

Research Paper

# The Prognostic Value of Peripheral Benzodiazepine Receptor in Patients with Esophageal Squamous Cell Carcinoma

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## Abstract

**Background:** The peripheral benzodiazepine receptor (PBR) has previously been reported as an oncogene in prostate, breast and colorectal cancers, but its prognostic value, biological behavior and function in esophageal squamous cell carcinoma (ESCC) has not been investigated.

**Methods:** qRT-PCR, western blotting and immunohistochemistry (IHC) were used to detect PBR expression in ESCC and matched non-cancerous tissues. Based on all of the significantly independent factors, a nomogram was established to predict the prognosis of ESCC patients. In addition, we performed comprehensive *in vitro* experiments to study the functions of PBR in cell growth, colony formation, and migration ability, as well as its relationship with epithelial-mesenchymal transition (EMT) related proteins in ESCC cells.

**Results:** The mRNA and protein expression levels of PBR in ESCC were higher than those in adjacent non-tumor esophageal epithelial tissues. The IHC results demonstrated that PBR expression was an independent prognostic factor in ESCC survival, patients with higher PBR expression had a poorer survival than those with low expression, and PBR expression was significantly associated with lymphoid nodal status. Furthermore, a nomogram was established to reliably predict the probability of death in ESCC patients, with a Harrell's c-index of 0.696. *In vitro* experiments, knocking down the expression of PBR inhibited proliferation, colony formation and migration of ESCC cells, and regulated EMT-associated proteins (up-regulation of E-cadherin, ZO-1 and  $\beta$ -catenin and concomitant with down-regulation of Fibronectin and N-cadherin).

**Conclusions:** PBR is an independent prognostic factor in ESCC, and it promotes ESCC progression and metastasis. Basing on PBR expression level, a nomogram is established and performs a well in predicting survival of ESCC patients.

Key words: Peripheral benzodiazepine receptor (PBR), Esophageal squamous cell carcinoma (ESCC), Prognosis, Nomogram.

## Introduction

Esophageal cancer (EC) is the eighth most common cancer and the sixth leading cause of cancer-related deaths worldwide, with an estimated 455,800 new cases and 400,200 deaths in 2012,

respectively [1, 2]. The incidence rate of esophageal cancer appears to be heterogeneous in terms of geographical areas and gender, with an occurrence of nearly 21:1 and 3-4:1, respectively [1]. There are two major histopathological types of EC: esophageal squamous cell carcinoma (ESCC) and esophageal adenocarcinoma. Factors contributing to EC include poor nutritional status, low intake of fruits and vegetables, drinking and smoking, and approximately 80% of all cases occur in less-developed regions, including Eastern Asia and Africa [1, 3]. In addition, as the predominant histopathological type in China, ESCC represents more than 90% of new cases, and its incidence and mortality has reached to half of the world [3, 4]. Despite the improvement in the surgical approaches and the multidisciplinary treatments, the 5-year survival rate remains poor [5]. Therefore, there is an urgent clinical need to develop new molecular targets to predict the prognosis and guide therapeutic strategies.

The Translocator protein (TSPO) gene, located on the 22q13.31 chromosome, encodes a widely expressed 18 kDa mitochondrial protein, peripheral benzodiazepine receptor (PBR) [6-8]. PBR was first identified in 1977, as an alternative binding site in kidney for the benzodiazepine diazepam [9]. More and more new functions of PBR were studied and elaborated on over the following decades. PBR is a translocator that imports cholesterol into mitochondria, which is related to its important function in steroidogenesis [10]. In addition, PBR can directly or indirectly regulate multiple cellular functions, including apoptosis, cell proliferation, differentiation, anion transport, porphyrin transport, heme synthesis, immune response and regulation of mitochondrial function [7, 11-13]. Based on these functions, PBR expression has been associated with various diseases, including brain injury, neurodegeneration, ischemia-reperfusion and cancer [14]. In terms of the relationship between tumors and PBR, there is clear evidence showing that the expression level of PBR is elevated in brain gliomas, breast cancer, colon adenocarcinoma, hepatocellular carcinoma, oesophageal carcinoma, prostate cancer, and endometrial and ovarian carcinomas, compared to the normal tissues [15-18], which may also indicated that PBR has a role in carcinogenesis. Important steps in tumorigenesis include infinite proliferative capability and loss of apoptotic ability. Indeed, PBR ligands can inhibit the proliferation of cancerous breast, melanoma, testis, colon, prostate and astrocytoma cells [19-24]. At the same time, PBR ligands have been shown to induce apoptosis in melanoma, hepatocellular, breast, oesophageal and colon carcinoma cell lines [21, 25-27]. However, until

now, both the proliferative and antiapoptotic role of PBR in solid tumor cells have only been verified using PBR drug ligands, rather than through direct evidence [25, 26, 28]. Only in astrocytomas, prostate, breast and colorectal cancers, there is direct evidence to indicate that PBR expression is a prognostic factor and a potential therapeutic target [15, 29-31].

