

Metabolomic Profiling on Plasma Reveals Potential Biomarkers for Screening and Early Diagnosis of Intestinal-type Gastric Cancer and Precancerous Stages

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1 **Abstract**

2 **Background:** Gastric cancer (GC) faces a great challenge in the clinical diagnosis,
3 that it often can be detected at advanced stages, and leads to the loss of optimum
4 time for treatment and poor prognosis. Thus, there is a critical need to develop
5 effective and noninvasive strategies for early diagnosis of the disease process.

6 **Methods:** Totally, 82 participants were enrolled in the study, including 50 chronic
7 superficial gastritis (CSG) patients, 7 intestinal-type early gastric cancer (EGC) and
8 25 intestinal-type advanced gastric cancer (AGC) ones. Metabolites profiling on
9 patient plasma was performed using ultra-high performance liquid chromatography
10 coupled with quadrupole-time-of-flight mass spectrometry. Orthogonal partial least
11 squares-discriminant analysis **was** utilized to evaluate the variation on endogenous
12 metabolites for intestinal-type GC patients and to screen potential biomarkers.
13 Furthermore, the proposed biomarkers were used to create **random forest models**,
14 which discrimination efficiency and accuracy was ascertained by receiver operating
15 characteristic curve (ROC) analysis.

16 **Results:** **There were eight differential metabolites between the CSG and GC groups,**
17 **of which four metabolites were increased and four were decreased.** L-carnitine,
18 L-proline, pyruvaldehyde, phosphatidylcholines (PC) (14:0/18:0),
19 lysophosphatidylcholine (14:0) (LysoPC 14:0), lysinoalanine were defined as the
20 potential biomarker panel for the diagnosis among CSG and EGC patients.
21 Compared with EGC patients, 6 significantly changed metabolites, PC(O-18:0/0:0)
22 and LysoPC(20:4(5Z,8Z,11Z,14Z)) were found to be up-regulated in AGC patients,

23 whereas L-proline, L-valine, adrenic acid and pyruvaldehyde down-regulated. The
24 metabolomic pathway analysis revealed several metabolism pathway disorders,
25 **mainly involved in** amino acid and lipid metabolisms and glycolysis patients. **ROC**
26 **analysis demonstrated a high diagnostic performance in disease diagnosis between**
27 **CSG and GC.**

28 **Conclusion:** The result indicated that the biomarker panels are sensitive to the early
29 diagnosis of intestinal-type GC disease, which is expected to be developed as a
30 promising diagnostic and prognostic tool for disease stratification studies.

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32 Key words: Intestinal-type gastric cancer, Metabolomics, Biomarkers, Pathway
33 analysis, **Random Forest model**, UPLC-Q-TOF/MS

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45 **Introduction**

46 The International Agency for Research on Cancer estimates that gastric cancer
47 (GC) is the fifth most prevalent malignancy and the third mortality of cancer death
48 all over the world [1]. GC presents in two major distinct morphological subtypes,
49 intestinal and diffuse-type gastric cancers. The intestinal-type GC predominates in
50 high risk geographic areas, such as China, and its incidence increases with age. To
51 date, surgery is the most preferred treatment, but five year survival rate is often poor.
52 [2, 3]. This is mainly due to the lack of early symptoms in this disease and most of
53 the patients are diagnosed in advanced stages. Generally accepted, human gastric
54 carcinogenesis initiated by normal mucosa, followed by chronic superficial gastritis
55 (CSG), then chronic atrophic gastritis, to intestinal metaplasia, and finally by
56 dysplasia and gastric cancer [4]. Therefore, early prevention on gastric precancerous
57 lesions and diseases could reduce the incidence of GC. In recent decades, diagnostic
58 methods based on endoscopic examination, pathological section and barium meal
59 examination have been widely applied to GC patients [5]. However, these screening
60 methods are limited by various disadvantages, as they are time-consuming, involve a
61 high level of invasiveness, and are laborious and potentially harmful to patients.
62 Thus, establishment of a sensitive, noninvasive examination method for early
63 detection and prognosis prediction in GC patients is of significant importance.

64 Metabolomics is an emerging science involving the profiling changes in
65 small-molecular metabolites produced by a biological system under certain
66 conditions [6]. It seems to be a very promising method for biomarker discovery due

67 to the dynamic responses of the metabolome that reflects upstream biological
68 processes in the body. It has several major advantages, such as the readily
69 availability, noninvasive and high sensitivity [7]. For the past few years,
70 metabolomics has been utilized for analysis of metabolic alterations caused by
71 cancers and other diseases, which has led to substantial advances in the discovery of
72 biomarkers and early diagnosis [8]. Ikeda et al. indicated that the changes in the
73 levels of 3-hydroxypropionic acid and pyruvic acid to sufficiently segregate gastric
74 cancer from oesophageal and colorectal cancer and shows high sensitivity and
75 specificity [9]. A recent study demonstrated that a set of amino acid altered in urine
76 sample, including L-alanine, L-isoleucine, L-serine, L-threonine, L-proline and
77 L-methionine, formed a characteristic biosignature for discrimination of GC patients
78 from healthy control population [10].

79 Biomarkers are small-molecular intermediates and end products of active
80 cellular processes, forming a correlation between molecular metabolic changes and
81 phenotype [11, 12]. Therefore, they reflect alterations of the physiological state of a
82 biological system (cell, tissue or organism) at a certain point in time. There is no
83 doubt that one of the greatest challenges for biomarker-related is discovering
84 biomarkers that accurately distinguish cancer from precancerous stages, where
85 overlapping signs and symptoms (unintentional weight loss or vague epigastric pain)
86 make differential clinical diagnosis difficult [13]. Till now, few studies on metabolic
87 changes for screening and early diagnosis of intestinal-type GC and precancerous
88 stages have been applied in clinical practice, which are needed to be further

89 explored.

90 In this work, we developed an ultra-high performance liquid chromatography
91 coupled with quadrupole-time-of-flight mass spectrometry (UPLC-Q-TOF/MS) and
92 metabolomics profiling approach, combined with statistical learning, to validate
93 multiplex biomarker candidates for screening and early diagnosis of GC and
94 precancerous stages (CSG). On the basis of potential biomarkers, the related
95 metabolic pathways and correlation networks were investigated and the global
96 metabolic features were discussed. Furthermore, we used a random forest machine
97 learning approach to diagnose an individual's disease status for each disease based
98 on metabolites abundance. The random forest analysis demonstrates that the
99 differentially metabolites can aid in classifying disease status and may serve in
100 disease diagnosis between chronic superficial gastritis and GC.

