

Research Paper

Elevated expression of Derlin-1 associates with unfavorable survival time of squamous cell carcinoma of the head and neck and promotes its malignance

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Abstract

Derlin-1 is over-expressed to function as an oncoprotein in breast, lung and colon cancers. However, the implications of Derlin-1 involved in squamous cell carcinoma of the head and neck (SCCHN) remain unknown. This study aims to investigate the effects of Derlin-1 expression on SCCHN tissues and cells. The potential mechanism of Derlin-1 regulating SCCHN cell proliferation, apoptosis and metastasis was also indicated in this work. Western blot and immunohistochemistry (IHC) assays showed that Derlin-1 was over-expressed in 114 SCCHN samples and five SCCHN cell lines. We found that the expression of Derlin-1 was positively associated with lymph node metastasis, clinical stage and recurrence in our SCCHN patients' samples. Survival analysis indicated that high expression of Derlin-1 was significantly associated with shorter overall survival (OS) and disease-free survival (DFS). Knock down expression of Derlin-1 in SCCHN cell lines was found to inhibit cell proliferation, metastasis and promote cell apoptosis. Further experiments showed that signals of PI3K/Akt, p53 and Smad2/3 may involve in these processes. In all, Derlin-1 might be a novel prognostic marker of SCCHN patients and plays an oncogenic role in SCCHN cell progression.

Key words: SCCHN, Derlin-1, Survival, Apoptosis, Metastasis, EMT

Introduction

Squamous cell carcinoma of the head and neck (SCCHN) is the sixth most prevalent malignancy worldwide involving in the nasal cavities, paranasal sinuses, oral cavity, nasopharynx, oropharynx, hypopharynx, and larynx¹. Despite the multimodal therapies including surgery, radiotherapy, and chemotherapy have been applied in clinic for decades, the quality of life and survival rate in SCCHN patients lag behind people's expectation². Patients may get absolutely different outcome even they belong to the same TNM classification. There is a large limitation

for physician to predict patient outcome and make therapeutic decisions based only on TNM classification. Molecular tumor biomarkers facilitate the diagnosis and prognosis for SCCHN patients³. Therefore, patient may be benefited from some novel biomarkers involved in metastasis and pro-apoptosis.

Derl-like-protein-1 (Derlin-1) is a human homologue of yeast Der1p containing four transmembrane regions within the lipid bilayer of endoplasmic reticulum membrane⁴. It was initially reported as a partner of the p97 ATPase complex and regulator of

misfolded protein degradation from the endoplasmic reticulum (ER) to cytosolic⁵. The ER is a specialized organelle where cells correct protein folding and modify secretory and membrane proteins⁶. Cancer cellular adaptation to ER stress is mediated by the unfolded protein response (UPR), when cancer cell under intrinsic and external adverse conditions such as hypoxia or chemo-radiotherapy^{6,7}. There were evidences showed that the Derlin-1 played crucial roles in cystic fibrosis and cancers such as breast⁸, colon⁹, bladder¹⁰ and lung¹¹ cancers. However, there is nearly no study about Derlin-1 in SCCHN. Here, we found that Derlin-1 was over-expressed in SCCHN samples comparing to adjacent normal tissues. The expression of Derlin-1 was associated with T-stage, lymph node metastasis and clinical stage. And high expression of Derlin-1 independently predicted a shorter survival time of SCCHN patients. Knock down Derlin-1 expression regulated cell proliferation, apoptosis and migration in two SCCHN cell lines. Furthermore, the classical signal proteins, like p53, Smad2/3, PI3K/Akt, may involve in the regulation of SCCHN by Derlin-1. In general, this study may identify a promising biomarker for survival prediction and provide some novel insights in the mechanism of SCCHN malignance.

Materials and Methods

Tissues and follow-up

All sections of formalin-fixed paraffin-embedded SCCHN samples were from 114 patients who were treated at Xiangya Hospital from January 2000 to October 2005. In addition, 18 grossly dissected pieces of tumor and adjacent normal tissues were acquired from September 2012 to February 2013 and stored at -80°C until Western blot analysis. Consent for use of these samples was obtained from the Ethics Committee of the Xiangya Hospital of Central South University. All selected patients did not have a history of previous malignancies, radiotherapy or chemotherapy. The pathological characteristics of all the patients including age, differentiation, TNM information, smoking and alcohol history are summarized in Table 1.

Follow-up materials were obtained from 108 patients after surgery (follow-up rate was 94.7%). Six patients lost connection because of telephone number or residence changes. Recurrence was checked by clinical examination, imaging evaluation and pathological studies. Overall survival (OS) and disease-free (DFS) survival were calculated from the date of surgery to the date of death or tumour relapse respectively. Deaths from other causes were treated as censored cases. The follow-up time ranged from two to sixty months.

Table 1: Correlations between Derlin-1 expression and clinicopathological variables in patients with SCCHN

Variables	Number (percentage)	Derlin-1 expression		X ² Value	P Value	
		high	low			
age	≤57	52(45.61%)	22	30	0.018	1
	>57	62(54.39%)	27	35		
Gender	Male	109(95.61%)	47	62	0.019	0.890
	Female	5(4.39%)	2	3		
Alcohol intake	No	47(41.23%)	22	25	0.478	0.566
	Yes	67(58.77%)	27	40		
Tobacco use	No	30(26.32%)	11	19	0.663	0.520
	Yes	84(73.68%)	38	46		
Grade	G2+G3	39(34.21%)	18	21	0.243	0.692
	G1	75(65.79%)	31	44		
Tumor position	Glottic	81(71.05%)	32	49	1.38	0.298
	Others	33(28.95%)	17	16		
T-stage	T1+T2	63(55.26%)	25	38	0.626	0.452
	T3+T4	51(44.74%)	24	27		
Clinical stage	I-II	66(57.89%)	19	47	12.887	0.001
	III-IV	48(42.11%)	30	18		
Lymph node status	N-	84(73.68%)	29	55	9.319	0.003
	N+	30(26.32%)	20	10		
Recurrence [§]	No	56(51.85%)	9	47	31.349	<0.001
	Yes	52(48.15%)	36	16		

Note: p value < 0.05 was considered to be statistically significant and labeled in bold font

§: Six patients lost to follow-up because of telephone number changes or home moving.