In past years, only using PBR ligands, the importance of PBR in regulating the apoptosis of esophageal carcinoma cell lines has been preliminarily reported [26, 28]. However, no evidence has been provided regarding the prognostic value of PBR and no direct evidences has been observed regarding the cellular functions and molecular mechanism of PBR in ESCC. Hence, we decided to examine the differential expression of PBR at the mRNA and protein levels, in adjacent non-cancerous and ESCC tissues to evaluate its prognostic value using immunohistochemistry, establish a simple and easily visualized clinical nomogram, and verify the function of PBR in ESCC cell lines. Intriguingly, in addition to its prognostic significance, we also found that knockdown of PBR could inhibit the proliferation, colony formation ability, migration ability, and epithelial-mesenchymal transition (EMT) related proteins in ESCC cell lines.

## Patients and Methods

### Patients and tissue samples

All tissues were collected from ESCC patients who underwent radical esophagectomy at Sun Yat-sen University Cancer Center between October 2000 and April 2007, and tissue samples included 205 esophageal squamous cell carcinoma (ESCC) samples and 141 paired adjacent non-cancerous esophageal tissues. An additional 28 ESCC and paired adjacent non-tumor esophageal tissues, examined by quantitative RT-PCR and western blotting, were stored in liquid nitrogen during 2016. Those tissues also came from patients, who were pathologically and clinically diagnosed ESCC and were treated with radical esophagectomy without neoadjuvant and/or adjuvant chemoradiotherapy. All patients in the study had complete medical and follow-up records. The tumor pathological stage was based on the 7th edition of the Union for International Cancer Control-TNM Classification. This study was approved by the medical committee of the Sun Yat-sen University Cancer Centre (No.YB2016-056).

### Human esophageal squamous cell lines

The ESCC cell lines KYSE140 and KYSE410 were kindly provided by Professor Mu-Sheng Zeng (State Key Laboratory of Oncology in South China, Sun Yat-sen University Cancer Center) [32]. All ESCC cell

lines were cultured in DMEM (Invitrogen) supplemented with 10% fetal bovine serum (FBS; HyClone, Logan, UT) and in humidified conditions with 5% CO<sub>2</sub> and at 37°C.

### RNA isolation and Quantitative RT-PCR

Total RNA was isolated from ESCC tissue samples and cell lines using TRIzol reagent (Invitrogen), according to the manufacturer's instructions. The quality and concentration of the RAN were examined, with a NanoDrop spectrophotometer (ND-1000, Thermo Scientific, Massachusetts, USA). Complementary DNA (cDNA) was synthesized using 2 µg of RNA, according to the instructions provided in the reverse transcriptase kit (Invitrogen). Quantitative RT-PCR was performed using SYBR Green SuperMix on an ABIPrism-7500 Sequence Detector System (ABI, Applied Biosystems, Carlsbad, USA) to measure the mRNA level of the target genes. The expression data were normalized to the housekeeping gene ( $\beta$ -actin), which was used as an internal control. After normalization, we used  $2^{-\Delta\Delta Ct}$  normalization to calculate the mRNA copy number ratios. The primer sequences were as follows: PBR sense: 5'-CGGCCTGGCTAACTCCTG-3', PBR antisense: 5'-CTGCAGCTGCTGTTTCAGGG-3',  $\beta$ -actin sense: 5'-CACCATTGGCAATGAGCGGTTTC-3',  $\beta$ -actin antisense: 5'-AGGTCTTTGCGGATGTCCACGT-3'. To ensure the repeatability and accuracy of the results, all genes were tested three times.

### Western blotting analysis

Equal amounts of tissues or cell lysates (30 µg per lane) were electrophoretically separated by 10% SDS polyacrylamide gel electrophoresis and transferred to polyvinylidene difluoride membrane (Pall, Port Washington, USA). The membrane was blocked with 5% skimmed milk for 1 hour at room temperature, incubated with the indicated antibodies [ $\alpha$ -tubulin (1:3000, Santa Cruz Biotechnology, USA), PBR (1:1000, Abcam, USA), E-cadherin (1:1000, BD Biosciences, USA), N-cadherin (1:1000, BD Biosciences, USA), Fibronectin (1:1000, BD Biosciences, USA),  $\beta$ -catenin (1:1000, BD Biosciences, USA), ZO-1 (1:1000, Invitrogen, USA)] overnight at 4°C, and incubated for 45 min with the appropriated anti-rabbit or anti-mouse antibody.

