al. identified a number of “backsplices” by high-throughput sequencing. The features of the circularized exons were driven by intron-pairing, which contained complementary ALU repeats using

bioinformatic analysis [23]. Additional evidence strengthened the present theory that reverse complementary matches (RCMs) in circRNA flanking introns are the key step of circRNA biogenesis, which was diminished by enzyme adenosine deaminase 1 (ADAR1) [22]. Exon-skipping events are another mainstream hypothesis for circularization. A study reported that exon-skipping promoted the shaping of a spliced lariat containing the circularized exon [20]. Moreover, RNA binding protein showed a potential induction in circRNA formation. Quaking is an RNA binding protein that affects the circRNA abundance related to the intronic Quaking binding motifs [21]. As a result, the flanking complementary sequences could promote back-splicing, further shaping circRNAs. Recently, Xiang et al. reported that the immune factors, NF90/NF110, enhanced the formation of back-splicing in the nucleus, which suggested that NF90/NF110 was involved in circRNA biogenesis [24]. Recently, the processing of circRNA was reported in eukaryotes. Ling et al. showed that circRNAs in eukaryotes were from pre-mRNA reverse splicing, and its productions were correlated with the extension rate of Pol II [25].

Based on the adequate biogenesis studies of circRNA, increasing studies have focused on its regulation (Figure 1). Currently, circRNAs play an important role via sponging miRNAs, interacting with proteins or being translated into functional small peptide [26-28].



**Figure 1.** Biogenesis and regulations of circRNAs. Three modes of circRNA biogenesis are shown in the ring. (a) Flanking intron pairing, which contains ALU repeats, drives the formation of backsplicing. (b) Lariat-driven circularization shapes a lariat. The lariat is spliced. 5'-GU-rich and 3'-C-rich regions assist intron circularization and shape ciRNAs. (c) RBP is involved in the circularization. Based on this, the regulation of circRNA is shown in A, B and C.

## miRNA sponge

It is well known that lncRNAs could be endogenous sponges that bind to their target miRNAs because of the presence of the microRNA response element (MRE) in lncRNA sequences [29]. Subsequently, Thomas B et al. proved that circRNAs are implicated in the ceRNA mechanism as an miRNA sponge. A previous study showed a high level of circRNA expression in mouse and human brains [28]. Further study found that a circRNA has more than 70 certain miRNA seed match segments and could be a sponge of miR-7 [27]. A world of circRNA functions was opened and increasing evidences had shown a wide range of regulation mechanisms by the circRNA-miRNA axis.

An exonic circRNA, ciRS-7, was found to have 63 conserved miRNA target sites of miR-7 and was bound by AGO complexes [30]. Monika et al. verified that ciRS-7 could be bound by AGO miRNA complexes with miR-7 and miR-671. Deficiency of the ciRS-7 gene led to the dysfunction of draining, including sensorimotor gating damage and abnormal synaptic transmission. A miR-7 target, the Fos gene, is upregulated in the ciRS-7 deficient group and showed a potential relationship with the behavioral phenotype in mouse [11]. Knockdown ciRS-7 or miR-7 showed that ciRS-7 was proposed as an anti-miRNA circRNA, which is crucial to normal brain function. Similarly, Kun et al. identified a heart-related circRNA, HRCR, which could be bound by miR-223. ARC was verified as a downstream target of miR-223 by RNAhybrid prediction and target protector technology. Overexpressed miR-223 promoted hypertrophy in the mouse heart and cardiomyocytes. Interestingly, enhanced HRCR expression showed a contrasting effect *in vivo* and *in vitro*, which implied that HRCR could restrain the development of heart disease [31]. In cancer, accumulated studies verified that circRNAs could function similar to ceRNA, regulating tumor progression. Upregulated circUBAP2 in human osteosarcoma indicated a poor patient prognosis. It accelerated tumor growth and suppressed apoptosis by sponging miR-143 and releasing the miR-143 direct target, anti-apoptotic Bcl-2 [32, 33]. Resembling circUBAP2, circ\_0001982 presented a tumor suppressor effect by sponging miR-143 in breast cancer cells [34]. CircRNA\_100290 was another identified circRNA in oral cancer by microarray technology. Through competitively binding miR-29b, silencing of circRNA\_100290 markedly inhibited the expression level of CDK6. As a target of miR-29b, CDK6 combined with cyclin promoted the cell cycle from G1 phase to S phase [35-37]. There are many studies of circRNA-miRNA

regulation. These studies suggested that circRNAs sponging miRNAs showed complex regulation networks in cancer and disease, and more ceRNAs of circRNAs will be found in the future.