101

102 **Materials and methods**

103 **Patient information and sample collection**

104 The present study was approved by the ethics Committee of the People's
105 Hospital of Yangzhong City (Yangzhong, China) and all participants provided
106 written informed consent. A total of 86 individual patients, who had CSG and
107 intestinal-type GC were recruited at the People's Hospital of Yangzhong City
108 between July and December, 2015. Among them, four cases of patients who had a
109 history of chemotherapy or surgical treatment were excluded from this study. All of
110 tissue specimens were examined by gastroscopic biopsy or pathological examination

111 after surgery. According to the results from pathologic diagnosis, the 82 samples
112 were divided into three groups, including fifty cases of CSG (mean age \pm SD, 52.1 \pm
113 7.0 years), seven cases of intestinal-type EGC (mean age \pm SD, 66.3 \pm 11.9 years)
114 and twenty-five cases of intestinal-type advanced gastric cancer (AGC, mean age \pm
115 SD, 67.0 \pm 9.7 years). Atrophic gastritis was rare in the real world of Yangzhong City,
116 a well-known high-risk area of gastric cancers in China where our specimens were
117 collected. Thus, we did not enroll cases with atrophic gastritis in the present study
118 [14]. Details of basic information of patients with CSG and intestinal-type GC
119 staging are shown in Table 1. Patient details and clinical pathological information
120 were listed in Table S1. Inclusion criteria are as follows: (a) Adult male or female
121 patients above 18 years old; (b) All participants signed informed consent forms
122 before entering the study; (c) Patients were diagnosed as gastric cancer or chronic
123 superficial gastritis via gastroscopy and biopsy examination. They were newly
124 diagnosed and had not yet receive any treatment, including traditional Chinese
125 medicine, radiotherapy, chemotherapy, surgery or any other therapy.

126 The exclusion criteria were as follows: Patients with (a) metabolic diseases,
127 such as diabetes, gout or hyperlipidemia; (b) remnant gastric cancer; (c) severe
128 cachexia and expected survival time < 2 months; (d) double or multiple cancers; (e)
129 serious systemic disease of the heart, lung, liver or kidney; (f) serious complications
130 included intestinal paralysis, intestinal obstruction, interstitial pneumonia,
131 pulmonary fibrosis, uncontrolled diabetes, liver and kidney dysfunction; (g) chronic
132 nausea, vomiting or diarrhea (equal to or more than 4 times a day; watery stools); (h)

133 gastrointestinal haemorrhage and require blood transfusion; (i) human
134 immunodeficiency virus (HIV) or acquired immune deficiency syndrome (AIDS); (j)
135 severe mental illness; (k) grade 2 or higher neuropathy; (l) infectious diseases or
136 inflammation, such as body temperature $\geq 38^{\circ}\text{C}$; (m) long-term medications
137 taking known to affect metabolism, such as hormones or immunosuppressive agents;
138 (n) pregnant or breast-feeding.

139 5 mL venous blood samples from all patients were collected under fasting
140 conditions and added in heparin sodium anti-coagulated tube. The collected whole
141 blood was refrigerated at 4°C within 15 min and centrifuged at $263.84 \times g$ for 15 min
142 within 4 h. Plasma was carefully transferred to an Eppendorf tube, and stored at -80°C
143 until use.

144

145 **Chemicals and reagents**

146 Acetonitrile, methanol, formic acid and isopropanol were purchased from J. T.
147 Baker Chemical Co. (Phillipsburg, New Jersey, USA). All chemical reagents were
148 HPLC grade. Purified water was produced by a Milli-Q Reagent Water System
149 (Millipore, MA, USA).

150

151 **Sample preparation**

152 All frozen plasma samples were thawed completely at room temperature. Then,
153 100 μL plasma samples were transferred to Eppendorf tubes, and 300 μL
154 methanol/acetonitrile solution (v/v, 1:1) containing 2-Chloro-L-phenylalanine (5

155 $\mu\text{g/mL}$) as internal standard was added. Mixed sample was vortex-mixed for 30 s
156 and placed at 4 °C for 1 h. Vortex-mix again for 30s and kept at 4°C for 3 h to fully
157 precipitate the protein in the plasma. Finally, the mixture was centrifuged at 14841
158 xg for 10 min at 4°C, and the clear supernatant was collected to injection vial for
159 UPLC-Q-TOF/MS analysis.

160

161 **UPLC-Q-TOF/MS analysis**

162 The metabolomic analysis was carried out on an ACQUITY UPLC (Waters)
163 system equipped with Micromass quadrupole-time-of-flight mass spectrometer
164 (Q-TOF/MS) (Waters Corp., Milford, USA). Plasma metabolites were separated on a
165 Acquity BEH-C18 column (100 mm \times 2.1 mm i.d., 1.7 μm ; Waters, Milford, USA)
166 with the column oven temperature maintained at 50 °C. Mobile phase A consisted of
167 0.1% formic acid in water and mobile phase B used the mixture of B-isopropanol,
168 acetonitrile, methanol, formic acid (20: 40: 40: 0.1 (v/v)). The gradient program
169 (A/B, v/v) was changed from 98/2 to 0/100 for 12.5 min with a constant flow rate of
170 0.4 mL/min. Injection volume was set at 3 μL . MS parameters were as follows:
171 mode, positive ion; capillary voltage, 3000 V; cone voltage, 35 V; collision energy, 3
172 eV; ion source temperature, 115 °C; desolvent gas temperature, 350 °C; desolvent
173 gas flow, 600 L/h; full scan range, 50-1000 m/z. The mass spectrometric data were
174 recorded with a scan time of 0.3 s and an inter-scan delay of 0.02 s. To evaluate data
175 quality and reliability, a quality control (QC) sample was injected and analyzed once
176 every 10 study samples.

177

178 **Statistical analysis**

179 Orthogonal partial least squares-discriminant analysis (OPLS-DA) was
180 performed by SIMCA-P software (14.0, Umetrics AB), and validated by a
181 permutations test (200 times) [15, 16]. Significantly metabolites between two groups
182 were selected by variable importance in the projection (VIP) > 1 and P < 0.05.
183 According to standard guidelines, metabolites were confirmed by “Level 1”
184 annotations, including at least two orthogonal techniques defining 2D structure, such
185 as MS/MS and RT or CCS [17]. Significantly differential metabolites were identified
186 from the elemental composition and exact mass, from the goodness of the isotopic fit
187 for the predicted molecular formula and from MS/MS fragmentation matching with
188 public databases, including HMDB (<https://hmdb.ca/>), METLIN
189 (<http://metlin.scripps.edu>), LIPID MAPS Structure Database (LMSD,
190 <http://www.lipidmaps.org/data/structure/>), Serum Metabolome
191 (<https://serummetabolome.ca/>) and Kyoto Encyclopedia of Genes and Genomes
192 (KEGG, <https://www.genome.jp/kegg/>), using Progenesis QI software (Waters,
193 Milford, MA, USA). MS² matching tolerance was set at 0.05 m/z for library
194 matching, with a minimum of three matching ions among MSMS reference and
195 experimental spectra. Metabolic pathway analysis was performed by online
196 MetaboAnalyst 5.0 (<https://www.metaboanalyst.ca/>). Random Forest model was
197 constructed using scikit-learn (version: 0.23.0), and the parameters were as follows:
198 cv 10, test-size 0.3, random-state 500.

199

200 **Results**

201 **Metabolites detection and identification**

202 A full-scan detection of plasma metabolites was performed by
203 UPLC-Q-TOF/MS, including 50 cases of CSG, 7 cases of intestinal-type EGC and
204 25 cases of intestinal-type AGC, which involved principal components that account
205 for the majority of the differences in the data. A total of 2203 peaks were detected
206 and 50 differential metabolites were authentically identified, including L-proline,
207 L-isoleucine, L-leucine, L-valine, lysine alanine, lysophosphatidylcholines
208 (LysoPC) (12), phosphatidylcholines (PC) (16), phosphatidylethanolamines (6),
209 L-carnitine, creatine, cholesterol, cholic acid, tyramine, uric acid, capryl carnitine,
210 pyruvaldehyde, docosatrienoic acid, malonaldehyde and 1-sphingosine phosphate.