### Immunohistochemical (IHC) analysis

All enrolled paraffin-embedded specimens were cut into 4 µm thick sections. IHC staining was performed using the standard method of the Dako Envision system. Briefly, all sections were deparaffinized, rehydrated and blocked of endogenous peroxidase activity using 0.3% hydrogen peroxide for 15 minutes. Then, the sections were

microwave-treated in 10 mM citrate buffer (pH 6.0) for 10 minutes. After natural cooling, the sections were incubated with anti-PBR monoclonal antibody (1:6000, Abcam, USA) at 4°C overnight and with a secondary antibody at 37°C for 30 minutes on the next day. Subsequently, diaminobenzidine was used to stain the target protein, and Mayer's hematoxylin was applied to redye the cell nuclei. All stained sections were assessed and scored independently by two senior pathologists (Dr. Mei Li and Dr. Rong-Zhen Luo), who were blinded to the clinical parameters. The final immunoreactivity score (IRS) was calculated by multiplying of the staining intensity about staining (0: no, 1: weak, 2: moderate or 3: strong) and the percentage of positively stained cells (0: 0-5%; 1: 6%-25%; 2: 26%-50%; 3: 51%-75%; 4: 76%-100%) [33]. In our study, the median value of all IRS scores (8.0) was chosen as the optimistic cut-off point to determine the expression level of PBR in ESCC.

### SiRNA transfection

The two siRNAs targeting the PBR [GenBank: NM\_000714.5] were represented as siPBR#1 (5'-UGGGAGGCUUCACAGAGAA-3') and siPBR#2 (5'-CCUUCACGACCACACUCAA-3'). siNC, which was nonhomologous to any human genome sequences, was used as the negative control. The KYSE140 and KYSE410 cells were transfected with 50 nM RNA duplex and 5 µl of Lipofectamine RNAiMAX (Invitrogen), according to the manufacturer's instructions. All cells were cultured for 48 hours after transfection and then harvested for further experiments.

### Cell growth assay in vitro

After transfection using siNC or siPBR#1 or siPBR#2 for 48 hours, KYSE140 (1000 cells/well) or KYSE410 (1200 cells/well) were seeded in 96-well plates in quintuplicate, and the cell growth rate was assayed with CCK-8 kit (Dojindo, Japan), according to the manufacturer's instructions. Subsequently, the absorbance of the solution after 2 hours was read at 450 nm using a Spectramax M5 microplate reader (Molecular Devices, Sunnyvale, USA).

### Colony formation assay

Cells (1000 cells/well) were evenly seeded into 6-well plates. After being cultured for 14 days, the colonies were fixed with methanol for 10 minutes, stained with 0.5% crystal violet in 20% methanol and counted. To ensure accuracy, each group of cells was texted in triplicate.

### Transwell assay

KYSE140 or KYSE410 ( $15 \times 10^4$  and  $10 \times 10^5$  cells, respectively) cells in 200 µl of FBS-free RPMI were

seeded in the top of a Transwell (BD Biosciences, San Jose, USA) chamber 48 hours post-transfection, while the lower chambers were filled with DMEM with 10% FBS. After 24 hours of incubation, cells on the lower surface of the chamber were fixed, stained and counted. Independent experiments were performed in triplicate.

### Statistical analysis

All statistical analysis was performed using the SPSS (version 20.0) statistical software package (SPSS Inc., Chicago, IL, USA). Student's tests were used to estimate the significance of differences. Kaplan-Meier plots and log-rank tests were performed to analyze patient survival. The Cox proportional hazards model was implemented with univariable and multivariable analysis. The Pearson  $\chi^2$  test was used to analyze the relationship between PBR expression and the clinicopathological characteristics. Two-tailed Mann-Whitney tests were performed to assess the differences between groups. A nomogram for possible prognostic factors associated with survival was established by R software, and the Harrell's concordance index (c-index) was used to evaluate the predictive accuracy (Supplemental material).  $p < 0.05$  was considered to be statistically significant.

## Results

### Baseline characteristics of patients

The baseline characteristics of 205 ESCC patients, categorized by PBR expression are listed in Table 1.

### The up-regulated expression of PBR in ESCC tissues

Quantitative real-time PCR (qRT-PCR) and western blotting were used to compare the PBR expression in ESCC tissues and corresponding non-cancerous esophageal mucosa derived from 28 ESCC patients. Both the protein expression of PBR and the PBR mRNA level, the expression of PBR in ESCC tissues was significantly higher than those in adjacent normal tissues ( $p=0.025$  and  $p=0.0052$ , respectively, Fig. 1). The results of further immunohistochemistry staining in 141 matched ESCC and normal tissues were consistent with the differential expression observed in qRT-PCR and western-blot, with  $p < 0.001$ . (Fig.2)