## Interaction with protein

Previous studies have revealed that circRNAs could influence pre-mRNA and regulate parental gene expression. Ashwal-Fluss et al. found a conserved muscle-blind binding site in the flanking sequences of circRNA, circMbl. Interestingly, intensive research showed that MBL could specifically bind with circMbl and showed a forceful influence in the biosynthesis of circMbl. This study illustrated a function of circRNA in transcription via competing with pre-mRNA splicing [38]. The others of circRNA in regulating transcription display an effect on the parental gene. Cir-ITCH is one of the circRNAs that modulates the parental gene through sponging miRNA. Based on common miRNA binding sites in circITCH and the ITCH sequence, circITCH competitively bound with miR-7, miR-17, and miR-214, indirectly increasing ITCH expression [39]. As we showed, the sequence of EICiRNAs contained the exon and its flanking intron. A classical study reported that EICiRNAs, such as circEIF3J and circPIAP2 in the nucleus, promoted parental gene transcription via shaping EICiRNAs-U1 snRNP complexes and interacting with Pol II, which suggested that EICiRNAs could bind with the protein and had an effect on the regulation of transcription of the parental gene [5]. In addition, exonic circular RNAs, as regulators, play identical roles in combining with proteins. Upregulated circ-Foxo3 in non-cancer cells was correlated with the cell cycle. An interesting result showed that circ-Foxo3 bound to CDK2 and p21, forming an RNA-protein complex to arrest the cell cycle [40].

## Translation into small peptides

Recent studies indicated that circRNA could be translated into the protein. Additionally, a non-circle structure, internal ribosome entry site (IRES), is the key aspect of circRNA translation into a protein. Nagarjuna et al. found several translating circRNAs in the fly head. These circRNAs not only retained conservative termination codons in evolution but could also be bound to the ribosome utilizing the start codon of its host mRNA. This translation course was possibly regulated by starvation and FOXO [41]. Similar to the fly head, an endogenous circular RNA, FBXW7, contained an IRES, which could be encoded by a 21 kDa protein in the human brain. A functional study displayed the suppressor role of the FBXW7 protein in malignant phenotypes of human

glioblastoma [42]. These studies strongly proved that endogenous circRNAs had a translation capacity, which exploited a new field of circRNA function.

### Dysregulated circRNAs in digestive cancers

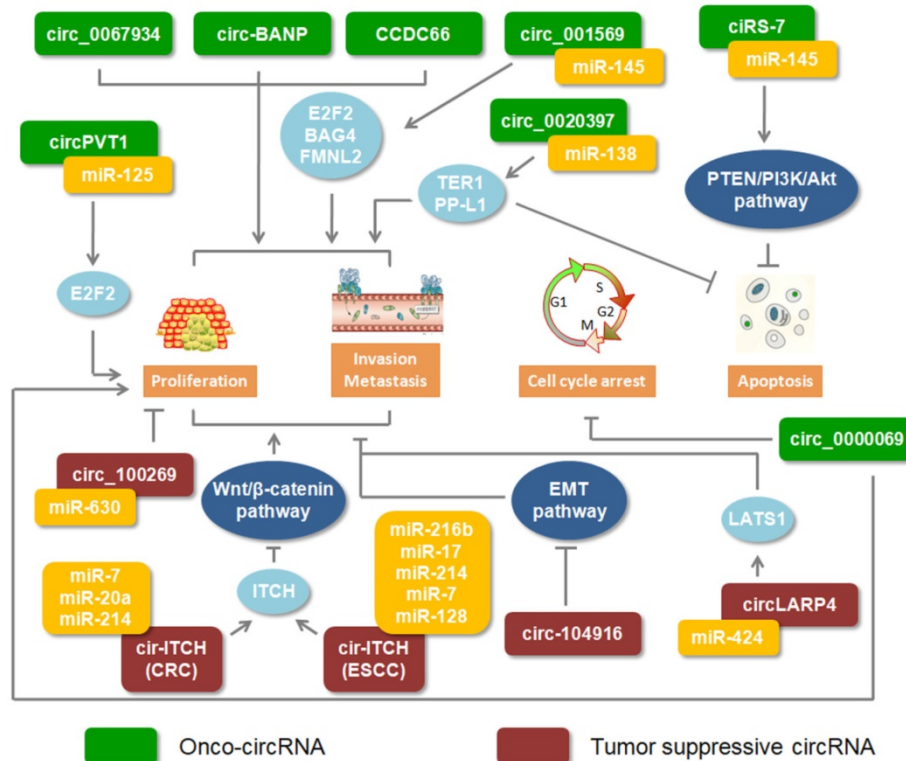
CircRNAs are a new member of the non-coding RNA family. Genome-wide statistical analysis promoted the discovery of circRNAs in multiple cancer tissues [12, 43]. Through microarray technology, 125 circRNAs were upregulated and 76 circRNAs were identified in paired colorectal cancer tissues and normal tissues [44]. Similarly, compared with the normal tissues, 522 downregulated and 191 upregulated circRNAs were identified in gastric cancer. Further online functional analysis showed that these dysregulated circRNAs were involved in carcinogenesis [45]. Radiotherapy is a primary method of oncotherapy. Acquired radioresistance led to a decline in patient survival. Recently, a study showed that dysregulated circRNAs were implicated in radioresistant esophageal cancer cells. Most of their target genes were enriched in the Wnt signaling pathway, which implied that these circRNAs exert their regulation in resistance to esophageal cancer through the Wnt signaling pathway [46]. As we showed, dysregulated circRNAs exist in digestive cancers and may play important roles in digestive cancers (Table 1).