211

212 **Differential plasma metabolic profiles among groups**

213 **Metabolite differences in CSG and GC**

214 Using SIMCA-P software, the metabolic data were analyzed according to the
215 OPLS-DA model, and the score plot revealed a good separation between CSG and
216 GC patients, as shown in Fig. 1A. R²_Y (0.952) and Q² (0.689) values represented
217 the predictability and goodness of fit, respectively. Statistical validation of
218 corresponding OPLS-DA model was performed by permutation testing (200
219 iterations) to determine the validity and degree of overfitting. The results showed the
220 Q² value of -0.317, indicated that OPLS-DA model was not overfitting. According

221 to the screening criteria of differential metabolites, the differential metabolites were
222 further screened by combining the p value. A total of 8 endogenous metabolites were
223 finally identified as markers and listed in Table 2. Among them, 7 metabolites were
224 found to be up-regulated, whereas 8 to be down-regulated in intestinal-type GC
225 patients compared with CSG patients.

226

227 **Metabolite differences in CSG and intestinal-type EGC**

228 OPLS-DA as a supervised method was performed to discriminate the overall
229 metabolic profiles between CSG and intestinal-type EGC patients. The score plot of
230 OPLS-DA was presented in Fig. 1B. OPLS-DA (CSG vs EGC) revealed a well
231 gathering trend in score plot. The OPLS-DA model parameters R²_Y and Q² (cum)
232 were 0.963 and 0.639, suggesting good fitness and predictive ability of the
233 OPLS-DA model. A statistical validation of the OPLS-DA model was performed to
234 determine the validity and degree of overfitting with 200 permutation tests. The
235 results showed the Q² value < 0. Therefore, the OPLS-DA model for discrimination
236 of both of CSG and EGC were successfully established and validated. Six
237 metabolites, named L-carnitine, L-proline, pyruvaldehyde, PC(14:0/18:0),
238 LysoPC(14:0) and lysinoalanine were defined in metabolic profiles. Identification
239 and statistic analysis indicated significant elevation of L-carnitine, L-proline,
240 pyruvaldehyde, PC(14:0/18:0), LysoPC(14:0) and lysinoalanine were defined in
241 metabolic profile, while revealing significant reduction of LysoPC(14:0) and
242 lysinoalanine, in intestinal-type EGC compared with CSG, as shown in Table 3.

243

244 **Metabolite differences in intestinal-type EGC and AGC**

245 Similarly, a **OPLS-DA** analysis was used to explore the metabolic profiling
246 differences between the intestinal-type EGC and AGC patients, and the results are
247 presented in **Fig. 1C**. **Score plot of the OPLS-DA revealed a clear segregation of**
248 **groups. Statistical validation of the OPLS-DA model was performed by permutation**
249 **analysis. Response permutation tests with 200 permutations showed no overfitting in**
250 **the models.** Based on the criteria of OPLS-DA ($VIP > 1$ and $P < 0.05$), 16
251 statistically differentially expressed metabolic molecules in total were screened out
252 and finally 6 metabolic molecules were identified as potential metabolite biomarkers
253 between the two groups. The significantly changed 6 metabolites listed in **Table 4**.
254 **PC(O-18:0/0:0)** and **LysoPC(20:4(5Z,8Z,11Z,14Z))** were found to be up-regulated,
255 whereas **L-proline**, **L-valine**, **adrenic acid** and **pyruvaldehyde** to be down-regulated
256 in intestinal-type AGC patients.

257

258 **Metabolic Pathway Analysis**

259 On the basis of the detected differential metabolites, pathway analysis was
260 performed by **MetaboAnalyst 5.0** to uncover the global metabolic disorders in CSG
261 and intestinal-type GC patients, **mainly involved in glycerophospholipid metabolism,**
262 **primary bile acid biosynthesis, linoleic acid metabolism, valine, leucine and**
263 **isoleucine biosynthesis, alpha-Linolenic acid metabolism, as shown in Fig. 2A.** **Fig.**
264 **2B** presents the major impacted pathways in the CSG-EGC groups, **including**

265 lipid-related metabolism, pyruvate metabolism and glycine, serine and threonine
266 metabolism. Analysis of these metabolites which were differentially expressed
267 between EGC and AGC groups, revealed the perturbations of aminoacyl-tRNA
268 biosynthesis, valine, leucine and isoleucine biosynthesis, pantothenate and CoA
269 biosynthesis, ether lipid metabolism and pyruvate metabolism (Fig. 2C). The
270 changes of detected differential metabolites related to the abnormal metabolic
271 pathways, providing clues for underlying the potential metabolic mechanism in
272 intestinal-type GC.

273

274 **Discriminant models establishment based on the ROC analysis**

275 Each disease state examined in this study has a set of metabolites, prompting
276 the question of whether the differential metabolites can be regarded as identification
277 biomarkers for distinguishing various stages of GC from CSG, used to classify
278 disease status. To answer this question, we constructed random forest models to
279 classify different stages of GC and CSG based on metabolites abundance, and
280 receivers operating characteristic (ROC) curves were used to test the classification.
281 We mainly established three models, namely, CSG vs. GC, CSG vs. EGC, and EGC
282 vs. AGC. The dataset was randomly divided into the training set (70%) and test set
283 (30%) for supervised random forest model calibration and validation, and models
284 were fit using 10-fold cross-validation (500 trees). Result indicated that differential
285 metabolites were able to distinguish GC patients from CSG, with the AUC value
286 0.79 (Fig. 3A). The model which discriminated between CSG and EGC revealed an

287 excellent performance with an area under the ROC curve of 1 (Fig. 3B). Among the
288 strongest discriminatory features, Carnitine had the greatest impact, followed by
289 pyruvaldehyde and proline. We found that the model which discriminated between
290 EGC and AGC has moderate ability to predict GC status (AUC = 0.60) (Fig. 3C).
291 This suggests that there may not be enough similarities to classify diseased EGC
292 from AGC. The most likely reason for these results was the small number of sample,
293 and thus affected statistical analyse.

294

295 **Discussion**

296 In this study, high-throughput metabolomics couple with UPLC-Q-TOF/MS
297 technology was utilized to investigate intestinal-type GC-related metabolic
298 alterations and elucidate potential diagnostic biomarkers. The present evaluation was
299 performed on patients with CSG and two subgroups of intestinal-type GC (EGC and
300 AGC) to search for the correlates between the small molecule metabolites and the
301 disease progression. Most of the metabolites identified were altered on statistically
302 significant level, derived mainly from general biochemical pathways related to
303 amino acid metabolism and lipid metabolism.