### The association between PBR expression and progression of ESCC

Sequentially, IHC staining was performed on 205 ESCC and 141 corresponding adjacent non-tumor esophageal tissues with a monoclonal PBR antibody. First, PBR staining was primarily displayed in the cytoplasm (Fig.2). Second, according to the IHC score

criteria, as described above, the median IRS score in ESCC tissues was 8, which was typically higher than the score in matched normal tissues (median: 4, Fig.2). Though non-negative staining was detected in the normal group, most normal esophageal squamous epithelium (84.4%, 119/141) showed weak staining. In addition, most ESCC tissues were strongly stained, with a 62.4% (128/205) optimistic staining rate. The difference between IRS scores of ESCC and those matched normal tissues was statistically significant, and the staining in ESCC tissues was stronger than those in normal tissues. ( $p < 0.001$ , Fig. 2)

**Table 1.** Association between PBR expression and clinicopathological variables in 205 ESCC patients

Variables	Cases	PBR expression		P value <sup>a</sup>
		Low	High	
Age(years)				0.109
Median <sup>b</sup>	57			
Range	32-80			
≤57	105	45(42.9)	60(57.1)	
>57	100	32(32.0)	68(68.0)	
Gender				0.199
Male	149	52(34.9)	97(65.1)	
Female	56	25(44.6)	31(55.4)	
Smoking Status				0.464
Non-smoker	76	31(40.8)	45(59.2)	
Smoker	129	46(35.7)	83(64.3)	
Alcohol Intake				0.550
No	155	60(38.7)	95(61.3)	
Yes	50	17(34.0)	33(66.0)	
Tumor location				0.934
Upper	15	5(33.3)	10(66.7)	
Middle	94	36(38.3)	58(61.7)	
Lower	96	36(37.5)	60(62.5)	
Differentiation				0.690
G1	54	27(50.0)	27(50.0)	
G2	105	32(30.5)	73(69.5)	
G3	46	18(39.1)	28(60.9)	
pT status				0.628
pT1	5	1(20.0)	4(80.0)	
pT2	59	24(40.7)	35(59.3)	
pT3	141	52(36.9)	89(63.1)	
pN status				<b>0.045</b>
pN0	112	49(43.8)	63(56.3)	
pN1-3	93	28(30.1)	65(69.9)	
pTNM status				0.051
II	129	55(42.6)	74(57.4)	
III	76	22(28.9)	54(71.1)	

<sup>a</sup>Chi-square test; <sup>b</sup>median age was 57 years for 205 enrolled ESCC patients. G, grade; pT, pathologic tumor; pN, pathologic node; pTNM, pathologic tumor-node-metastasis.

Combined with the further analysis of the immunohistochemistry results, another interesting observation was noted regarding the association between PBR expression and lymphoid nodal metastasis of ESCC patients. ESCC patients with lymphoid nodal metastasis had a higher expression level of PBR than those without lymphoid nodal metastasis ( $p= 0.045$ , Table 1). Regrettably, the  $p$  value, based on Pearson  $\chi^2$  analysis of the PBR

expression and TNM stage, was 0.051, and there were no significant associations between the PBR expression level and other clinicopathological variables (age, gender, smoking status, alcohol intake, tumor location, differentiation, pT status) (Table 1). These results indicated that PBR may play an important role in ESCC development and progression.

### The correlation between PBR expression and ESCC survival

Further analysis of the complete clinicopathological and follow-up data was performed, including the median observation period, disease-free survival (DFS) and overall survival (OS), which were 57 months (range: 3-168 months), 43 months and 56 months, respectively. At the final clinical follow-up point, a total of 126 cancer-related deaths had occurred, and the 1-year, 3-year, and 5-year survival rate were 83.4%, 56.6% and 49.3%, respectively.