Immunohistochemistry and evaluation of Derlin-1

Immunohistochemistry (IHC) was performed as we previously reported¹². Briefly, sections were deparaffinized, subjected to antigen retrieval. All slides were then soaked for a minimum of 5 minutes in phosphate-buffered saline (PBS), followed by incubation with normal immunoglobulin G (IgG) for 15 minutes and anti-Derlin-1 antibody (Sigma, USA 1:1000) at 4°C overnight. The slides were then rinsed with PBS three times and incubated with HRP-labeled goat anti-rabbit IgG. After being washed in PBS three times, the slides were stained with diaminobenzidine chromagen, and counterstained with hematoxylin.

Slides were visualized with light microscopy and qualitatively scored by two pathologists who were blinded to clinicopathological variables. An immunohistochemical intensity score (a) for Derlin-1 expression was empirically determined ranging from none (0), weak (1), moderate (2) to strong (3). In addition, the percentage of cell labeling was scored (b) as less than 25% (1), 25-50% (2), 50-75% (3) or greater than 75% (4). The total score of each slide was calculated by a multiplied by b. The determination of Derlin-1 expression into high or low is based on a

cut-off that is best for predicting overall survival status of SCCHN patients (the detailed analysis was showed in statistic section).

Cell lines and Transfection

DOK is immortalized non-malignant cell line derived from human oral mucosa. 5-8F and 6-10B derived from human nasopharyngeal carcinoma. FaDu and SCC-10A derived from human hypopharynx and larynx respectively. Tu686 derived from human oropharynx. Tu686, FaDu and SCC-10A were grown in Dulbecco's minimal essential medium containing 10% fetal bovine serum and 50 units/mL penicillin and 50 µg/mL streptomycin sulfate. DOK, 5-8F and 6-10B were grown in RPMI Medium 1640 containing 10% fetal bovine serum and 50 units/mL penicillin and 50 µg/mL streptomycin sulfate. Tu686 cell line was a gift kindly from Georgia Chen (Emory University). 5-8F, 6-10B, FaDu and SCC-10A cell lines were purchased from Cell Biology Research Laboratory of Central South University. Cells were incubated at 37°C in a humidified atmosphere of 5% CO₂. Cells were used in experiments when they were in logarithmic phase.

Derlin-1 shRNA was purchased from GeneCopoeis, Inc. The effectiveness of Derlin-1 shRNA had been confirmed by western blot. Lipofectamine 2000 (Invitrogen Corporation) was used in transient transfection with Derlin-1 shRNA. Following transfection, cells were incubated for 48 hours before being harvested for assays.

Western blot analysis

Thirty micrograms of total protein were separated by 10% SDS-PAGE as previously described¹³ and then transferred onto polyvinylidene difluoride membranes (Millipore, Bedford, MA). The blotted membranes were incubated with rabbit antibody against Derlin-1 (A81438, dilution 1:1000) (Sigma, USA), p53 (ab131442, Abcam), PI3K (3011S, Cell Signaling Technology), p-PI3K (Tyr458) (Tyr199) (4228S, Cell Signaling Technology), p-AKT (Ser 473) (4060P, Cell Signaling Technology), AKT (4685S, Cell Signaling Technology), Vimentin (10366-1-AP, Proteintech), E-cadherin, (20874-1-AP, Proteintech), Smad2/3 Antibody Sampler Kit (12747, Cell Signaling Technology), at 4°C overnight. After washing with PBS, they were incubated with HRP-labelled Goat Anti-rabbit IgG for 1h at room temperature. β-actin (Beyotime, China, dilution 1:1000) was incubated as internal control. The experiment was repeated in triplicates.

Cell viability and flows cytometry

Following transient transfection for 48h, the shRNA control or Derlin-1 shRNA transfected cells were cultured in 96-well plates for 96h. At each time,

cells were stained with Cell Counting Kit-8 (Beyotime, China) for investigating the cell numbers according to the manufacturer's instructions. The absorbance (490nm) was measured by a microplate reader. The growth curves for cell line with shRNA control and Derlin-1 shRNA was illustrated by GraphPad Prism software.

The shRNA control or Derlin-1 shRNA transfected cells were cultured in 6-well plates for 48h. Apoptotic cells were determined by phycoerythrin (PE) and 7-AAD staining in flow cytometry. Briefly, replicate cultures of 1 × 10⁶ cells were plated in cell culture wells. The cells were transfected with control shRNA or Derlin-1shRNA. The PE and 7-AAD staining fluorescence of individual nuclei was measured in the red fluorescence using a flow cytometer (Beckman Coulter Elite; Beckman Coulter, Fullerton, CA, USA), and the data were registered in a logarithmic scale.

Cell wound and Transwell migration assays

Transfected cells were cultured for 48h, and seeded in 6-well plates were permitted to proliferate to near 100% saturation adherent density and then were wounded by removing a line of cells with a disinfected Eppendorf Tip. 48 hours later, the wounded areas were photographed under a microscope.

Following transient transfection for 48h, all kinds of transfected cells were plated in Transwell cell culture inserts (Corning Costar) at a concentration of 2.5×10⁴ cells/well. Then, the cells were maintained and allowed to migrate for 48 hours. The cells that had not invaded were removed from the upper surface using a cotton swab. The cells that had invaded to the lower surface were stained with crystal violet solution and photographed under the microscope.