304 Amino acids, as the substrates for protein synthesis, are crucial for cancer cell
305 migration and proliferation. Previous studies have associated amino acid metabolism
306 aberrations with cancer development [18-19]. It is involved in multiple cancers that
307 regulate several signaling pathways, including protein synthesis, cell growth, lipid
308 biogenesis, autophagy and so on [20]. In this study, L-proline was found to be

309 up-regulated in intestinal-type EGC and AGC stage, and L-valine was also found
310 significantly up-regulated in AGC stage. High levels of proline in tumour tissues
311 cause the overexpression of MMPs which degrades extracellular matrix and
312 degradation of collagen catalysed by proline dehydrogenase, which could promote
313 cell proliferation, energy production and resistance to oxidative stress (act as an
314 antioxidant) [21-25]. L-valine is an essential and important functional amino acid
315 involved in many growth and metabolic processes, and is also a glucogenic amino
316 acid for biosynthesizing macromolecules (e.g., proteins and lipids), which are vital
317 to the growth of cancer cell [26]. The accumulation of amino acids could ascribe to
318 the proliferation by cancer cells, suggesting cancer transformation is linked with
319 adaptive increases in protein synthesis [27]. Except a number of biologic functions,
320 amino acid metabolism is also considered as an essential energy metabolism
321 pathway of cancer cells to meet the high energy requirement [28]. For example,
322 valine could be transformed into pyruvate for energy supply through aerobic
323 glycolysis, resulting in the significant increase in pyruvate [29]. Thus, the
324 up-regulated of valine in intestinal-type GC indicates that cancer cell energy
325 metabolism may be significantly increased during cancer progression

326 Another important feature in intestinal-type GC progression was the apparent
327 changes of lipids. It is well known that lipids play an important role at cellular and
328 organismal levels, being the dominant structural components of biomembranes and
329 energy storage entities [30]. Additionally, lipids participate in signal transduction and
330 can be broken down into biologically active lipid mediators, which regulate some

331 carcinogenic processes [31, 32]. In the present study, potential biomarkers analyses
332 revealed significant alterations in plasma LysoPC and PC. The down-regulation of
333 them may be mainly due to the increased demand for membrane constituents during
334 malignant transformation, cancer invasion and metastasis. Dysregulation of choline
335 phospholipid metabolism is associated with carcinogenesis and cancer progression,
336 which has been verified in many biomarker studies [33-35], including studies of GC
337 [36]. Thus, the abnormal levels of LysoPC and PC may be considered as important
338 biomarkers for intestinal-type GC patients.

339 Glycolysis is a main metabolic mode of cancer cells. Most cancer cells mainly
340 use aerobic glycolysis to generate energy rather than mitochondrial oxidative
341 phosphorylation and produce great numbers of lactate and acidic metabolites, which
342 is known as the “Warburg effect” [37]. Methylglyoxal (also called pyruvaldehyde) is
343 a cytotoxic reactive intermediate of glycolysis [38], whose overexpression was
344 observed in a variety of human cancers [39, 40]. The main metabolic pathway
345 leading to methylglyoxal is related to carbohydrate metabolism and involves
346 enzymatic and nonenzymatic degradation of the triose-phosphate intermediates
347 dihydroxyacetone phosphate and glyceraldehyde 3-phosphate deriving from
348 glycolysis. It should be noted that methylglyoxal can react rapidly with DNA and
349 proteins to produce advanced-glycated end products, and thus promote cell death
350 [41]. The activity of fructose-6-phosphokinase (6-FPK), the enzyme involved in the
351 rate-limiting step of glycolysis, significantly increases in gastric cancer tissues,
352 resulting in low glucose levels [42]. There is cumulative evidence linking the

353 overexpression of pyruvate kinase with rapid tumour proliferation and poor
354 prognosis, while in vitro studies also suggest that the downregulation of enzymes
355 impair tumour invasion [43].

356 Furthermore, we evaluated the predictive performance of the metabolites using
357 ROC analyses computed by the random forest algorithm. The model which
358 discriminated between CSG and GC patients had good predictive power, at 0.79
359 AUC in plasma. The model which discriminated between CSG and EGC revealed an
360 excellent performance with an area under the ROC curve of 1. The AUC for the
361 classification of EGC from AGC was 0.60. The most likely reason for these results
362 was the small number of sample, and thus affected statistical analyse. Overall, the
363 metabolites can aid in classifying disease status and may serve in disease diagnosis.
364 Future studies should consider increasing the sample size, and the inclusion of more
365 clinical variables in the model, which will better evaluate the accuracy of the
366 developed models in early diagnosis.

367

368 **Conclusion**

369 In this study, UPLC-Q-TOF/MS plasma metabolomics has been successfully
370 applied for biomarker screening in intestinal-type GC. Based on differential
371 metabolites signatures, biomarker panels were defined for the diagnosis of EGC with
372 satisfactory discrimination performance, as well as for AGC. Metabolic pathway
373 analysis indicated that changes in most potential plasma biomarkers were correlated
374 with general biochemical pathways (amino acid metabolism and lipid metabolism),

375 implying enhanced energy production and cell proliferation. The study highlights the
376 potential advantages of biomarker panels in real clinical diagnostics, which can be
377 used as a promising tool for early-stage intestinal-type GC diagnosis and prognosis.

378

379 **Abbreviations**

380 AGC: advanced gastric cancer; AUC: area under the curve; CSG: chronic superficial
381 gastritis; EGC: early gastric cancer; GC: Gastric cancer; LysoPC:
382 lysophosphatidylcholine; OPLS-DA: orthogonal partial least squares-discriminant
383 analysis; PC: phosphatidylcholines; PCA: principal component analysis; QC: quality
384 control; ROC: receiver operating characteristic curve; UPLC-Q-TOF/MS: ultra-high
385 performance liquid chromatography coupled with quadrupole-time-of-flight mass
386 spectrometry; VIP: variable importance in the projection.

387

388 **CRedit authorship contribution statement**

389 **Shikai Yan** and **Xi Wang** conceived and designed the study. **Xi Wang, Shasha Li,**
390 **Jin Li, Juming Ma** and **Zhaolai Hua** collected the clinical data and performed the
391 experiments. **Lijing Du** drafted the first version of the manuscript. **Shuai Ji** and
392 **Wenlu Chen** analysed the data. **Shikai Yan, Xue Xiao** and **Huizi Jin** revised the
393 manuscript together. All authors contributed to the interpretation of the results,
394 edited and approved the final manuscript.

395

396 **Ethnical approval and consent to participate**

397 According to the Declaration of Helsinki, this study project was evaluated and
398 approved by Institutional Review Board of the 903rd Hospital of PLA (20140501;
399 Hangzhou, China) and Institutional Review Board of People's Hospital of
400 Yangzhong City (IRB201404; Yangzhong, China). Written informed consent was
401 obtained from all participants.

402

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408 Command made a detailed discussion of the scientific validity, reasonableness and
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410