**Table 1.** Dysregulated circRNA in digestive cancers.

circRNA	Location	Gene symbol	Cancer	Dysregulation	Ref
hsa_circ_0074362	chr5:142264862-142311690	ARHGA P26	Gastric cancer	Downregulated	[4, 7]
hsa_circ_0050520	chr14:20811436-20811559	RPPH1	Gastric cancer	Downregulated	[4, 8]
hsa_circ_0047905	chr18:61156579-61172318	SERPIN B5	Gastric cancer	Upregulated	[4, 9]
hsa_circ_00138960	chr9:74817486-74828907	GDA			
hsa_circ_00100745	chr17:20107645-20109225	SPECC1	Gastric cancer	Downregulated	[5, 10]
hsa_circ_0067934	chr3:170013698-170015181	PRKCI	Esophageal squamous cell carcinoma	Upregulated	[5, 11]
hsa_circ_00100677	chr16:16101672-16162159	ABCC1	Colorectal cancer	Upregulated	[5, 12]
hsa_circ_00103159	chr7:81689743-81746489	CACNA 2D1	Gastric cancer	Downregulated	[5, 13]
hsa_circ_00100190	chr1:224553580-224559125	CNIH4	Gastric cancer	Downregulated	[5, 14]
hsa_circ_00100069	chr1:47745912-47748131	STIL	Colorectal cancer	Upregulated	[5, 15]
hsa_circ_00101724	chr7:92462409-92463134	CDK6	Colorectal cancer	Upregulated	[5, 16]
hsa_circ_0026344	chr12:52314542-52317145	ACVRL 1	Colorectal cancer	Downregulated	[5, 17]

### Role of circular RNA in digestive cancers.

Recently, most studies showed that circRNAs are important regulators involved in cancer progression. Moreover, these circRNAs play double roles which could exert different functions through different regulations in digestive cancers (Figure 2).



**Figure 2.** Roles of circRNAs in digestive cancers. Green rectangles indicate onco-circRNAs. Red rectangles represent circRNA suppressors.

## Onco-circRNA

The expression of circular BANP was reported to be upregulated in colorectal cancer tissues compared to its adjacent normal tissues. Through cellular localization analysis, circ-BANP was shown to primarily exist in the cytoplasm. Silencing circ-BANP expression inhibited colorectal cell proliferation, which implied that circ-BANP was an oncogene in colorectal cancer [58]. High expression levels of circ\_0000069 in colorectal cancer performed a similar function in promoting cell proliferation, migration, and invasion [55]. In esophageal squamous cell carcinoma, increased circ\_0067934 was identified compared with adjacent normal tissues. The results of knocking down circ\_0067934 showed inhibiting effect on cancer cell proliferation and migration [51]. Increasing miRNAs were implicated in the regulation of circRNA. Based on RNA-Seq and functional analysis, circPVT1 was identified as an oncogene in gastric cancer. Moreover, miR-125 families could be bound by circPVT1 [59]. Another positive circRNA, circ\_001569 assisted the gene expression of miR-145 targets, E2F5, BAG4 and FMNL2 [52]. High expression level of circHIPK3 was identified in colorectal cancer and promoted the cancer growth and metastasis by sponging miR-7 [60]. In addition, circRNAs were involved in signaling pathways regulated by miRNA. Recently, the PTEN/PI3K/Akt signaling pathway was frequently discussed in cancer. Inhibiting PTEN, which attenuates the activity of Akt or increases PI3K and Akt, could block tumor cell apoptosis [61, 62]. Overexpression of ciRS-7 in gastric cancer could relieve the braking effect of miRNAs on regulating the PTEN/PI3K/Akt signaling pathway [63]. These evidences suggested that circRNAs could be as positive regulators to promote digestive cancer progression.

