

Research Paper

Efficacy of Beta1 Integrin and EGFR Targeting in Sphere-Forming Human Head and Neck Cancer Cells

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Abstract

Background: Resistance to radiotherapy continues to be a limiting factor in the treatment of cancer including head and neck squamous cell carcinoma (HNSCC). Simultaneous targeting of $\beta 1$ integrin and EGFR was shown to have a higher radiosensitizing potential than mono-targeting in the majority of tested HNSCC cancer models. As tumor-initiating cells (TIC) are thought to play a key role for therapy resistance and recurrence and can be enriched in sphere forming conditions, this study investigated the efficacy of $\beta 1$ integrin/EGFR targeting without and in combination with X-ray irradiation on the behavior of sphere-forming cells (SFC).

Methods: HNSCC cell lines (UTSCC15, UTSCC5, Cal33, SAS) were injected subcutaneously into nude mice for tumor up-take and plated for primary and secondary sphere formation under non-adhesive conditions which is thought to reflect the enrichment of SFC and their self-renewal capacity, respectively. Treatment was accomplished by inhibitory antibodies for $\beta 1$ integrin (AIB2) and EGFR (Cetuximab) as well as X-ray irradiation (2 – 6 Gy single doses). Further, flow cytometry for TIC marker expression and cell cycling as well as Western blotting for DNA repair protein expression and phosphorylation were employed.

Results: We found higher primary and secondary sphere forming capacity of SAS cells relative to other HNSCC cell lines, which was in line with the tumor up-take rates of SAS versus UTSCC15 cells. AIB2 and Cetuximab administration had minor cytotoxic and no radiosensitizing effects on SFC. Intriguingly, secondary SAS spheres, representing the fraction of surviving SFC upon passaging, showed greatly enhanced radiosensitivity compared to primary spheres. Intriguingly, neither AIB2 nor Cetuximab significantly altered basal sphere forming capacity and radiosensitivity. While an increased accumulation of G0/G1 phase cells was observable in secondary SAS spheres, DNA double strand break repair indicated no difference on the basis of significantly enhanced ATM and Chk2 dephosphorylation upon irradiation.

Conclusions: In the HNSCC model, sphere-forming conditions select for cells, which are unsusceptible to both anti- $\beta 1$ integrin and anti-EGFR inhibitory antibodies. With regard to primary and secondary sphere formation, our data suggest that both of these SFC fractions express distinct survival strategies independent from $\beta 1$ integrin and EGFR and that future work is warranted to better understand SFC survival and enrichment before and after treatment to untangle the underlying mechanisms for identifying novel, druggable cancer targets in SFC.

Key words: Sphere formation, head and neck squamous cell carcinoma, radiosensitivity, beta1 integrin, EGFR.

Introduction

Human head and neck squamous cell carcinoma (HNSCC) is the sixth most common cancer worldwide with a 3-year survival rate of approximately 30 - 50 % at advanced-stages [1,2]. Importantly and despite multimodal treatment strategies including surgery and radiochemotherapy, the cure rates of this disease are unchanged for almost three decades. This scenario gives rise to the hypothesis that tumor-initiating cells (TIC) play a fundamental role in locoregional tumor control failure, limited patient overall survival rates and tumor relapse [3-6].

Based on the concept of tumor bulk cells being more sensitive to radiotherapy than TIC, an intensive screening was conducted for TIC-specific, druggable targets. With a proven clinical benefit, targeting the epidermal growth factor receptor (EGFR) with Cetuximab significantly increased both locoregional control and overall survival of patients with locally advanced HNSCC when combined with radiotherapy [7,8]. However, Cetuximab appears without TIC-specificity and similarly effective than radiochemotherapy with cisplatin ruling out potential and differential actions on bulk cells and TIC [9,10].

Prosurvival bypass signaling could be one factor critically influencing EGFR targeting. Such actions can appear at the cell membrane or in the cytoplasm. We and others demonstrated for anti-EGFR therapeutics like Cetuximab and Erlotinib a reduced efficacy due to increased ErbB2 signaling, EGFR-Src family kinase interactions or activation of JNK2 signaling via interaction of EGFR and JIP-4 [11-13]. Recently, we evidently exhibited that blocking of β 1 integrin stimulates B-Raf-MEK1/2-Erk1/2 signaling, which can be prevented by simultaneous β 1 integrin/EGFR inhibition resulting in enhanced radiosensitization *in-vitro* and complete tumor cure *in-vivo* [14]. Thus, targeting of β 1 integrins has a strong potential to sensitize cancer cells to conventional radio- and chemotherapies [15,16]. In general, β 1 integrins mediate radiochemoresistance via the FAK-Cortactin-JNK1 signaling axis [17]. Interestingly, this integrin associated cascade critically influences the non-homologous endjoining (NHEJ) DNA repair process as well as the distribution of eu- and heterochromatic DNA double strand breaks (DSB) [18,19]. Ataxia telangiectasia mutated (ATM), Ku70, Ku80 and Chk2 are some of the key determinants of the DNA damage response while heterochromatin protein 1 α (HP1 α) and histone H3 acetylation are indicators of the chromatin organization [20,21].

The question we addressed here is how SFC of different HNSCC cell lines react to simultaneous β 1

integrin/EGFR targeting in combination with radiotherapy and how these HNSCC TIC behave when repeatedly plated under non-adherent sphere forming TIC culture conditions. We used the concept of primary and secondary spheres which is thought to enrich for treatment resistant SFC as anticipated for the hypothesis of cancer stem cell repopulation under radiotherapy [22]. The susceptibility neither to a simultaneous β 1 integrin/EGFR inhibition plus/minus irradiation nor to irradiation alone of these two cell subpopulations has been elucidated.