411

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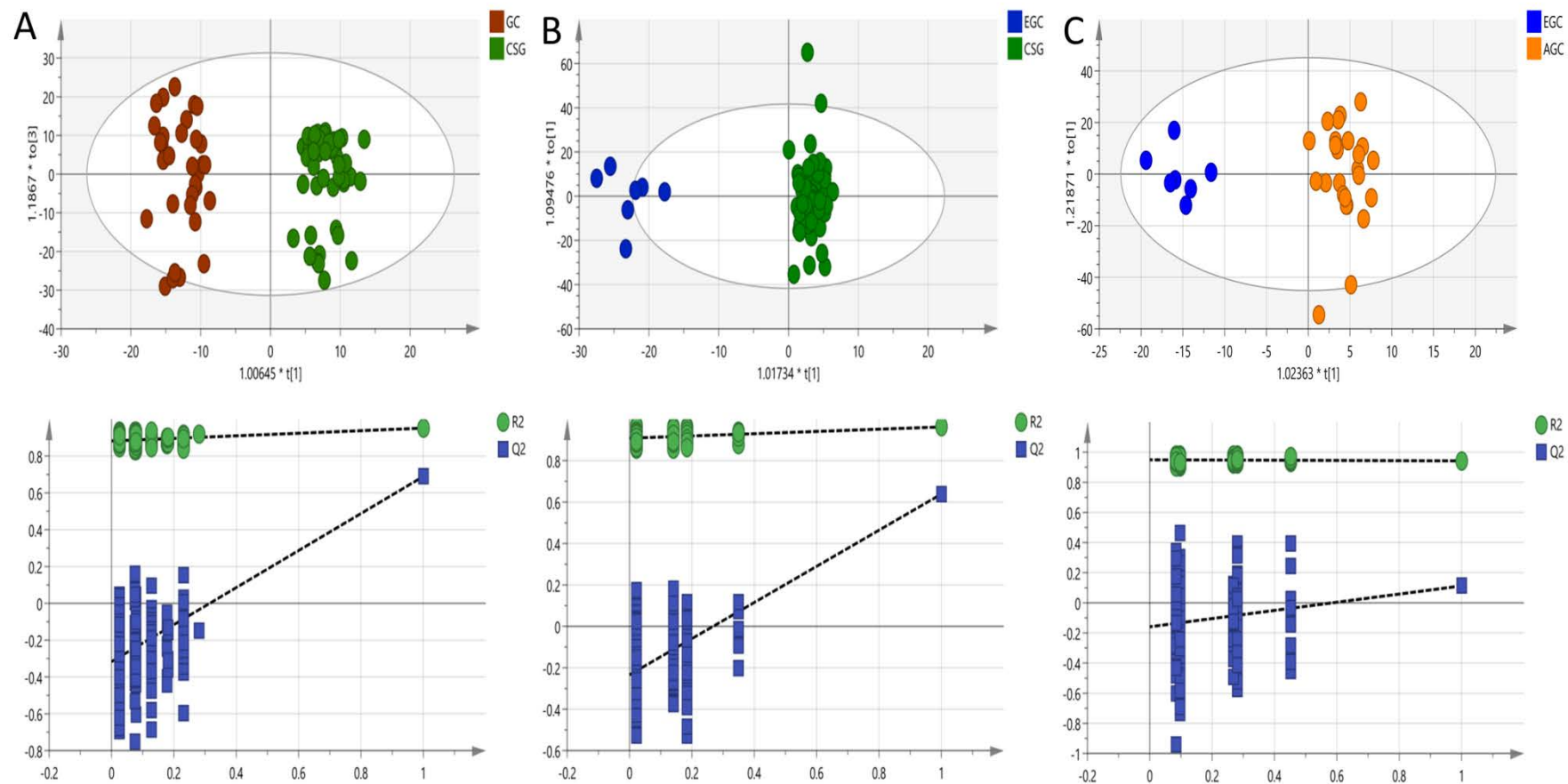
519 **Legends**

520 **Fig. 1** OPLS-DA score plot among two groups and the results of 200 permutation
521 tests. (A) CSG vs. GC group; (B) CSG vs. EGC group; (C) EGC vs. AGC group.

522 **Fig. 2** Metabolic pathway analysis in intestinal-type GC. (A) CSG vs. GC. 1)
523 Glycerophospholipid metabolism; 2) Primary bile acid biosynthesis; 3) Linoleic acid
524 metabolism; 4) Valine, leucine and isoleucine biosynthesis; 5) Alpha-Linolenic acid
525 metabolism. (B) CSG vs. EGC. 1) Glycerophospholipid metabolism; 2) Linoleic acid
526 metabolism; 3) Alpha-Linolenic acid metabolism; 4) Pyruvate metabolism. (C) EGC
527 vs. AGC. 1) Aminoacyl-tRNA biosynthesis; 2) Valine, leucine and isoleucine
528 biosynthesis; 3) Pantothenate and CoA biosynthesis; 4) Ether lipid metabolism; 5)
529 Pyruvate metabolism.

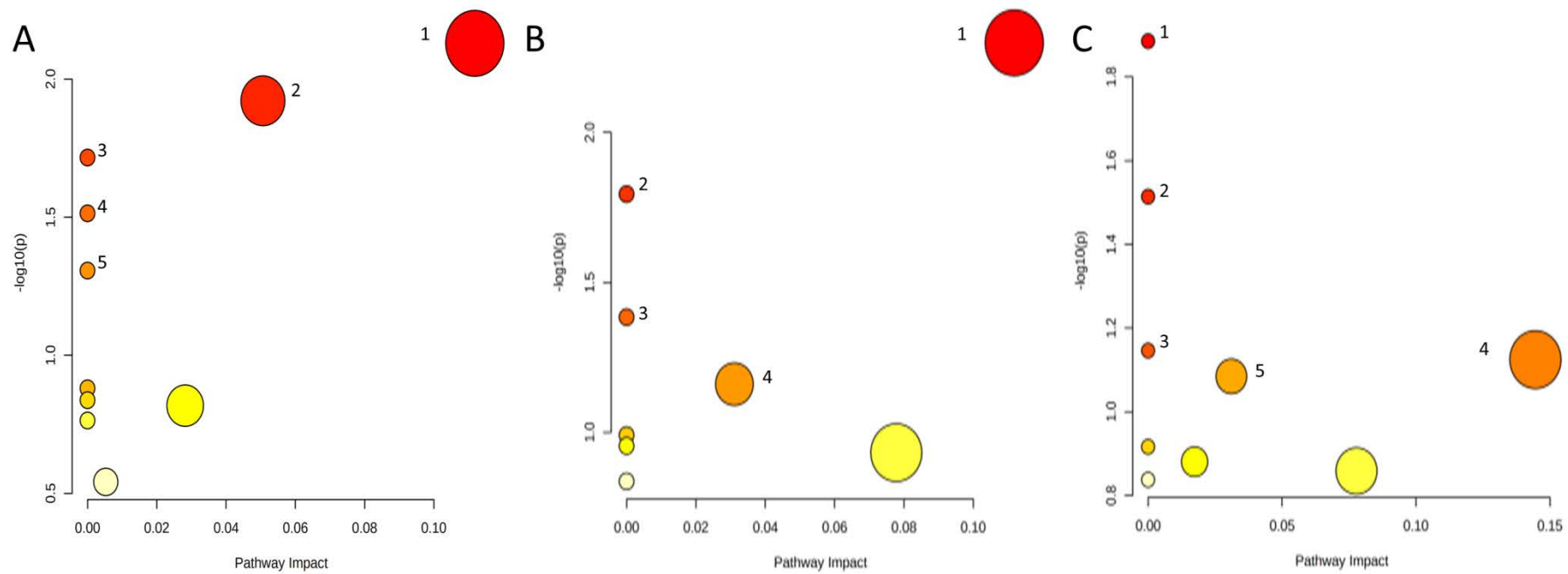
530 **Fig. 3** ROC curves based on random forest models. (A) CSG vs. GC group; (B) CSG
531 vs. EGC group; (C) EGC vs. AGC group. ROC, receiving operational curve; AUC,
532 area under curve.