## Anti-oncogene circRNA

Adversely, few studies illustrated that certain circRNAs, as anti-oncogene, were involved in digestive cancers. There was low expression of circ-104916 in gastric cancer tissues. Overexpressed circ-104916 hindered cell proliferation, migration and invasion via regulating key factors of the EMT process [64]. By sponging onco-miRNA, upregulated circRNA\_100269 and circ-LARP4 inhibited gastric cancer cell proliferation and invasion *in vitro* [65, 66]. As we previously discussed, circ-ITCH implemented its function by modulating its parental genes via sponging miRNA. In colorectal cancer and esophageal squamous cell carcinoma, circ-ITCH played a suppressive role and bound to the miRNA, impeding the Wnt/ $\beta$ -Catenin pathway [39, 67]. CircITGA7 was found to suppress cell growth and metastasis via

inhibiting the Ras signalling pathway and promoting the transcription of its host gene ITGA7 in colorectal cancer [68]. Those results implied that circRNA could be as anti-oncogene to be involved in digestive cancer progression.

## Clinical application in digestive cancers

In recent years, digestive cancer has high morbidity and high mortality. Although most tumor markers have been found and applied in clinical detection, their sensitivity and veracity are still barely satisfactory. CircRNAs are novel noncoding RNAs with a number of observable and stable ectopic-circRNAs present in digestive cancer [30]. Yan et al. identified 48 dysregulated circRNAs and illustrated a tight link with four circRNAs and early recurrence in gastric cancer patients with stage III cancer after radical surgery [69]. Downregulated circ\_0000190 in gastric cancer indicated a correlation with tumor diameter, lymphatic metastasis, distal metastasis, TNM stage, and CA19-9 levels [54]. In colorectal cancer, circ\_001988 was reported as a new biomarker due to its significant clinical behavior [70]. These studies illustrate that circRNAs are promising biomarkers in the diagnosis and treatment digestive cancers based on their functions.

Exosomes is extracellular vesicles which secreted by many cell type. Then they release into the variety of body fluids, such as serum, saliva, urine, amniotic fluid and cerebrospinal fluid for intercellular communication [71-73]. Exosomes derived from cancer cells were found to have great clinical applications in cancer because they could be drivers containing biomacromolecules. Recently, a study reported that enriched and stable circRNAs were identified in the serum exosomes derived from colorectal cancer patient. The process of how circRNAs move from the cancer cell to the body fluid is assisted by the exosome [74]. It was a breakthrough of circRNA potentially applying clinical transformation.

## Conclusion

From trash to treasure, our understanding of circRNAs is gradually increasing in recent years. To date, exon skipping, intron pairing and RBP pairing were the main reasons of RNA circularization [7, 19-22]. In addition, Ling et al. thought that there verse splicing of pre-mRNAs was the main source for circRNAs in eukaryotes [25]. Through sponging the miRNA, interacting with protein, and translating into small peptides, circRNAs showed diverse regulatory mechanisms. Digestive cancers are the most common cancers in the world. Using RNA sequencing technology provided us more circRNAs that were

identified from esophageal squamous cell carcinoma, gastric cancer, and colorectal cancer. These circRNAs played double roles in the development of digestive cancer, implying potential applications in clinical diagnosis and therapy. Where do circRNAs come from? How are they regulated? What is their role in digestive cancers? These three questions are indispensable to be solved by further studies. Therefore, there are still other unknown circRNA functions and regulatory mechanisms that need to be investigated in the future.

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## Authors' contributions

ZQS, CC and SHY wrote the manuscript and created the figures. QBZ, GXW, ZL, JS and ZYZ collected the related paper. ZQS, WTY and JBL provided guidance and revised this manuscript. All authors have read and approved the final version.

## Competing Interests

The authors have declared that no competing interest exists.

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