Statistical analysis

All statistical analyses were performed using IBM SPSS statistical software, version 21.0 (SPSS Inc., Chicago, IL, USA). Continuous variables were expressed as mean ± SD. The chi-square test was used to analyze the correlation between Derlin-1 expression and clinicopathological features. The Kaplan-Meier method and log-rank test were applied for survival. Identification of relevant prognostic factors was performed by univariate and multivariate Cox regression analysis. The best cut-off for Derlin-1 high expression determination was based on its total staining score for predicting our 50% overall survival status by receiver operating characteristic (ROC) curve. Differences were considered to be significant when P < 0.05. All tests were two-sided.

Results

1. The expression of Derlin-1 was elevated in SCCHN cancers tissues and cell lines

In order to investigate the expression profile of Derlin-1 in SCCHN, 18 pairs of fresh cancer tissue and adjacent mucosa were used in the western blot experiment. The expression of Derlin-1 was much higher in cancer than adjacent mucosa in 18 pairs of patient samples (Figure 1a). Derlin-1 expression was also measured in 5 kinds of SCCHN cancer cell lines

(5-8F, 6-10B, SCC-10A, FaDu, Tu686) and an immortalized non-malignant cell line derived from oral mucosa (DOK). As showed in Figure 1b, Derlin-1 expressed much more in SCCHN cell lines than non-malignant cell line. Among the SCCHN cell lines, the Derlin-1 expression in 5-8F and 6-10B was a bit lower than SCC-10A, Tu686 and FaDu.

In addition, IHC analysis was also performed to check the relative expression of Derlin-1 protein in 114 paraffin-embedded SCCHN slides and 6 adjacent normal mucosae. There was no stain of Derlin-1 in normal mucosa tissues (Figure 1c, left panel), but positively stained in 94.7% (108/114) of cancer slides. Among the patients with positive stain of Derlin-1, 45.4% (49/108) of patients were detected with high expression of Derlin-1 (Figure 1c, middle and right panel).

2. The Derlin-1 expression correlated with clinicopathological parameters in SCCHN patients

Given that Derlin-1 expression was elevated in SCCHN cancer samples and cell lines based on above results, the association of Derlin-1 expression with clinicopathological parameter was analyzed in 114 SCCHN patients. As summarized in Table 1, Derlin-1 expression was significantly associated with neck lymph node metastasis ($p = 0.003$), recurrence ($p < 0.001$) and clinical stage ($p = 0.001$) and respectively. However, no significant relationship was observed between Derlin-1 protein level and other factors such as age ($p = 1.000$) and primary tumor site ($p = 0.298$).

3. The expression of Derlin-1 is an independent prognostic factor of disease-free survival time in SCCHN patients

Among the 114 SCCHN patients, 108 were followed up. As showed in Figure 2, Kaplan-Meier survival analysis implied that patients with high expression of Derlin-1 seemed to suffer lower possibility of five-year overall survival (OS: 24.09% versus 84.13%) and disease-free survival (DFS: 18.07% versus 74.07%). A log-rank test further confirmed that a significant difference between five-year DSF and OS rate ($p < 0.01$).