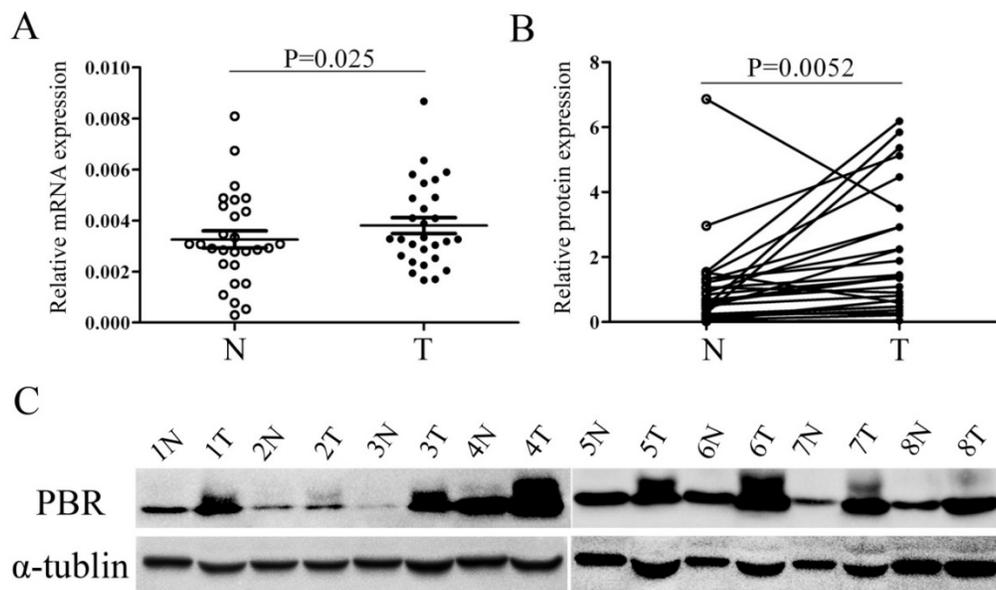
For the whole cohort, the values of survival times (both DFS and OS) among PBR-negative patients were higher than those among PBR-positive patients (median 54 vs. 18 months and 70 vs. 21 months,  $p=0.001$  and  $p<0.001$ , respectively, Fig. 3). In the further stratification of patients groups based on tumor stage, in addition to the OS in tumor Grade 1 stratified by PBR expression ( $p=0.015$ ), the PBR expression also showed further differences in DFS and OS when stratified by pT3-4 ( $p=0.003$  and  $p<0.001$ , respectively), pN0 (both  $P<0.001$ ), pTNM II stage ( $p=0.009$  and  $p=0.001$ , respectively) and tumor

grade 2 ( $p=0.008$  and  $p=0.005$ , respectively, Table 2). But no significant association between PBR expression and survival was detected in other variables.

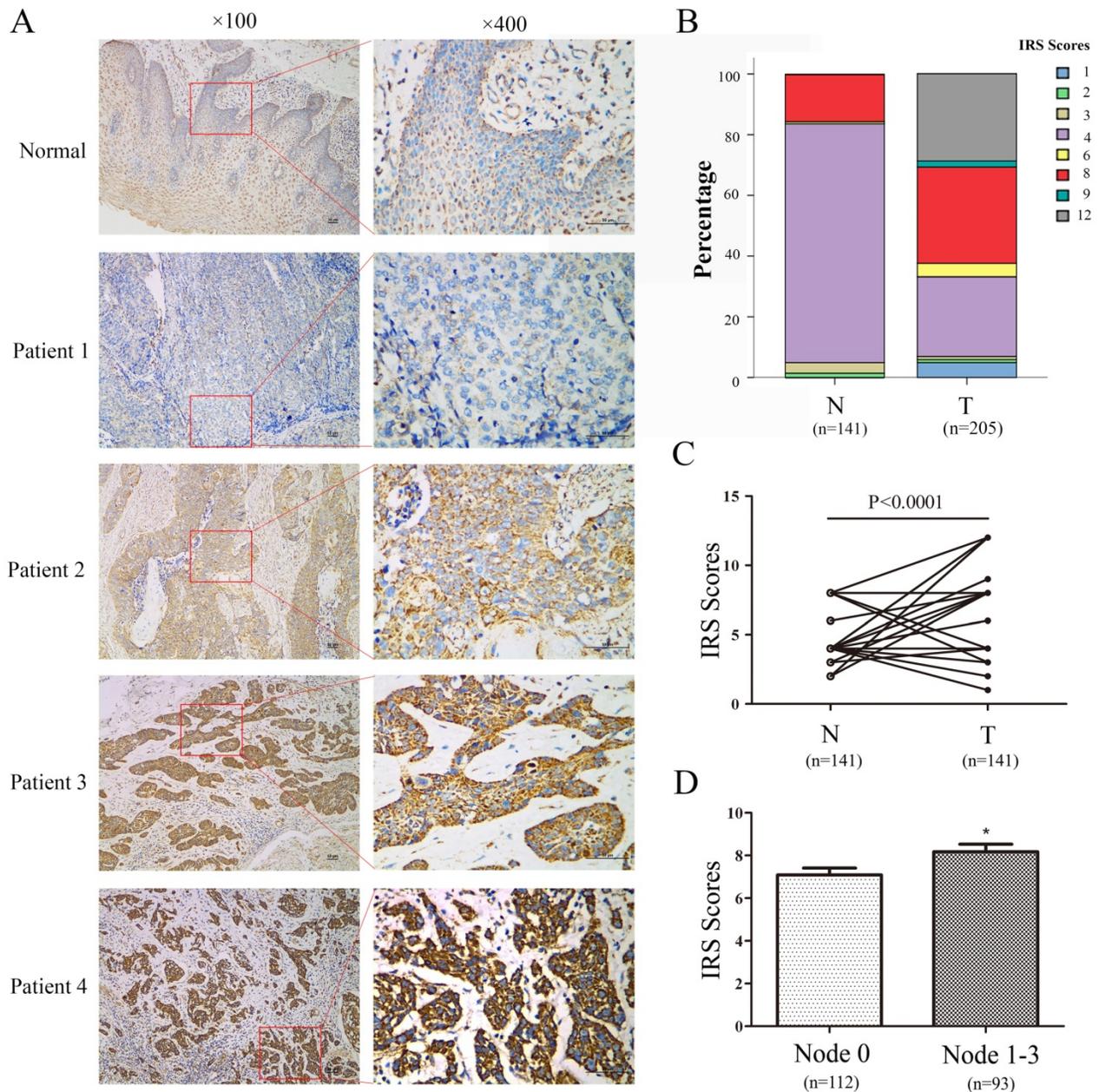
Furthermore, we established and analyzed a Cox proportional hazards model to further validate that whether PBR expression is an independent prognostic factor in ESCC. We entered a series of factors, including age, gender, smoking status, alcohol intake, tumor location, surgery methods, differentiation, pTNM stage and PBR expression, into the univariate Cox regression analysis. Only alcohol intake, differentiation, pTNM stage and PBR expression were associated with DFS and OS in a univariate analysis. Interestingly, the multivariate analysis model demonstrated that differentiation, pTNM stage and PBR expression were independent predictors in ESCC after enrolling all statistical variables from the univariate analysis (alcohol intake, differentiation, pTNM stage and PBR expression) (Table 3).