Materials and Methods

Antibodies

Antibodies against FAK, β 1 Integrin, EGFR, p44/42 MAPK, p-p44/42 MAPK T202/Y204, ATM, Ku80, Chk2, pChk2 T68, Histone H3, HP1 α (Cell Signaling), β -Actin (Sigma), Ku70, Oct4 (Abcam), p-ATM S1981 (Rockland), acetyl-Histone H3 (Millipore), 5-Bromo-2'-deoxyuridine (BrdU)-FITC (BD), HRP-conjugated donkey anti-rabbit and sheep anti-mouse secondary antibodies (GE Healthcare), Alexa Fluor488, Alexa Fluor594, p-EGFR Y1068 (Invitrogen), 53BP1 (Novus Biologicals) were purchased as indicated. The β 1 integrin inhibitory antibody, clone AIB2, was isolated from a human choriocarcinoma hybridoma and is a rat monoclonal IgG1 [17]. EGFR blocking was achieved with the antibody Erbitux® (Cetuximab; Merck).

In vivo tumorigenicity experiments

NMRI (nu/nu) mice were used (pathogen-free breeding facility, Experimental Center, Medical Faculty, Technical University, Dresden, Germany) for subcutaneous injection of UTSCC15 and SAS cells. The animal facilities and the experiments were approved in accordance with institutional guidelines and the German animal welfare regulations (ethical approval reference number: 24D-9168.11-1/2010-21). For further immunosuppression, animals were whole body irradiated with 4 Gy (200 kV x-rays, 0.5 mm Cu-filter, ~1 Gy/ min) 3 days before cell injection. Cells were cultured under 2D cell culture conditions in DMEM supplemented with 10% fetal calf serum and 1% non-essential amino acids or under 3D cell culture conditions embedded in a laminin-rich extracellular matrix (IrECM (Matrigel™); BD) as published [18,23]. For tumor development, different cell numbers were injected subcutaneously into the left hind-leg of the mice in 60 μ L of BD matrigel (UTSCC15: 10, 10², 10³, 10⁴ cells; SAS: 12, 25, 10², 10³

cells). Four mice were used for each condition. The tumors were measured every 4 to 5 days and the mice were observed for 5 months for the development of tumors.

Cell cultures and radiation exposure

Human squamous cell carcinoma cell lines (UTSCC15, UTSCC5, Cal33 and SAS) of the head and neck (HNSCC) were kindly provided by R. Grenman (Turku University Central Hospital, Turku, Finland). Cells were cultured in Dulbecco's Modified Eagle Medium (PAA; plus glutamax-1) supplemented with 10% fetal calf serum (Biochrom) and 1% non-essential amino acids (PAA) at 37°C in a humidified atmosphere containing 7% CO₂. Irradiation was applied at room temperature using single doses of 200 kV x-rays (Yxlon Y.TU320; Yxlon) filtered with 0.5 mm Cu. The absorbed dose was measured using a Duplex Dosimeter (PTW). The dose-rate was approximately 1.3 Gy/min at 20 mA and the applied dose ranged from 0 to 6 Gy.

Sphere assay and treatment

Human squamous cell carcinoma cell lines (UTSCC15, UTSCC5, Cal33 and SAS; 500 cells per well) were cultured in 24 well ultra-low attachment plates (Corning Inc., Corning, NY). Cells were grown in serum-free Epithelial Basal Medium supplemented with 4 mg/mL insulin, B27 supplement, 20 ng/mL epidermal growth factor EGF and 20 ng/mL basic fibroblast growth factor bFGF. Cells were treated with AIB2 (10 µg/ml final concentration), Cetuximab (5 µg/ml final concentration) or AIB2+Cetuximab (10 µg/ml plus 5 µg/ml, respectively, final concentration) for 24 h prior to irradiation with 2, 4 or 6 Gy single x-ray doses. Non-specific IgG isotype antibodies were used as control (10 µg/ml final concentration). Spheres, defined as non-adherent spheres of ≥ 25 cells, were imaged and microscopically counted after 8 days. To investigate the formation of secondary spheres from the surviving cells of the first sphere forming assay, spheres were trypsinized for harvesting a single cell suspension. These single cells were plated a second time in 24 well ultra-low attachment plates with serum-free Epithelial Basal Medium. After 24 h, cells were treated and irradiated (2-6 Gy) and secondary spheres were counted 8 days later.

Total protein extracts and Western Blotting

UTSCC15 and SAS cells were cultured under sphere-forming conditions in 10 cm ultra-low attachment dishes in serum-free Epithelial Basal Medium. Again, we plated cells for the primary and the secondary sphere formation. Primary plating: Twenty-four hours after plating, cells were treated

with AIB2, Cetuximab or both, as mentioned above, and proteins were harvested from surviving spheres 8 days later using modified RIPA buffer (50 mM Tris-HCl (pH 7.4), 1% Nonidet-P40, 0.25% sodium deoxycholate, 150 mM NaCl, 1 mM EDTA, Complete protease inhibitor cocktail, 1 mM NaVO₄, 2 mM NaF). Secondary plating: Untreated primary spheres were trypsinized for single cell suspension and plated for a second time. Cells were exposed to AIB2, Cetuximab and the combination as described and proteins were harvested 8 days later. For investigating DNA repair proteins, cells were irradiated with 6 Gy and whole cell lysates were obtained 30 min, 2 h and 24 h later. After 8 days in culture unirradiated cells were reseeded and secondary spheres were irradiated with 6 Gy or left unirradiated and whole cell lysates were harvested after 30 min, 2 h and 24 h. Lysates were subjected to SDS-PAGE and Western blotting as described [18]. Probing and detection of specific proteins were performed with indicated antibodies.