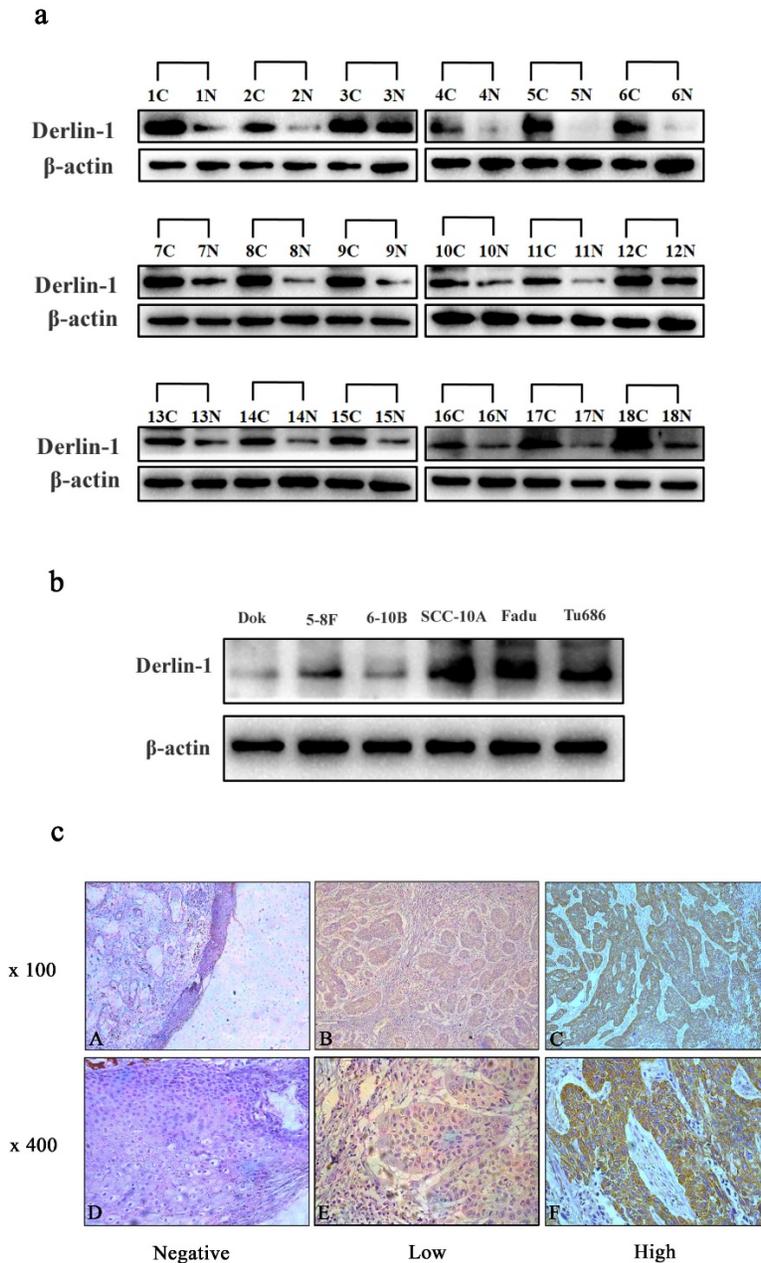


Figure 1: The expression of Derlin-1 in SCCHN cell lines and tissues. (a). Western blotting of Derlin-1 protein expression in the 18 pairs of primary human SCCHN cancer tissues (C) with adjacent normal mucosa (N). **(b).** The Derlin-1 expression in 5 SCCHN cell lines and 1 (5-8F, 6-10B, SCC-10A, FaDu, Tu686) and an immortalized non-malignant cell line derived from oral mucosa(DOK). **(c).** Representative immunohistochemical staining for Derlin-1 in primary human SCCHN tissues. Negative stain was detected in adjacent normal mucosa (Left panel); Low expression of Derlin-1 protein in primary SCCHN specimens (middle panel); High expression of Derlin-1 protein in primary SCCHN specimens (right panel); original magnification: Top row, $\times 100$; bottom row, $\times 400$.

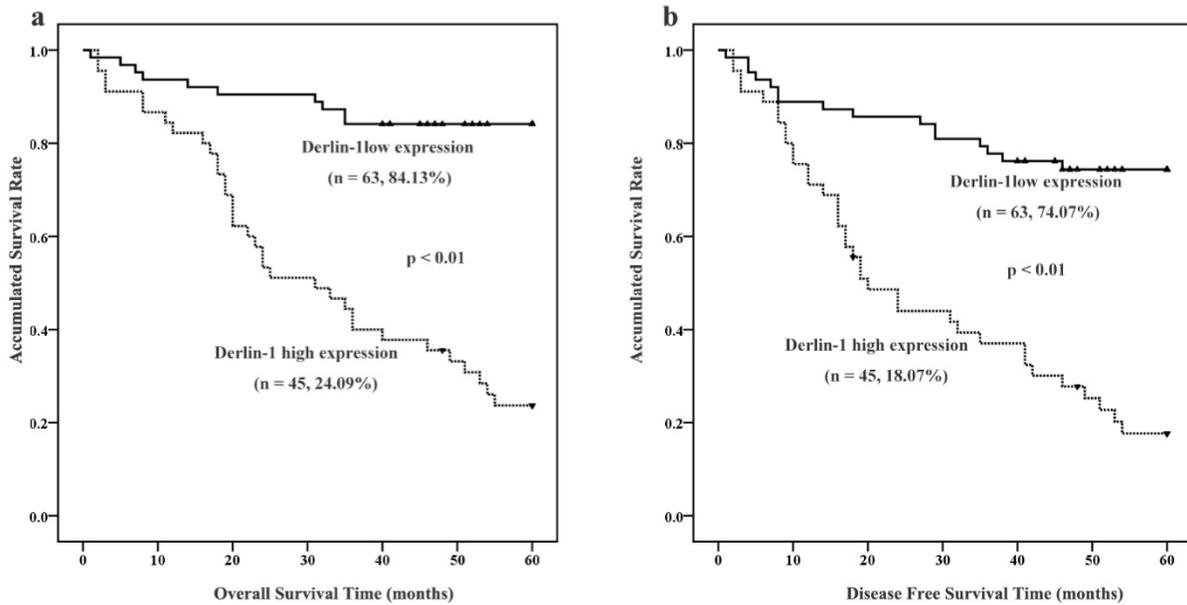


Figure 2: The expression of Derlin-1 associated with prognosis of SCCHN patients. (a, b). Kaplan–Meier survival analysis of overall survival and disease-free survival in all patients with SCCHN was generated according to Derlin-1 protein expression. The log-rank test was applied to calculate p value. Number of patients and five-year survival rate was indicated in brace. solid triangle represented censored data.

Univariate and multivariate Cox regression analyses were employed to clarify the independent factors affecting OS and DFS in SCCHN patients. Firstly, tobacco smoking, clinical stage, tumor classification, tumor position, lymph node metastasis and Derlin-1 protein expression were significantly associated with both OS and DFS after screening all the parameters in Table 2 by univariate analysis. Therefore, tobacco smoking, clinical stage, tumor classification, tumor position, lymph node metastasis and Derlin-1 protein expression were put into multivariate Cox analyses to see which one is independent factor impacting patient outcome. Tobacco smoking and Derlin-1 protein expression were finally determined to be independent prognostic factors for both OS and DFS in SCCHN patients by the multivariate Cox analyses with forward stepwise selection (Table 2).

4. Decreased expression of Derlin-1 reduced proliferation of SCCHN cell lines

These above results indicated high expression of Derlin-1 correlated with poor prognosis and advanced tumor classification. To understand the possible effect of Derlin-1 on SCCHN cells, shRNA of Derlin-1 was transferred into Tu686 and FaDu cell line. Western blot result revealed that Derlin-1 protein was successfully reduced (Figure 3a). CCK8 assay showed that there was significant decrease in cell viability of Tu686 and FaDu when Derlin-1 was knock down (Figure 3b-c). Meanwhile, the phosphorylated PI3K and Akt were decreased in the Derlin-1 knock group (Figure 3d-g).