### Nomogram development based on PBR expression

To predict the risk for patients with ESCC after radical esophagectomy, a novel nomogram model was established using prognostic factors (PBR expression, pTNM stage and differentiation) combined with age and sex (Fig. 4). Specifically, total points were identified to determine the survival probability for ESCC patients after esophagectomy, which were counted by summing of the top scale corresponding to each factor. In addition, the Harrell's c-index of the nomogram for OS prediction was 0.696.



**Figure 1. PBR expression is up-regulated in esophageal squamous cell carcinoma tissues, compared with peritumoral normal esophageal tissues.** The relative expression of PBR mRNA (A) and protein (B, C) was determined by quantitative RT-PCR and western blotting, respectively, in 28 pairs of matched ESCC and non-tumor tissues. mRNA levels are presented as the means  $\pm$  SD and normalized to the housekeeping gene  $\beta$ -actin in qRT-PCR. N, matched noncancerous tissue; T, tumor tissues; ESCC, esophageal squamous cell carcinoma.

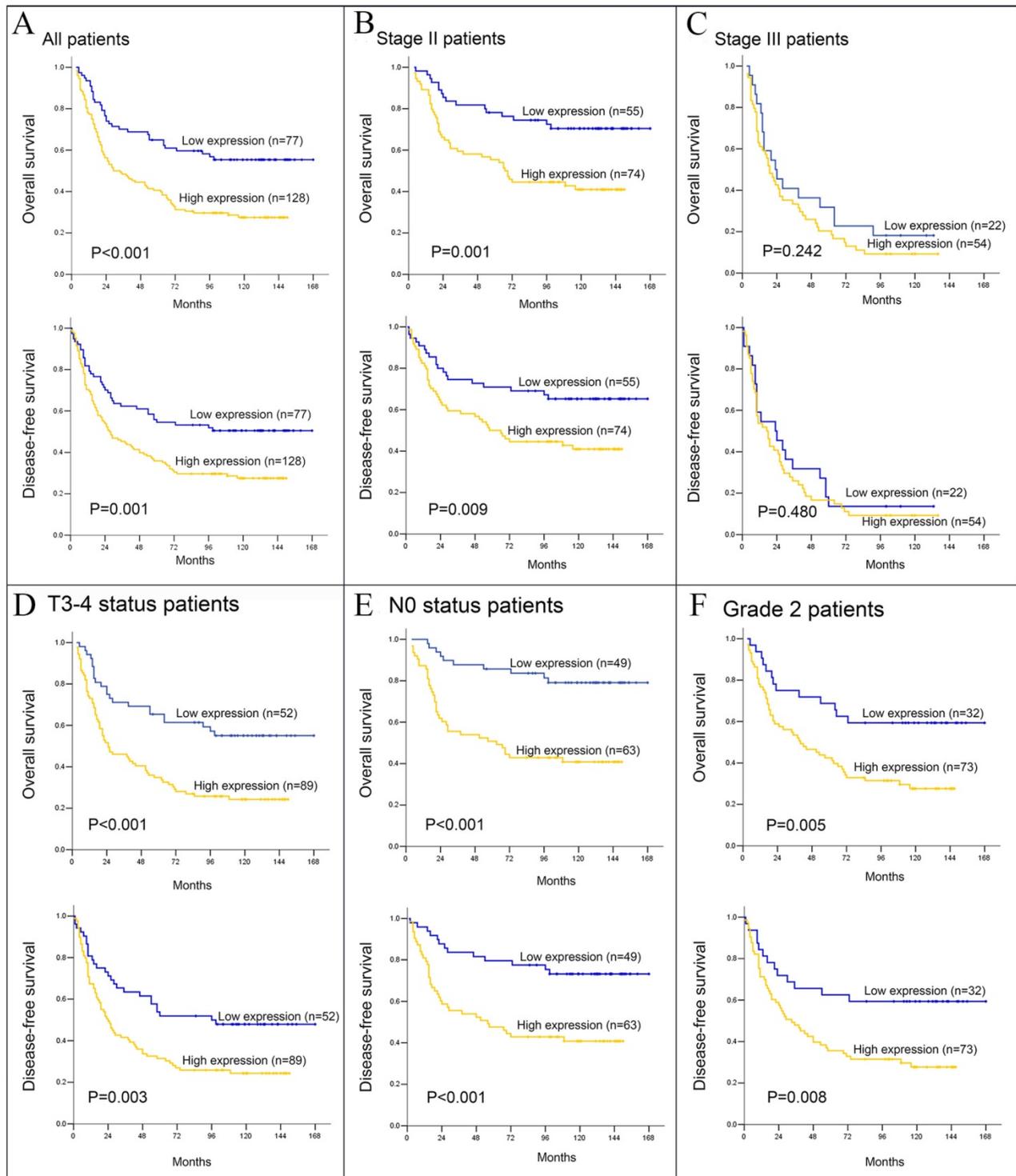


**Figure 2.** PBR expressions in ESCC and peritumoral normal esophageal tissues were examined by immunohistochemistry. (A) Representative immunohistochemical results of PBR expression in normal esophageal epithelium tissue (weak staining) and four ESCC tissues (patient 1: negative staining, patient 2: weak staining, patient 3: moderate staining, patient 4: strong staining). (B) The relative percentage of staining was evaluated in paired ESCC tissues using immunoreactivity scores (IRS). (C) Significant difference was found between 114 paired ESCC tissues. (D) PBR expression in cases with lymphoid nodal metastasis was significantly higher than in cases without lymphoid nodal metastasis.

### Down-regulation of PBR expression suppresses the proliferation and clonogenicity of ESCC cell lines

To investigate the functional role of PBR in ESCC tumorigenesis, we knocked down the PBR expression in KYSE140 and KYSE410 cells using two siRNA duplexes. In addition, the PBR expression was confirmed to be down-regulated by qRT-PCR and Western blotting assay (Fig. 5). Later, we used an MTT