Flow cytometric analysis of EGFR, β1 Integrin and ALDH

UTSCC15 and SAS cells were cultured under sphere-forming conditions in 10 cm ultra-low attachment dishes in serum-free Epithelial Basal Medium and EGFR and β1 integrin expression was assessed after 24 h and 8 days. Briefly, single cells were collected as described above and incubated with specific anti-EGFR or anti-β1 integrin antibodies for 30 minutes, washed three times and incubated with secondary antibodies for 30 minutes. For determination of ALDH activity, SAS cells were stained with ALDFLUOR™ (Stemcell Technologies) according to the manufacturer's instructions. Treatment of cells with diethylaminobenzaldehyde (DEAB; specific inhibitor of ALDH activity) served as control. Propidium iodide (PI) staining was used for elimination of dead cells and cells were sorted on FACS Aria II (Becton Dickinson). The percentage of positive cells was determined by Overton subtraction of the fluorescence profiles of the positive and isotype control cell populations.

Cell cycle analysis

SAS cells were cultured under sphere-forming conditions for 24 h as described above. Before harvesting, cells were incubated with 10 mM BrdU and then prepared for cell cycle measurement as published [15]. In brief, cells were trypsinized, washed with PBS and fixed in 80% ethanol until use. Then, cells were prepared for analysis by incubation with RNase A and HCl solutions. Subsequently, probing BrdU with mouse anti-BrdU antibodies and total DNA staining with PI was accomplished. Cell

cycle was determined by flow cytometry.

Detection of DNA double strand breaks

For detection of residual DNA double strand breaks (DSB), p53 binding protein-1 (p53BP1) was stained [18]. UTSCC15 and SAS cells were cultured under sphere-forming conditions. After 24 h, cells were irradiated with 6 Gy or left unirradiated. Two and 24 h later, cells were isolated for fixation (3% formaldehyde/PBS) and permeabilization (0.25% Triton X-100/PBS) using trypsin. After blocking with 1% BSA, cells were stained with a specific anti-p53BP1 antibody and embedded in Vectashield/DAPI mounting medium. p53BP1 positive nuclear foci were determined in at least 150 cells using an Axioscope 2 plus fluorescence microscope (Zeiss, Jena, Germany).

Statistical analysis

Data are presented as mean ± SD of at least 3 independent experiments. The level of significance was determined by unpaired, 2-sided Student’s t-test using Microsoft Excel. Results were considered statistically significant if a P-value of less than 0.05 was reached.

Results

HNSCC SFC show basal Cetuximab/AIIB2 susceptibility but no radiosensitization

Our previous work identified responder and non-responder HNSCC tumor models for simultaneous β1 integrin/EGFR targeting [14]. As responder model, we used UTSCC15 and as non-responder model SAS. We tested the cytotoxic and radiosensitizing potential of Cetuximab and the anti-β1 integrin antibody AIIB2 on SFC from UTSCC15 and SAS cell lines (Figure 1A). To elucidate changes in the susceptibility of these SFC to the chosen therapy over time, primary spheres were harvested, dissociated, and single cells were plated for secondary sphere formation (Figure 1A). The monotherapies and also the combined treatment of AIIB2 and Cetuximab caused a significant cytotoxicity in both HNSCC SFC as determined by the number of evolving spheres 8 days after treatment (Figure 1B, left panel) but had no impact on radiosensitivity (Figure 1C, left panel).