Table 2: Univariate and multivariate COX analysis in SCCHN patients

Univariate analysis	Overall Survival		Disease Free Survival	
	Relative risk(95%CI)	p value	Relative risk(95%CI)	p value
Age (<58 vs. ≥58)	0.765(0.423-1.382)	0.375	0.805(0.467-1.388)	0.436
Alcohol (Yes vs. No)	1.230(0.673-2.247)	0.502	1.380(0.787-2.420)	0.261
Smoking history (Yes vs. No)	2.923(1.232-6.936)	0.015	3.954(1.681-9.300)	0.002
Histological grade (G2+G3vs. G1)	1.450(0.679-3.100)	0.337	1.429(0.728-2.808)	0.300
Tumor classification (T1+T2 vs. T3+T4)	2.146(1.174-3.924)	0.013	1.806(1.042-3.130)	0.035
Clinical stage (I-II vs. III-IV)	2.304(1.268-4.187)	0.006	2.556(1.472-4.441)	0.001
Lymph node status (N- vs. N+)	1.533(1.040-2.261)	0.031	1.458(1.016-2.093)	0.041
Derlin-1 expression (low vs. high)	6.897(3.392-14.024)	<0.001	4.792(2.641-8.696)	<0.001
Tumor position (glottis vs others)	0.459(0.249-0.843)	0.012	0.373(0.213-0.653)	0.001
Multivariate analysis	Overall Survival		Disease Free Survival	
	Relative risk(95%CI)	p value	Relative risk(95%CI)	p value
Tumor classification (T1+T2 vs. T3+T4)	1.810(0.983-3.333)	0.057	NA	NA
Derlin-1 expression (low vs. high)	6.321(3.103-12.877)	<0.001	4.630(2.539-8.445)	<0.001
Smoking history (Yes vs. No)	2.377(0.990-5.711)	0.053	3.669(1.550-8.680)	0.003
Clinical stage (I-II vs. III-IV)	NA	NA	NA	NA
Lymph node status (N- vs. N+)	NA	NA	NA	NA
Tumor position (glottis vs others)	NA	NA	0.399(0.225-0.706)	0.002

Note:

1) NA: excluded to model by multivariate COX proportion hazard analysis with forward stepwise selection.

2) Abbreviation: 95% CI, 95% confidence interval.

3) P value < 0.05 was considered to be statistically significant and labeled in bold font

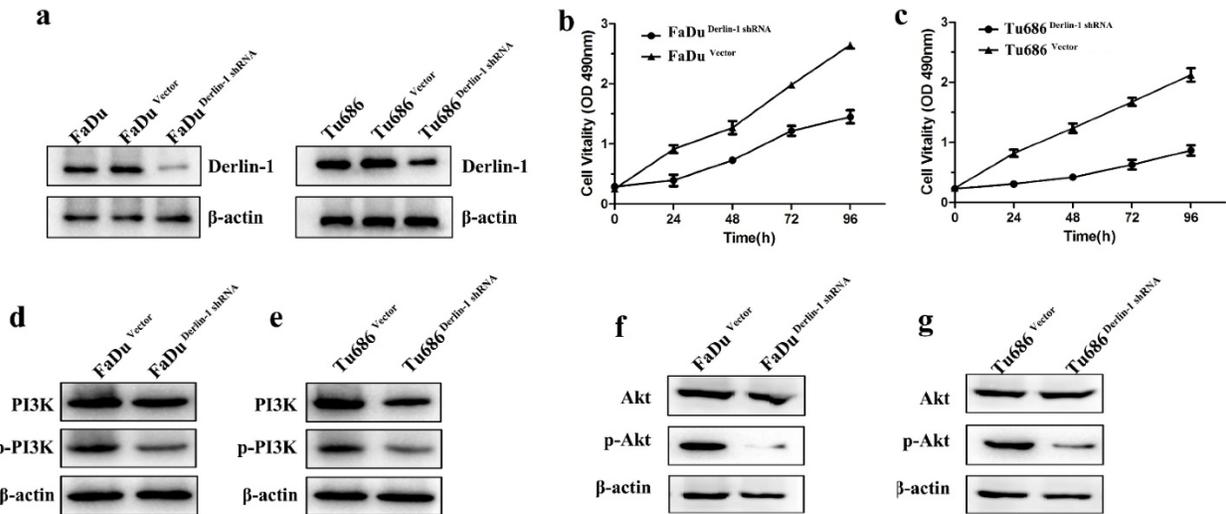


Figure 3: Decreased the expression of Derlin-1 inhibited SCCHN cell proliferation and PI3K/Akt activation. FaDu and Tu686 cell lines were transiently transfected with shRNA control or shRNA Derlin-1. (a), Derlin-1 shRNA was successful to knock down the expression of Derlin-1 in FaDu and Tu686 cell lines. (b, c), A CCK-8 assay was performed to show that knock down Derlin-1 expression could inhibit the FaDu and Tu686 cell viability on 4 time points. (d-g), Following transiently transfected with shRNA control or shRNA Derlin-1 for 48h, the total and phosphorylated PI3K and Akt expression were significantly reduced in FaDu and Tu686 cells with Derlin-1 down-regulation.