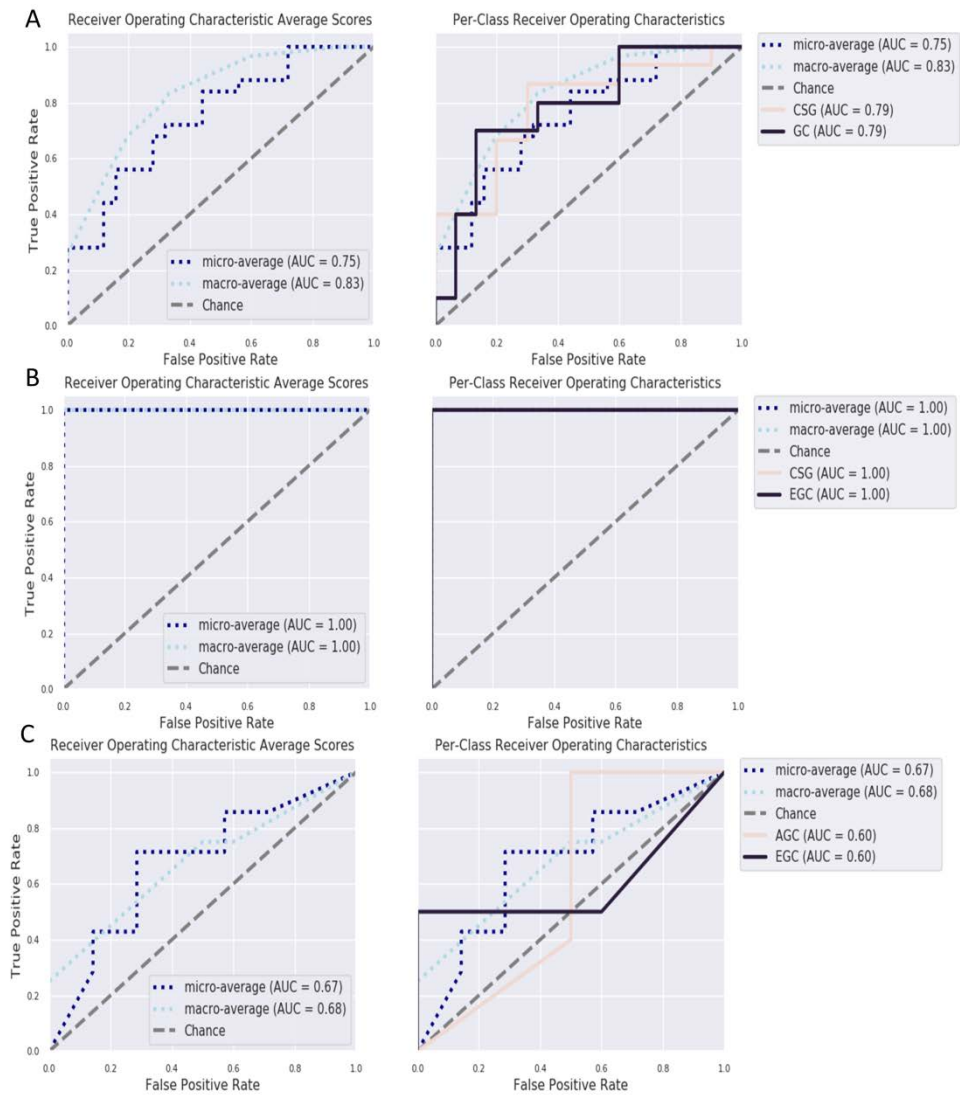
533 **Fig.1**



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Table 1 Demographic and clinical characteristics of study participants.

	CSG	EGC*	AGC*
Gender (Male/ Female)	14/36	6/1	19/6
Age (year)	52.1±7.0	66.3±11.9	67.0±9.7
TNM IA stage	–	7	–
TNM IB stage	–	–	2**
TNM IIB stage	–	–	1
TNM IIIA stage	–	–	9
TNM IIIB stage	–	–	2
TNM IIIC stage	–	–	4
TNM IVstage	–	–	7

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*Both EGC and AGC are intestinal-type adenocarcinoma according to Lauren classification. **The TNM stages of two patients classified as IB were both T2N0, which were grouped into AGC.

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Table 2 Statistically significant differences in metabolite levels between intestinal-type GC and CSG groups

NO	Compound	Adducts	Retention time(min)	m/z	Formula	Mass Error (ppm)	Level of identification	P value	The trend of GC
1	L-Carnitine	M+H	0.67	162.11	C ₇ H ₁₅ NO ₃	2.91	MS ²	4.43E-06	↑
2	L-Isoleucine	M+H	1.13	132.10	C ₆ H ₁₃ NO ₂	0.96	MS ²	0.00017	↑
3	LysoPC(20:4(8Z,11Z,14Z,17Z))	M+H	5.92	544.34	C ₂₈ H ₅₀ NO ₇ P	4.13	MS ²	0.00033	↓
4	LysoPC(18:1(9Z))	M+H	6.64	522.36	C ₂₆ H ₅₂ NO ₇ P	7.17	MS ²	0.0082	↓
5	LysoPC(15:0)	M+H	7.63	482.33	C ₂₃ H ₄₈ NO ₇ P	2.56	MS ²	0.0057	↓
6	Cholesterol	2M+Na	9.77	795.70	C ₂₇ H ₄₆ O	2.84	MS ²	0.0054	↑
7	PC(14:0/18:0)	M+H	10.30	734.57	C ₄₀ H ₈₀ NO ₈ P	4.55	MS ²	4.96E-05	↑
8	Cholic acid	2M+NH ₄	10.42	834.61	C ₂₄ H ₄₀ O ₅	3.02	MS ²	0.0022	↓

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Table 3 Statistically significant metabolites for comparison of CSG and intestinal-type EGC patients

NO.	Compound	Adducts	Retention time (min)	m/z	Formula	Mass Error (ppm)	Level of identification	P value	The trend of EGC
1	L-Carnitine	M+H	0.67	162.11	C ₇ H ₁₅ NO ₃	2.91	MS ²	0.0081	↑
2	L-Proline	M+Na	2.04	138.06	C ₅ H ₉ NO ₂	24.03	MS ²	0.0003	↑
3	Pyruvaldehyde	M+ACN+H	0.68	114.07	C ₃ H ₄ O ₂	0.54	MS ²	0.0036	↑
4	PC(14:0/18:0)	M+H	10.30	734.57	C ₄₀ H ₈₀ NO ₈ P	4.55	MS ²	<0.0001	↑
5	LysoPC(14:0)	M+H	5.65	468.31	C ₂₂ H ₄₆ NO ₇ P	1.42	MS ²	0.0081	↓
6	Lysinoalanine	M+NH ₄	2.85	251.17	C ₉ H ₁₉ N ₃ O ₄	19.37	MS ²	0.002	↓

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Table 4 Statistically significant differences in metabolite levels between intestinal-type EGC and AGC groups

NO	Compound	Adducts	Retention time(min)	m/z	Formula	Mass Error (ppm)	Level of identification	P value	The trend of EGC
1	L-Proline	M+H	2.04	138.06	C ₅ H ₉ NO ₂	1.48	MS ²	0.0113	↓
2	L-Valine	M+H	0.73	118.08	C ₅ H ₁₁ NO ₂	0.32	MS ²	0.0051	↓
3	Adrenic acid	M+Na	4.31	355.26	C ₂₂ H ₃₆ O ₂	11.73	MS ²	0.0159	↓
4	PC(O-18:0/0:0)	M+ACN+H	7.96	551.43	C ₂₆ H ₅₆ NO ₆ P	3.95	MS ²	0.0076	↑
5	LysoPC(20:4(5Z, 8Z,11Z,14Z))	M+H	5.91	544.34	C ₂₈ H ₅₀ NO ₇ P	4.13	MS ²	0.0153	↑
6	Pyruvaldehyde	M+ACN+H	0.68	114.06	C ₃ H ₄ O ₂	0.54	MS ²	0.0352	↓