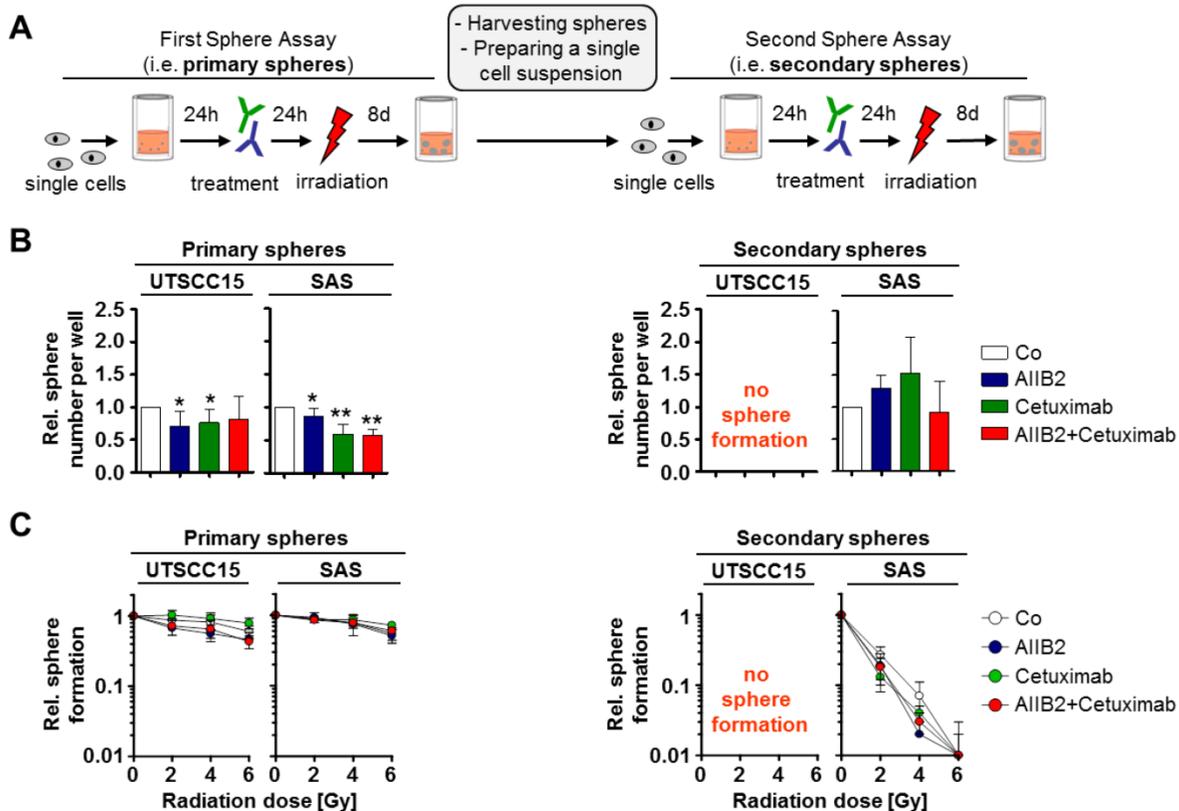


Figure 1. Primary and secondary HNSCC cell spheres show different treatment sensitivity. (A) Treatment scheme. Cells were plated under non-adherent conditions in serum-free Epithelial Basal Medium for sphere formation. After 8 days, spheres of untreated cells were harvested and plated for secondary sphere formation. **(B)** Number of primary and secondary spheres after AIIB2, Cetuximab and combined AIIB2/Cetuximab (IgG as control, Co). **(C)** Number of primary and secondary spheres upon irradiation (2 - 6 Gy) with and without AIIB2 and Cetuximab treatment. Results show mean ± SD (n = 3; t-test; * P < 0.05, ** P < 0.01).

Upon re-seeding of SFC for secondary sphere formation, to test their self-renewal capacity, we exhibited no sphere formation for UTSCC15 cells (Figure 1A and B, right panel) and an abolished Cetuximab/AIIB2 susceptibility in SAS (Figure 1B, right panel). Intriguingly, the radiosensitivity of SAS cells plated for secondary sphere formation was dramatically increased and independent from AIIB2 or Cetuximab co-treatment (Figure 1B and C, right panel).

These findings suggest HNSCC SFC growing at non-adherent conditions to be resistant to single and double targeting strategies for $\beta 1$ integrin and EGFR. HNSCC cells from primary sphere culture conditions show a highly differential capability to form secondary spheres and survive exposure to ionizing radiation.

SAS cells show a higher tumorigenicity in mice than UTSCC15 cells

Next, we evaluated the tumor take rate of the responder model, i.e. UTSCC15, versus the non-responder model, i.e. SAS. Cells of these cell lines were subcutaneously injected into nude mice and assessed for their tumor formation over 5 month. Before injection, the cells were cultured either under 2D monolayer conditions or under non-adherent sphere forming conditions. As a result, we found the tumorigenicity of the non-responder SAS cells to be higher than of the responder UTSCC15 cells independent from the growth conditions before injection (Table 1). Our observations indicate a yet to be identified molecular phenotype that elicits a clear

survival advantage in the HNSCC non-responder cell line SAS relative to the responder cell line UTSCC15.

Table 1. Tumorigenicity of UTSCC15 and SAS cells.

Cells injected	UTSCC15				SAS			
	10	100	1000	10000	10	25	100	1000
2D monolayer	0/4	1/4	3/4	4/4	4/4	4/4	4/4	4/4
Spheres	0/4	0/4	0/4	0/4	0/4	0/4	0/4	1/4

Numbers indicate number of animals showing tumors/number of animals receiving tumor cells.

$\beta 1$ integrin and EGFR target expression and signal transduction

To test whether the responder UTSCC15 and non-responder SAS HNSCC cells are characterized by distinct $\beta 1$ integrin and EGFR surface expression under sphere formation conditions, we determined the $\beta 1$ integrin and EGFR cell surface expression status in untreated spheres 24 h and 8 days after seeding (Figure 2A). Upon treatment with AIIB2 and/or Cetuximab, we further measured the expression and phosphorylation of associated downstream protein targets in cells grown under sphere forming conditions (Figure 2-B, C). While the positive $\beta 1$ integrin and EGFR cell surface expression in UTSCC15 sphere forming cells was stable between day 1 and 8 (Figure 2A), a strong increase in the percentage of SAS cells with receptor positivity was detectable between day 1 and 8 in the primary sphere culture (Figure 2A).