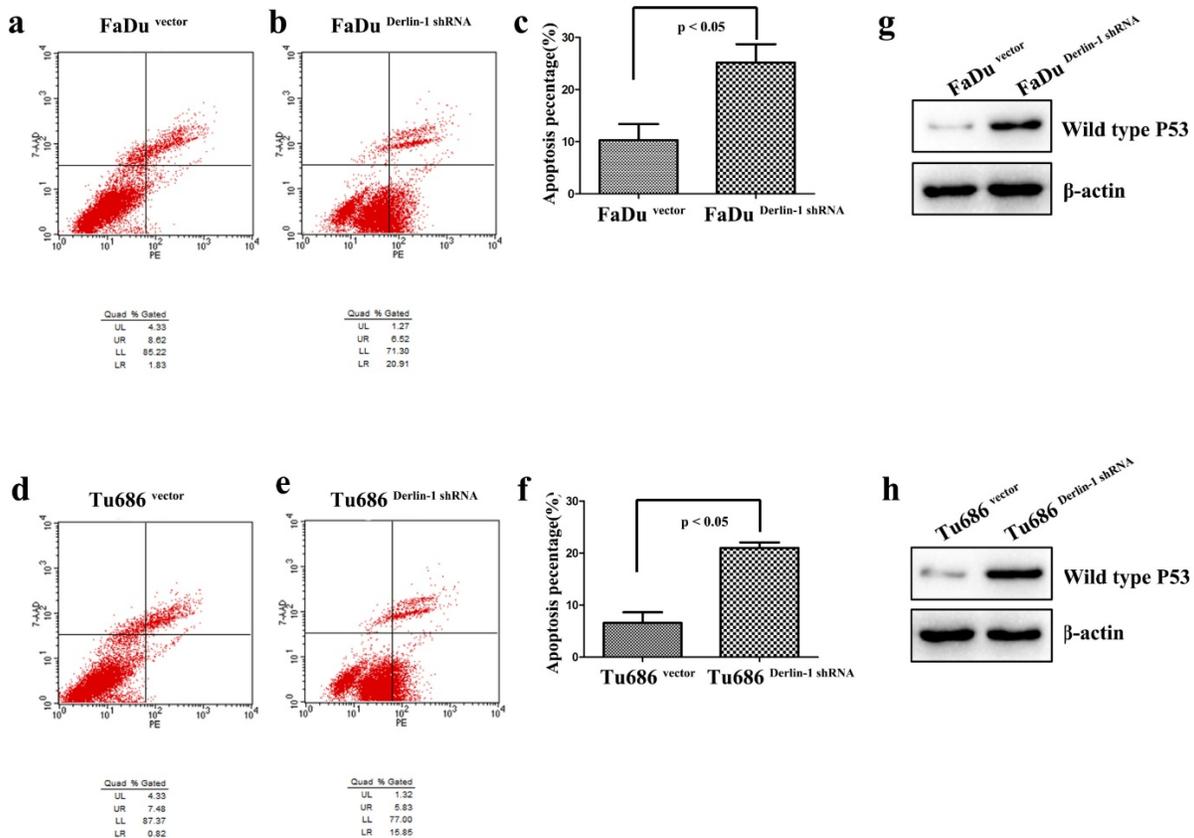


Figure 4: Down regulation of Derlin-1 induced SCCHN cell apoptosis and p53 expression. (a-b; d-e), Flow cytometry analysis showed that apoptotic percentages of FaDu and Tu686 cells were increased in the group of Derlin-1 with transient transfection for 48h. (c, f), The quantitative analysis for apoptotic FaDu and Tu686 cells respectively showed that the difference was significant (p < 0.05). (g, h), The expression of wild type p53 was promoted in both of FaDu and Tu686 cells when Derlin-1 shRNA was transfected transiently for 48h.

5. Decreased expression of Derlin-1 increased apoptosis of SCCHN cell lines

Apoptosis was measured in both Tu686 and FaDu cell line with Derlin-1 knock down. As present-

ed in Figure 4a-f, the percentages of early and late apoptotic cells were significantly increased in Tu686 and FaDu cell line with Derlin-1 knock down (p < 0.05). Interestingly, p53 was induced by Derlin-1 down-regulation in Tu686 and FaDu cell line (Figure 4g-h).