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Table S1 Patient details and clinical pathological information

No.	Type of disease	Age	Sex	TNM stages	Indicator of tumor	Clinical diagnosis	Gastroscopic diagnosis
1	Early gastric cancer	83	male	T1aN0M0 I A	CEA: 4.40 ng/mL; CA199: 13.5 U/mL	Gastric adenocarcinoma of the angulus(high differentiation), localized ulcerative type, T1N0M0, old lacunar infarcts	Chronic gastritis, duodenitis, esophagitis, HP-positive
2	Early gastric cancer	72	female	T1aN0M0 I A	CEA: 2.70 ng/mL; CA199: 9.23 U/mL	Gastric adenocarcinoma of the angulus (IIC type, T1N0M0)	Flushing and erosion of the gastric angulus
3	Early gastric cancer	72	male	T1aN0M0 I A		Adenocarcinoma of the Antrum(Borrmann type II, T1N0M0)	1.5*0.8cm irregular ulcer at the gastric antrum
4	Early gastric cancer	83	male	T1aN0M0 I A	CEA: 2.50 ng/mL; CA199: 34.87 U/mL	Gastric adenocarcinoma of the cardia(high differentiation), T1N0M0	Ulcer at the gastric cardia
5	Early gastric cancer	83	male	T1aN0M0 I A		Gastric adenocarcinoma of the corpus (high-middle differentiation), presented with superficial bulge, T1N0M0	Malignant tumor to be investigated, chronic gastritis, esophagitis
6	Early gastric cancer	56	male	T1aN0M0 I A		Gastric adenocarcinoma of the corpus (low differentiation), signet ring cell carcinoma, ulcerative type, T1N0M0	Chronic gastritis, gastro-duodenal ulcer
7	Early gastric cancer	57	male	T1bN0M0 I A	CEA: 8.30 ng/mL; CA199: 23.20 U/mL	Gastric adenocarcinoma of fundus(middle differentiation), ulcerative type, T1N0M0	Malignant Tumor
8	Advanced gastric cancer	84	male	T3N2M0 IIIA	CEA: 5.50 ng/mL; CA199: 24.80 U/mL	Gastric adenocarcinoma of the corpus (middle differentiation), localized ulcerative type, T3N2M0	Malignant Tumor of the gastric corpus, angulus and antrum
9	Advanced gastric cancer	76	male	T4aN2M O IIIB	CEA: 1.4 ng/mL; CA199: 23.83 U/mL	Gastric adenocarcinoma of the angulus (middle differentiation, Borrmann II type, T3N2M0)	1.0×1.2 cm ulcer at the gastric antrum

10	Advanced gastric cancer	59	female	T4N1M0 IIIA	CEA: 5.32 ng/mL; CA199: 60.10 U/mL	Gastric adenocarcinoma of the corpus (middle-low differentiation), ulcerative type, T4N1M0	Malignant tumor at the gastric corpus, angulus and antrum
11	Advanced gastric cancer	72	female	T2N1M1 IV		Gastric adenocarcinoma at stage IV(peritoneal metastases, low differentiation)	Ulcer of the gastric antrum and angulus, malignant tumor, superficial ulcer of gastro-duodenal, chronic gastritis, inflammatory activity, bile reflux, reflux esophagitis.
12	Advanced gastric cancer	73	female	T2N2M0 II B	CEA: 2.10 ng/mL; CA199: 31.61 U/mL	Gastric adenocarcinoma of the antrum, localized ulcerative type T2N1M0	Ulcer of the gastric corpus and angulus,Malignant Tumor, chronic gastritis, bile reflux
13	Advanced gastric cancer	68	male		CEA: 7.56 ng/mL; CA199: 3.2 U/mL	Gastric malignancies	Chronic superficial gastritis
14	Advanced gastric cancer	86	male	T4aN2M0 IIIB		Gastric adenocarcinoma of the corpus (middle-low differentiation), infiltrative type, T4N1M0	Irregular ulcer located in the gastric corpus, gastric ulcer, malignant Tumor
15	Advanced gastric cancer	70	male	T2N1M1 IV	CEA: 262 ng/mL; CA199: 11.30 U/mL	Gastric adenocarcinoma of the angulus	Gastric adenocarcinoma of the angulus
16	Advanced gastric cancer	82	male		CEA: 3.80 ng/mL; CA199: 10.80 U/mL	Gastric adenocarcinoma of the angulus	Ulcer of the gastric antrum and angulus, malignant Tumor
17	Advanced gastric cancer	59	male	T4aN3M0 IIIC	CEA: 15.10 ng/mL; CA199: 233.71 U/mL	Gastric adenocarcinoma ulcerative type, T3N1M0	Malignant Tumor of the gastric angulus and corpus, chronic gastritis
18	Advanced gastric cancer	74	female	T3N3M1 IV		Gastric adenocarcinoma of the corpus (low differentiation), ulcerative type,	Gastric malignant tumor, reflux esophagitis.

Case No.	Diagnosis	Age	Sex	Staging	Lab Test Results	Pathological Findings	Clinical Findings
						T3N2M1	
19	Advanced gastric cancer	81	male	T4aN3M0 IIIC	CEA: 1.80 ng/mL; CA199: 4.83 U/mL	Gastric adenocarcinoma of the antrum (middle differentiation)with hemorrhage, ulcerative type, T4N2M0	Malignant tumor of the gastric antrum
20	Advanced gastric cancer	72	female	T3N2M0 IIIA	CEA: 3.50 ng/mL; CA199: 39.78 U/mL	Gastric adenocarcinoma of the angulus (middle differentiation), ulcerative type, T3N2M0	Malignant tumor of the gastric angulus
21	Advanced gastric cancer	67	male	T2N0M0 I B	CEA: 6.20 ng/mL; CA199: 29.25 U/mL	Gastric adenocarcinoma of the angulus, IIC type, T1N0M0	Approximately 2.0*2.5 cm flushing and erosions at the gastric angulus, 1.5 cm superficial ulcer
22	Advanced gastric cancer	64	male	T2N0M0 I B	CEA: 1.90 ng/mL; CA199: 14.85 U/mL	Gastric adenocarcinoma of the corpus (IIC type, T1N0M0, high differentiation)	Irregular ulcer located in the gastric fundus and lesser curvature
23	Advanced gastric cancer	49	male	T3N2M0 IIIA		Gastric adenocarcinoma of the antrum (Borrmann type II, T3N2MO, middle differentiation)	Approximately 4.0cm ulcer of the posterior wall of the gastric antrum, irregular uplift in the mucous membrane
24	Advanced gastric cancer	69	male		CEA: 4.25 ng/mL; CA199: 5.10 U/mL; CA50 8.46I U/mL	Gastric malignancies	Gastric ulcer
25	Advanced gastric cancer	57	male	T4N2M1 IV	CEA: 988.70 ng/mL; CA199: 127.59 U/mL; CA125 733.9 U/mL	Gastric signet ring cell carcinomas, Renal dysfunction	Gastric ulcer
26	Advanced gastric cancer	79	male	T3N2M0 IIIB		Gastric adenocarcinoma of the corpus (middle-low differentiation), ulcerative	Malignant Tumor