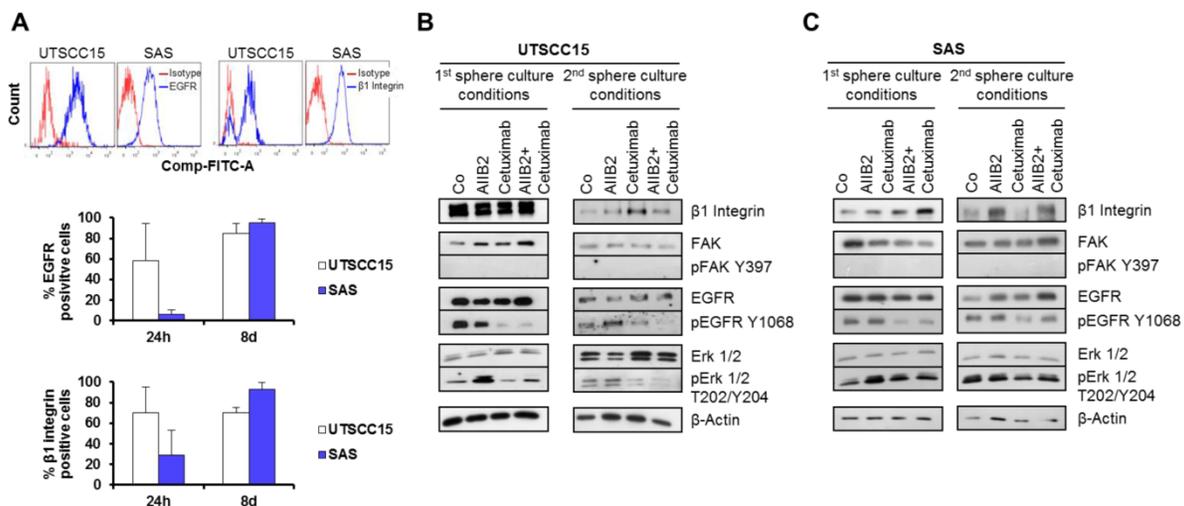


Figure 2. $\beta 1$ integrin and EGFR expression are similar in primary and secondary spheres. (A) EGFR and $\beta 1$ integrin surface expression in UTSCC15 and SAS primary spheres analyzed by flow cytometry. **(B)** Western blot analysis of indicated proteins on whole cell lysates from UTSCC15 and SAS primary and **(C)** secondary spheres. β -Actin served as loading control. Results show mean \pm SD (n = 3).

On the level of whole protein cell lysate analysis 8 days after primary or secondary sphere culture conditions with or without treatment, we found reduced EGFR Tyrosine (Y) 1068 phosphorylation in Cetuximab-treated UTSCC15 and SAS cells (Figure 2B and C). Interestingly, AIB2 induced Erk1/2 Threonine (T) 202/Y204 phosphorylation in primary spheres of both cell lines. However, upon Cetuximab treatment Erk1/2 dephosphorylation was only observed in UTSCC15 responder in contrast to SAS non-responder cells. Due to non-adherent growth conditions, FAK was completely dephosphorylated at amino acid residue Y397 in both cell lines (Figure 2B and C).

Similar to our investigations in HNSCC grown in laminin-rich extracellular matrix [14], the $\beta 1$ integrin and EGFR cell surface patterns do not predict for outcome without or with radiotherapy.

Sphere forming capacities vary between $\beta 1$ integrin/EGFR responder and non-responder HNSCC cell lines

Subsequently, we examined the primary and secondary sphere forming capacity of additional HNSCC cell lines, i.e. UTSCC5, Cal33, previously characterized as $\beta 1$ integrin/EGFR responder cell

lines according to UTSCC15 [14]. Amongst these cell lines, the non-responder SAS cells demonstrated the highest primary spheres forming capacity (Figure 3A). Upon irradiation, primary sphere growth revealed marginal differences between the responder cell lines UTSCC15, UTSCC5 and Cal33 as compared to the non-responder cell line SAS (Figure 3B). Similar to UTSCC15 cells, UTSCC5 and Cal33 cells were incapable of forming secondary spheres (Figure 3C). As described above, SAS cell radiosensitivity was dramatically enhanced under secondary sphere forming conditions (Figure 3C) relative to primary spheres (Figure 3B). SAS indicate to express a specific molecular phenotype that mediates a survival advantage relative to other HNSCC cell lines.

Sphere formation of ALDH positive and negative cell populations

The following experiments were performed to gain insight into the phenomenon of enhanced susceptibility of SAS in secondary spheres to irradiation and the interrelation with putative, functional cancer stem cell markers and DNA repair signaling. First, SAS cells were sorted for high and low ALDH activity as a marker for SFC in HNSCC [24–26] (Figure 4A). Subsequent plating under non-adherent conditions for sphere formation revealed that only ALDH positive cells are able to form spheres (Figure 4A). A comparative analysis of ALDH activity showed that primary SAS spheres consist of a higher number of ALDH positive cells than secondary spheres (Figure 4B). Additionally, we determined the expression of Oct4 as a marker for self-renewal and undifferentiated cells [27,28] and found a significantly higher Oct4 expression in secondary relative to primary spheres (Figure 4C).

Moreover, our study on cell cycle distribution indicated an enrichment of cells in the G0/G1 phase in favor of cells in S phase when comparing secondary versus primary spheres (Figure 5A).

To find an explanation for the high radiosensitivity of secondary relative to primary spheres, the DSB number was measured at 2 h and 24 h after irradiation. Interestingly, we were unable to detect any difference in the repair of radiogenic 53BP1 positive foci between primary and the secondary spheres (Figure 5B).

These findings indicate differential SFC marker expression and cell cycle distribution in primary and secondary spheres while the DNA repair of radiogenic DNA double strand breaks is similar.