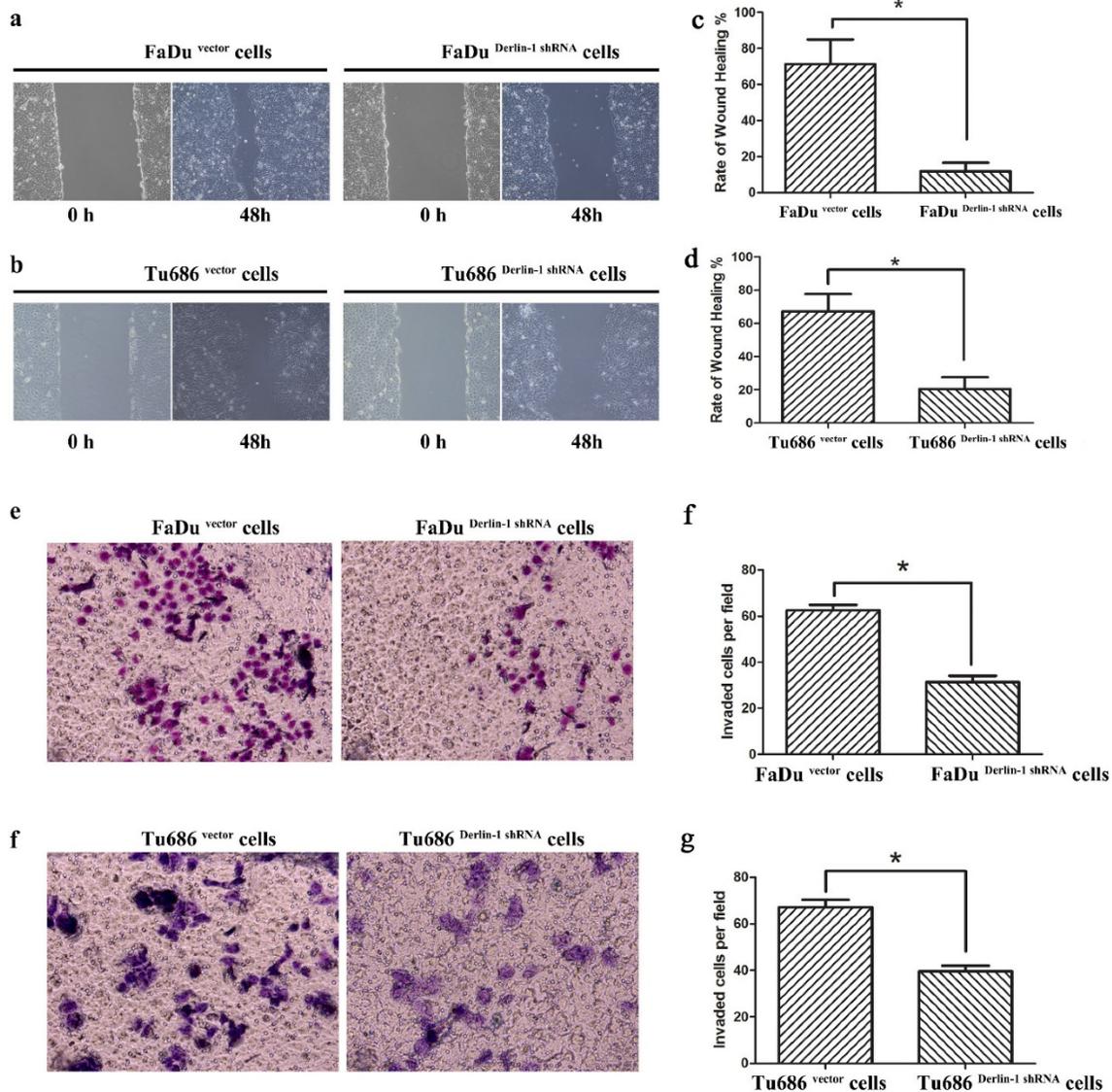


Figure 5: Inhibition of Derlin-1 attenuated the capability of SCCHN cell wound healing and migration. (a, b). Wound healing experiment showed that there was a different healing ratios between the group of vector and Derlin-1 shRNA in FaDu cell as well as Tu686 cell following by transient transfected for 48h. (c, d). The quantitative analysis for the ratio of wound healing in FaDu and Tu686 cell lines respectively showed that the difference was significant (asterisk means $p < 0.05$). (e, f). Transwell experiment showed that the invaded cell number in the group of FaDu and Tu686 cells with transient transfection of vector and Derlin-1 shRNA for 48h. (g, h). The quantitative analysis for FaDu and Tu686 cell invasion respectively showed that the difference was significant (asterisk means $p < 0.05$).

6. Knock down expression of Derlin-1 weaken the metastatic capability of SCCHN cell lines

Metastasis was thought of a lethal factor in various cancer patients. Considering our above result indicated that Derlin-1 expression was associated with lymph node metastasis, the invasion and migration of SCCHN cell lines were compared in the groups of Derlin-1 knock down and corresponding control. Wound healing experiment showed that cells transfected Derlin-1 shRNA migrated slower than control cells ($71.37 \pm 13.55\%$ vs $11.81 \pm 4.72\%$; $67.32 \pm 10.31\%$ vs $20.46 \pm 7.18\%$, $p < 0.05$, Figure 5a-b). The similar tendency was also observed in the transwell experiment (Figure 5e-f), which means dysregulation of Derlin-1 mediated cell invasion. Our previous work

indicated that TGF- β 1 promoted SCCHN cell metastasis via epithelial-mesenchymal transition¹⁴. As showed in Figure 6a, the epithelial biomarker E-cadherin was up-regulated in Derlin-1 knock down cells, which were accompanied by the down-regulation of Vimentin (mesenchymal biomarker). Furthermore, both of Smad2/3 and phosphorylated Smad2/3 were decreased in Tu686 and FaDu cell line with Derlin-1 knock down (Figure 6b).

Discussion

This study found that Derlin-1 protein was over-expressed in SCCHN cell lines and cancer tissues comparing to non-transformed immortalised epithelium cell line and adjacent normal mucosa respect-

ively. It kept according with investigations in lung¹¹, breast⁸ and colon⁹ cancers. Endoplasmic reticulum (ER) was a crucial platform coordinating several signaling including proliferation, apoptosis and migration^{15,16}. ER stress usually was evoked and accumulated when uncontrolled growth of SCCHN cells encountering unfavorable conditions such as hypoxia, chemo-/radio-therapy and pH alteration in microenvironment¹⁷. It was reported that Derlin-1 was up-regulated in breast cancer cells and yeast when ER-stress was activated^{8,18}. Therefore, the over-expression of Derlin-1 in SCCHN may be caused by ER-stress activation in this solid tumor. Furtherly, we found that Derlin-1 expression was associated with lymph node metastasis, recurrence and clinical stage, which meant Derlin-1 played a vital role in the initiation and progression of SCCHN. Importantly, the research implied that Derlin-1 expression could be an independently prognostic factor and its high expression significantly involved to a shorter survival time of SCCHN patients. Taking together with similar discoveries of other labs in breast⁸, colon⁹ and lung¹¹ cancers, Derlin-1 could be thought of an important biomarker of cancer prognosis and worth to further investigation.

The primary function of ER was help to fold and mature the secretory and transmembrane proteins¹⁹. Protein would be retro-translocated to cytosol from ER when adversely intrinsic and extrinsic alternation make it misfolded or immaturity²⁰. Then, the unfolded protein response (UPR), or named ER associated degradation (ERAD), would preferentially degrade the improper protein⁷. In the early stage of ER-stress activation, cell growth was promoted²¹. However, prolonged ER-stress would induce apoptosis if these disadvantageous stimulations persist, especially in the late stage of ER-activation²¹. The balance of pro-survival and pro-apoptosis controlled by UPR determined cell fate. Derlin-1 was firstly found in 2004 and confirmed as one component of complex for misfolded protein retro-translocation⁴. Whether the UPR associated protein, Derlin-1, regulated proliferation of SCCHN cells was investigated in this study. Our experiments indicated that knock down Derlin-1 expression could inhibit growth of both Tu686 and FaDu cell lines. And, we found PI3K/Akt pathway was involved in the Derlin-1 knock down cells. It is noteworthy that PI3K/Akt signaling plays a distinctly pro-survival role in multifaceted ways²². When Derlin-1 protein was down-regulated in Tu686 and FaDu cell lines, phosphorylation of PI3K was reduced significantly, which was also reported in colon cancer cell line. Although the detailed mechanism of Derlin-1

regulating PI3K/Akt remains unclear, the possible reason may be that related to ERAD.