assay to detect the proliferative ability of ESCC cells. Compared to the negative control (NC), siPBR treatments could markedly reduce the proliferation rate of ESCC cells (Fig. 5). Moreover, down-regulated PBR expression in esophageal squamous cells KYSE140 and KYSE410 caused a dramatic decrease in both the size and number of colonies (Fig. 5). Together, our findings suggested that PBR could play a growth-promoting role in ESCC cells.



**Figure 3.** Kaplan-Meier survival analysis, in related to PBR expression, was performed in ESCC patients. Overall survival (OS) and disease-free survival (DFS) for all 205 ESCC (A), stage II (B) and III (C) patients was further analyzed. (D, E and F) OS and DFS for T3-4, N0 and Grade 2 ESCC patients, respectively.

### Knockdown of PBR expression inhibited migration of ESCC cells

To confirm the correlation between PBR and metastasis of ESCC, we performed and compared Transwell assays with KYSE140 and KYSE410 cells.

After a 24-hour incubation, the number of cells that migrated in the groups with siPBR treatments was significantly less than in the NC group (Fig. 5), which is consistent with the previous clinical results. As is well known, EMT is a trigger that activates the invasion ability of cancer cells, and its physiological

relationship with carcinogenesis and cancer metastasis has been verified by an accumulation of observations in human tumors and experimental animal models [34-36]. To study the possible mechanism of PBR in terms of ESCC migration, we examined and compared the expression level of EMT-associated proteins in KYSE140 and KYSE410 cells with siPBR treatment and the NC group. Intriguingly, we found that knocking down the PBR expression in ESCC cells can up-regulate the expression of E-cadherin, ZO-1 and  $\beta$ -catenin, and concomitantly down-regulated the expression of Fibronectin and N-cadherin expression (Fig. 6). These results indicated that the mechanism of PBR may involve EMT regulation in ESCC cells.