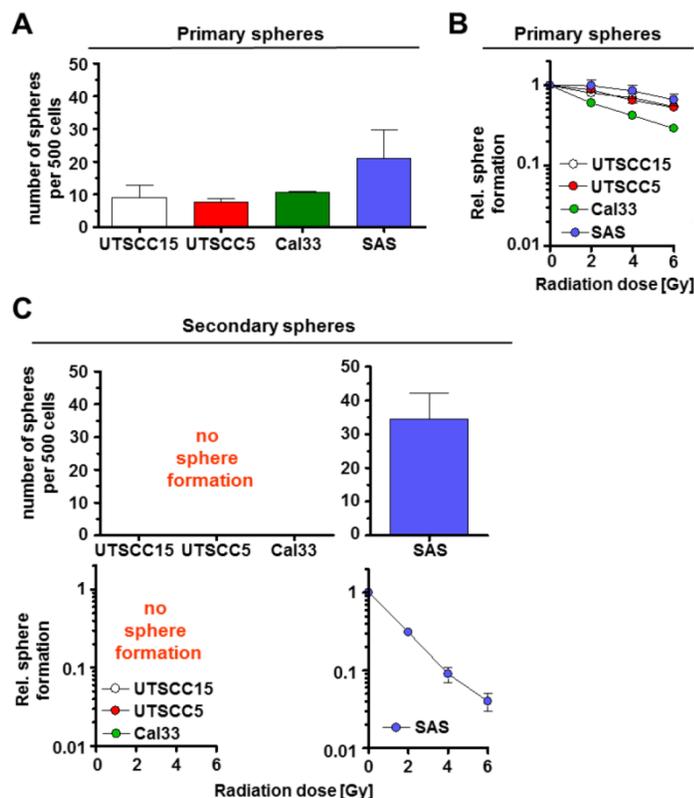


Figure 3. HNSCC cell lines exhibit different capacity for secondary sphere formation. Number of spheres formed per 500 non-irradiated (A) and irradiated (2 - 6 Gy) (B) cells. (C) Number of secondary spheres per 500 cells and sphere formation (2 - 6 Gy) after irradiation of unirradiated secondary spheres. Results represent mean \pm SD (n = 3).

DNA repair signaling in primary and secondary HNSCC spheres

Despite of the aforementioned similarity in DSB repair, the markedly enhanced radiosensitivity of the secondary relative to primary spheres motivated us to investigate the expression of DNA repair proteins. When comparing basal expression and phosphorylation levels, cells from secondary spheres showed significantly reduced Chk2 T68 phosphorylation and histone H3 acetylation than those from primary spheres (Figure 6A and B). This was paralleled by increased HP1 α expression. Other

proteins of interest remained stable in their expression.

Upon 6-Gy irradiation, we detected a significantly accelerated ATM Serine (S) 1981 and a strong Chk2 T68 dephosphorylation in secondary relative to primary spheres (Figure 6A and B). Ku70, Ku80, HP1 α and histone H3 acetylation showed no significant differences in irradiated primary and secondary spheres (Figure 6A and B).

In contrast to the data from the foci assay (Figure 5B), ATM and Chk2 seem perturbed in secondary spheres, which may in part explain the high radiosensitivity of this cell population.

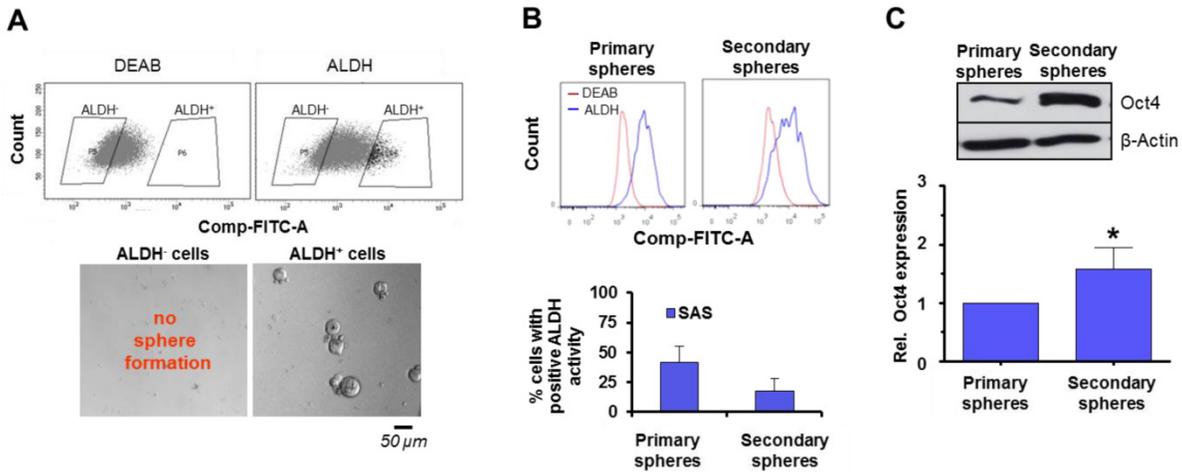


Figure 4. Role of ALDH activity as a HNSCC TIC marker in sphere formation. (A) SAS cells sorted for ALDH positivity and plated for sphere formation. (B) Analysis of ALDH activity by flow cytometry and (C) Oct4 expression by Western blotting 24 h after the start of the primary sphere culture and 24 h after the start of the secondary sphere culture. Results show mean \pm SD (n = 3; t-test; * P < 0.05).