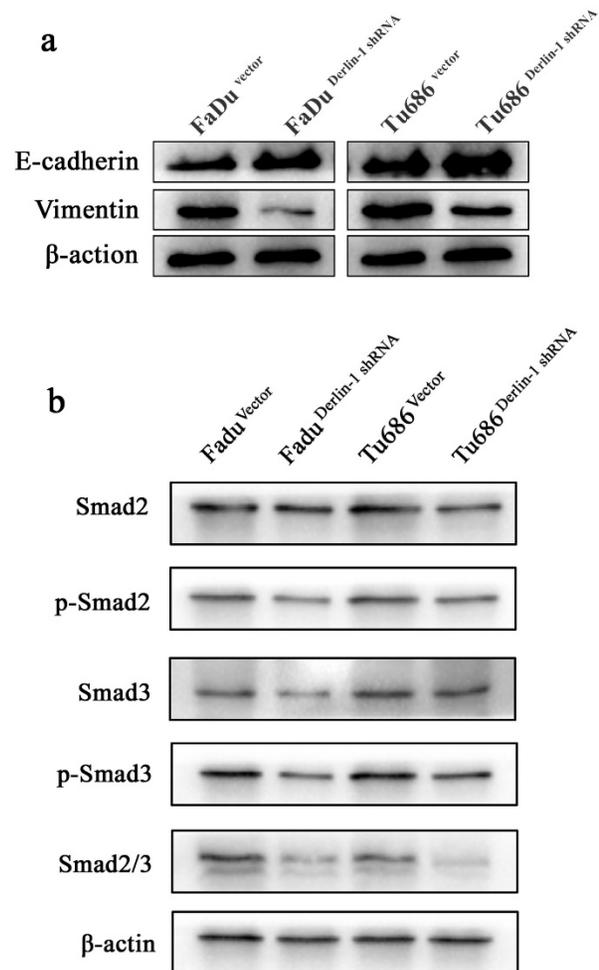


Figure 6: Derlin-1 regulated EMT related protein expression in SCCHN. (a). The expression of E-cadherin was induced, while Vimentin expression was depressed, in FaDu and Tu686 cells with Derlin-1 knock down transiently comparing to the vector control for 48h. (b). The total and phosphorylated of Smad2 and Smad3 expression were significantly reduced in FaDu and Tu686 cells with Derlin-1 down-regulation transiently for 48h.

Apoptosis escape was another cause of cancer permanent growth²³. Derlin-1 could constitute a part of retro-translocation channel for ERAD⁵. It was well known that ERAD generally inhibited apoptosis if ER stress was not severe and prolonged²¹. Down-regulation of Derlin-1 in cancer cells may weaken the ability of ERAD and then promote cell apoptosis. This study exactly illustrated that knock down Derlin-1 expression could increase the cell apoptosis of Tu686 and FaDu cell line. Meanwhile, our result showed that p53 protein was promoted in Tu686 and FaDu cells with Derlin-1 knockdown. The p53 tumor suppressor gene plays the vital role in maintaining the genetic homogeneity of somatic cells and is often inactivated in cancer cells²⁴. ER stress targeted wild-type p53 into the cytoplasm, where it is

degraded²⁵, providing a hypothesis for the inhibition of the apoptotic activity by ERAD-p53 pathway. Certainly, whether there is another mechanism of Derlin-1 regulating p53 protein expression required further study.

Interestingly, we found that decreased expression of Derlin-1 could reduce the migration and invasion of SCCHN cell lines, which happened to coincide with our above result that Derlin-1 expression associated with lymph node metastasis of SCCHN. And, the similar result in canine mammary adenocarcinomas²⁶ and human lung cancer¹¹ double confirmed the possibility of Derlin-1 regulating cancer cell metastasis. Our previous work indicated that TGF- β 1 moderated SCCHN metastasis by induction of epithelial-mesenchymal transition (EMT)¹⁴. In the process of EMT, loss biomarker of the cell-cell adhesion, such as E-cadherin, is controlled by some transcription regulators such as Snail-1, Slug and Twist that can promote mesenchymal characteristics including expression of vimentin, fibronectin, and N-cadherin²⁷. Here, we found that down-regulation of Derlin-1 expression could reduce the mesenchymal biomarker (Vimentin) expression and promote the epithelial biomarker (E-cadherin) expression. Meanwhile, the expression of Smad2/3 and their phosphorylated proteins were significantly decreased when Derlin-1 expression was knock down in Tu686 and FaDu cell lines. Phosphorylated Smad2/3 could facilitate the translocation of Smad4 into the nucleus where the complex can regulate transcription of its target genes such as E-cadherin²⁸. We noticed that the invasion assay in our study did not normalized by cell number reduction. Therefore, we can't exclude the possibility of that Derlin-1 induced cell invasion by mediating apoptosis. And, the possible mechanism of Derlin-1 regulating SCCHN cell metastasis may be related to both of EMT and apoptosis escape.

In conclusion, this study indicated that Derlin-1 was significantly over-expressed in SCCHN samples and associated with prognosis of SCCHN patients. What more, knock down expression of Derlin-1 in SCCHN cell lines could promote apoptosis and inhibit cell proliferation and metastasis. In spite of further function gain and loss studies required, PI3K/Akt, p53 and Smad2/3 signaling may involve in these processes regulated by Derlin-1. Taking together, Derlin-1 appears to be a promising biomarker which could be targeted for therapy, particularly in SCCHN patients with Derlin-1 overexpression.

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Contributions

LM Pi, YQ Tian and X Zhang designed this study. LM Pi performed all experiments. L She, M Wei, GC Liu, CH Chen, HL Tan assisted with experimental performance. DH Huang, YQ Tian assisted with the data analysis. LM Pi, GC Zhu, Y Liu and X Zhang wrote and modified the manuscript.

Competing Interests

The authors declare no conflict of interest.

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