						type, T3N2M0		
27	Advanced gastric cancer	80	female	T3N2M0 IIIA	CEA: 1.70 ng/mL; CA199: 18.77 U/mL	Gastric adenocarcinoma of the antrum (low differentiation) with pyloric insufficiency obstruction, localized ulcerative type, T3N1M0		Malignant Tumor, gastric retention
28	Advanced gastric cancer	83	male	T4aN3M0 IIIC	CEA: 2.50 ng/mL; CA199: 8.72 U/mL	Gastric adenocarcinoma of the antrum, Borrmann type II, T3N3M0		Ulcer at the gastric angulus, swelling
29	Advanced gastric cancer	77	male	T4aN3M O IIIB		Gastric adenocarcinoma of the corpus (middle-low differentiation), ulcerative type, T4N3M0		Malignant tumor at the gastric corpus
30	Advanced gastric cancer	84	male	T4N2M0 IIIB	CEA: 4.00 ng/mL; CA199: 19.5 U/mL	Mucinous adenocarcinoma of the antrum, localized infiltrative type, T3N1M0		Erosions were present in the gastric antrum, malignant Tumor, chronic gastritis, mainly of upper part of the stomach
31	Advanced gastric cancer	83	male	T1N0M1 IV	CEA: 7.29 ng/mL; CA199: 13.83 U/mL; CA50 12.58I U/mL	Gastric malignancies		
32	Advanced gastric cancer	77	male	T3N1M1 IV		Gastric adenocarcinoma at stage IV		Malignant Tumor, Chronic superficial gastritis
33	Chronic superficial gastritis	59	female			Chronic gastritis		Antral-predominant gastritis
34	Chronic superficial gastritis	49	male			Chronic gastritis		Antral-predominant gastritis
35	Chronic superficial	59	female			Chronic gastritis		Antral-predominant gastritis

	gastritis					
36	Chronic superficial gastritis	50	female	Chronic gastritis	Antral-predominant gastritis	
37	Chronic superficial gastritis	74	male	Chronic gastritis	Antral-predominant gastritis	
38	Chronic superficial gastritis	63	male	Chronic gastritis	Antral-predominant gastritis	
39	Chronic superficial gastritis	54	female	Chronic gastritis	Chronic gastritis, inflammatory activity, bile reflux(mild)	
40	Chronic superficial gastritis	67	female	Chronic gastritis	Chronic gastritis, bile reflux	
41	Chronic superficial gastritis	56	female	Chronic gastritis	Antral-predominant gastritis, bile reflux(mild)	
42	Chronic superficial gastritis	55	female	Chronic gastritis	Antral-predominant gastritis	
43	Chronic superficial gastritis	59	male	Chronic gastritis	Chronic gastritis, erosions were present in the lower part of the gastric antrum	
44	Chronic superficial gastritis	53	female	Chronic gastritis	Antral-predominant gastritis	
45	Chronic superficial gastritis	55	female	Chronic gastritis	Chronic gastritis, inflammatory activity, erosions were present in the gastric antrum, bile reflux(mild)	
46	Chronic superficial gastritis	62	female	Chronic gastritis	Chronic gastritis, polypoid bulge of the gastric corpus	
47	Chronic superficial gastritis	58	male	Chronic gastritis	Antral-predominant gastritis	

	gastritis					
48	Chronic superficial gastritis	63	female	Chronic gastritis		Chronic gastritis, mainly of upper part of the stomach
49	Chronic superficial gastritis	61	female	Chronic gastritis		Antral-predominant gastritis, bile reflux
50	Chronic superficial gastritis	55	male	Chronic gastritis		Antral-predominant gastritis, bile reflux
51	Chronic superficial gastritis	54	female	Chronic gastritis		Antral-predominant gastritis
52	Chronic superficial gastritis	66	female	Chronic gastritis		Chronic gastritis, inflammatory activity, bile reflux
53	Chronic superficial gastritis	50	male	Chronic gastritis		Antral-predominant gastritis
54	Chronic superficial gastritis	60	female	Chronic gastritis		Antral-predominant gastritis
55	Chronic superficial gastritis	47	female	Chronic gastritis		Chronic gastritis, mainly of upper part of the stomach
56	Chronic superficial gastritis	56	male	Chronic gastritis		Chronic gastritis, inflammatory activity, bile reflux
57	Chronic superficial gastritis	50	female	Chronic gastritis		Antral-predominant gastritis
58	Chronic superficial gastritis	52	female	Chronic gastritis		Chronic gastritis, mainly of the the gastric antrum
59	Chronic superficial gastritis	64	female	Chronic gastritis		Chronic gastritis, mainly of upper part of the stomach

60	Chronic superficial gastritis	49	female	Chronic gastritis	Chronic gastritis, mainly of upper part of the stomach, bile reflux
61	Chronic superficial gastritis	57	male	Chronic gastritis	Chronic gastritis, mainly of lower part of the stomach
62	Chronic superficial gastritis	69	female	Chronic gastritis	Antral-predominant gastritis, bile reflux (mild)
63	Chronic superficial gastritis	65	female	Chronic gastritis	Antral-predominant gastritis
64	Chronic superficial gastritis	57	female	Chronic gastritis	Antral-predominant gastritis
65	Chronic superficial gastritis	63	female	Chronic gastritis	Antral-predominant gastritis
66	Chronic superficial gastritis	62	female	Chronic gastritis	Antral-predominant gastritis
67	Chronic superficial gastritis	72	female	Chronic gastritis	Antral-predominant gastritis
68	Chronic superficial gastritis	65	female	Chronic gastritis	Chronic gastritis, erosions were present in the gastric antrum
69	Chronic superficial gastritis	67	male	Chronic gastritis	Antral-predominant gastritis, reflux esophagitis
70	Chronic superficial gastritis	65	female	Chronic gastritis	Antral-predominant gastritis
71	Chronic superficial gastritis	52	female	Chronic gastritis	Antral-predominant gastritis
72	Chronic superficial gastritis	71	female	Chronic gastritis	Chronic gastritis

73	Chronic superficial gastritis	56	male	Chronic gastritis	Chronic gastritis, inflammatory activity, bile reflux
74	Chronic superficial gastritis	57	female	Chronic gastritis	Erosions were present in the gastric antrum, chronic gastritis
75	Chronic superficial gastritis	55	male	Chronic gastritis	Antral-predominant gastritis, bile reflux
76	Chronic superficial gastritis	50	male	Chronic gastritis	Antral-predominant gastritis
77	Chronic superficial gastritis	56	female	Chronic gastritis	Chronic gastritis, inflammatory activity
78	Chronic superficial gastritis	51	female	Chronic gastritis	Antral-predominant gastritis
79	Chronic superficial gastritis	64	male	Chronic gastritis	Antral-predominant gastritis
80	Chronic superficial gastritis	46	female	Chronic gastritis	Antral-predominant gastritis, bile reflux (mild)
81	Chronic superficial gastritis	46	female	Chronic gastritis	Chronic gastritis, mainly of upper part of the stomach, inflammatory activity
82	Chronic superficial gastritis	60	female	Chronic gastritis	Antral-predominant gastritis

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