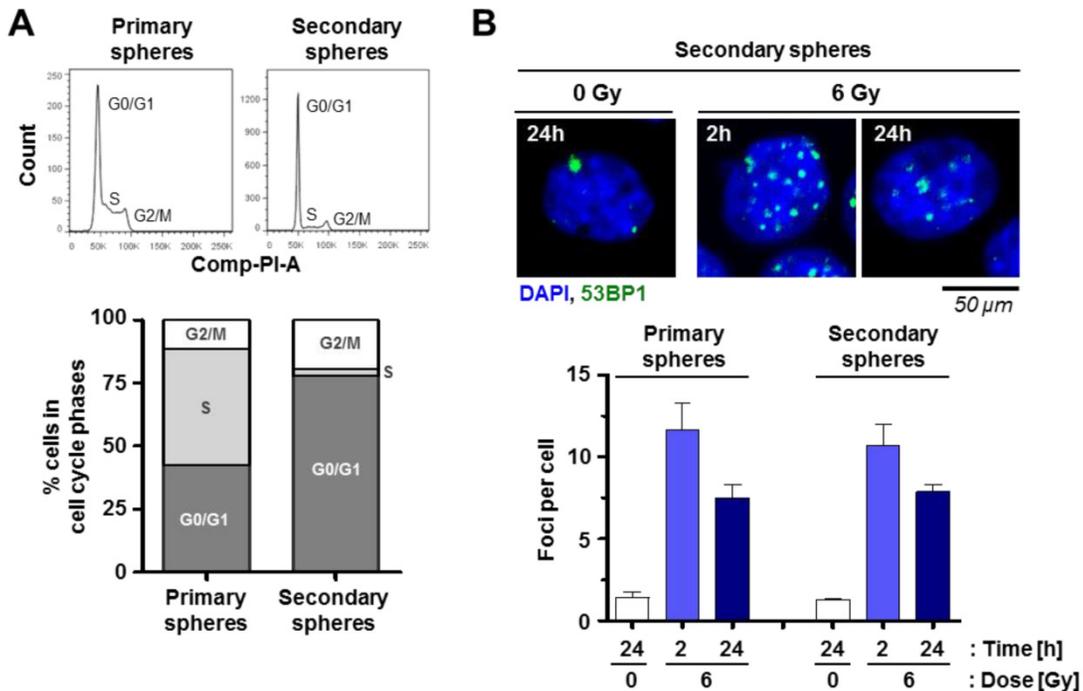


Figure 5. Cell cycling but not DSB repair varies between primary and secondary spheres of SAS cells. (A) Flow cytometric cell cycle analysis of primary and secondary spheres. (B) Quantification of p53BP1 positive radiation-induced foci per cell 2 h and 24 h after 6-Gy X-rays irradiation. Results show mean \pm SD (n = 3).

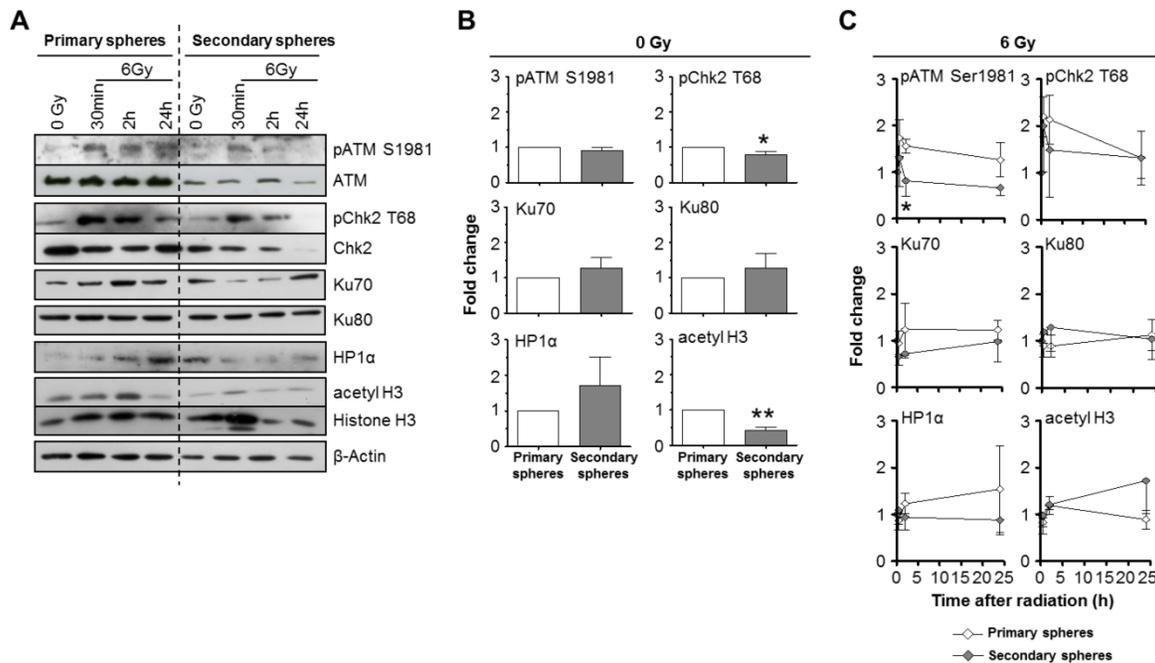


Figure 6. DNA repair and chromatin marker proteins are differentially expressed and phosphorylated in primary and secondary SAS spheres. Western blot (A) and corresponding densitometric analysis of unirradiated (B) and irradiated (C) SAS primary and secondary sphere cultures. β -Actin served as loading control. Results show mean \pm SD (n = 3; t-test; * P < 0.05, ** P < 0.01).

Discussion

Resistance to cancer therapy continues to be a limiting factor in the treatment of cancer including HNSCC. Simultaneous targeting of β 1 integrin and EGFR was shown to have a higher radiosensitizing potential than mono-targeting in the majority of tested HNSCC cancer models [14]. TIC markers were linked previously to poor outcome in HNSCC patients, with β 1 integrin as one of the strongest and independent prognostic TIC factor [22]. As TIC are thought to play a key role for therapy resistance, this study investigated the efficacy of β 1 integrin/EGFR targeting without and in combination with X-ray irradiation on SFC behavior. We found that (i) SAS cells, i.e. non-responder to AIB2, show a higher tumorigenicity in mice than AIB2 responder UTSCC15 cells, (ii) HNSCC TIC show basal Cetuximab/AIB2 susceptibility but no radiosensitization, (iii) β 1 integrin and EGFR were differentially expressed on UTSCC15 and SAS cells including an AIB2-induced Erk1/2 phosphorylation in primary but not secondary spheres of both cell lines, (iv) sphere forming capacities vary between β 1 integrin/EGFR responder and non-responder HNSCC cell lines, (v) sphere formation was only observed for ALDH positive SAS cell populations, and (vi) DNA repair signaling is compromised in secondary relative to primary SAS spheres.

Cancer cells grown in stem cell media and under low-attachment conditions are thought to have

properties of TIC with slow proliferation rate and high therapy resistance [29–34]. Interesting for us was that particularly SAS cells form tumors in nude mice when growing under 2D monolayer cell culture and not under sphere forming conditions. This feature is in line with the absent susceptibility to simultaneous β 1 integrin/EGFR targeting relative to the responder cell lines UTSCC15, UTSCC5 and Cal33 [14]. Further, serial passaging of cells harvested from spheres *in vitro* or serial transplantation of HNSCC cells *in vivo* was described to enrich the malignant subpopulation and the secondary spheres are shown to maintain marker expression specific for the resistant cells and their self-renewing capacity [30,35,36]. However, no data are available on the influence of irradiation on passaged spheres.

Comparing sphere forming capacity upon irradiation alone versus irradiation plus antibodies, we surprisingly found that secondary SAS spheres show enhanced radiosensitivity and sphere formation upon Cetuximab. In contrast, UTSCC15, UTSCC5 and Cal33 lost their potential to grow under sphere forming conditions when re-passaged. Our efforts in identifying the underlying mechanisms showed that the two targets, i.e. β 1 integrin and EGFR, are present on almost 100% of UTSCC15 and SAS cells before re-passaging and that changes in the major signaling mediators downstream of these two receptors cannot explain the differences in secondary sphere formation upon antibody treatment and irradiation. Interestingly, the non-responder SAS model showed a

low percentage of $\beta 1$ integrin and EGFR surface expressing cells at the 24-h time point of antibody treatment in contrast to the responder UTSCC15 cells. This observation might, at least partially, explain the difference in treatment susceptibility and warrants identification of the molecular phenotype of non-responder SAS cells as compared with HNSCC responder cell lines.

As quiescence or slow cell cycling might play an important role for therapy sensitivity and repair of DNA damage [37-39], we analyzed the cell cycle distribution in primary and secondary SAS spheres. While secondary spheres demonstrated enrichment of G0/G1-phase cells relative to primary spheres indicative of slow cell cycling, the removal rate of radiation-induced, 53BP1-positive foci showed to be similar in primary and secondary spheres. Generally, it is thought that an elongated cell cycle provides more time for repairing DNA damages via nonhomologous end-joining (NHEJ). This process is error-prone compared to the homologous recombination repair because a template for recombination is unavailable [5]. Since analysis of DNA breaks after irradiation revealed no difference between primary and secondary spheres, we had a closer look on proteins involved in the DNA repair processes. The initial step of a cascade resulting in cell cycle arrest, DNA repair or apoptosis is DSB recognition and ATM activation [40] upon ATM phosphorylation and checkpoint protein activation [41,42]. In our hands, irradiation led to an ATM hyperphosphorylation after 30 minutes. In primary spheres this hyperphosphorylation was maintained over 24 h whereas in the secondary spheres it was already reduced to baseline levels 2 h after irradiation. A similar phosphorylation pattern could be observed for the ATM downstream cell cycle checkpoint kinase 2 (Chk2) with regard to its threonine (T) 68 phosphorylation [41,43]. Another important component influencing the DNA damage response and radiation survival is chromatin organization [18,44-46]. In line with the quiescence in secondary sphere cells, we found higher HP1 α expression levels in secondary than in primary spheres. In contrast, HP1 α expression level increased in primary but decreased in secondary spheres upon irradiation. This suggests a different chromatin remodeling mechanism in secondary spheres and therefore a distinct accessibility for the DNA repair machinery. In accordance with increased quiescence and HP1 α expression dynamic, histone H3 acetylation was inversely detectable with decreased protein expression level in secondary spheres. Whether these findings are causative for the differences in

radiosensitivity seen in primary versus secondary SAS spheres require further study.

In summary, this study provides a possible explanation for the discrimination between responder and non-responder HNSCC tumor models for $\beta 1$ integrin/EGFR targeted therapy. Quantitative differences in SFC in the various HNSCC models used may relate to the different treatment susceptibility. Further, the SAS spheres analyzed here show some typical characteristics of TIC with therapy resistance and slow cell cycle progression. Future work will elucidate the molecular phenotype and the mechanistic link between chromatin and DNA repair for TIC radiosensitivity in more depth.

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Conflict of interest

The authors declare that no conflict of interest exists.

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