## Discussion

In spite of the abundance of studies on PBR reported in the past several decades, the functions of PRB are not yet fully understood. Recently, several studies have reported that PBR is ubiquitously expressed in most healthy and diseased tissues, but its expression varies considerably. For example, PBR is expressed at a high level in steroid-producing, secretory and glandular tissues [37]. Meanwhile, intermediate levels of PBR are detected in renal and myocardial tissues, and low levels are found in brain, liver, skeletal muscle and the gastrointestinal tract [7, 37]. These findings only focused on the protein levels of PBR in some tissues, but they also indicated that heterogeneity of PBR expression may be related to different cellular functions. Therefore, in the last decade, more and more studies have fueled an interest in the function of PBR in relation to cancer, making it necessary to discuss recent advances about complex functions of PBR.

**Table 2.** Prognostic value of PBR expression in 205 ESCCs (log-rank test)

PBR expression	Cases	DFS(months)			OS(months)		
		Mean	Median	P-value <sup>a</sup>	Mean	Median	P-value <sup>a</sup>
Total	205			<b>0.001</b>			<b>&lt;0.001</b>
Low expression	77	98	NR		108	NR	
High expression	128	60	27		62	29	
pT status							
pT1-2	64			0.161			0.140
Low expression	25	99	NR		103	NR	
High expression	39	72	56		75	66	
pT3-4	141			<b>0.003</b>			<b>&lt;0.001</b>
Low expression	52	96	96		108	NR	
High expression	89	54	24		57	25	
pN status							
pN0	112			<b>&lt;0.001</b>			<b>&lt;0.001</b>
Low expression	49	134	NR		142	NR	
High expression	63	77	56		78	63	
PN1-3	93			0.414			0.918
Low expression	28	33	13		44	23	
High expression	65	41	22		46	25	
pTNM							
Stage II	129			<b>0.009</b>			<b>0.001</b>
Low expression	55	120	NR		130	NR	
High expression	74	80	58		82	67	
Stage III	76			0.480			0.242
Low expression	22	38	23		47	23	
High expression	54	31	17		36	19	
Differentiation							
G1	54			0.067			<b>0.015</b>
Low expression	27	112	NR		123	NR	
High expression	27	70	66		71	66	
G2	105			<b>0.008</b>			<b>0.005</b>
Low expression	32	109	NR		113	NR	
High expression	73	61	33		64	40	
G3	46			0.470			0.165
Low expression	18	46	26		59	28	
High expression	28	41	15		44	21	

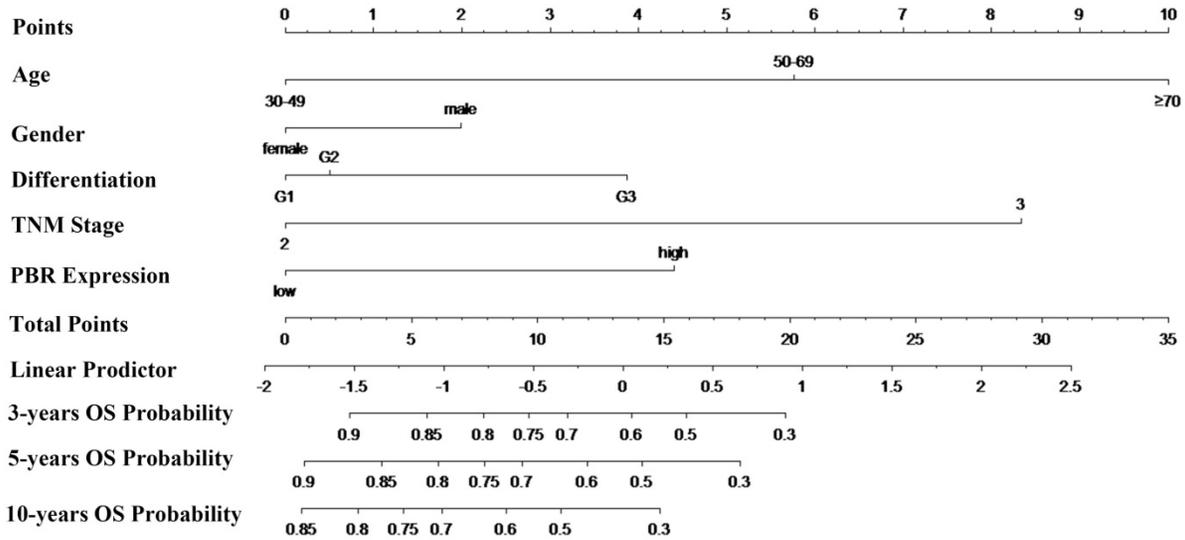
<sup>a</sup>Log-rank test.

DFS, disease-free survival; OS, overall survival; pT, pathologic tumor; pN, pathologic node; pTNM, pathologic tumor-node-metastasis; G, tumor grade; NR, not reached.

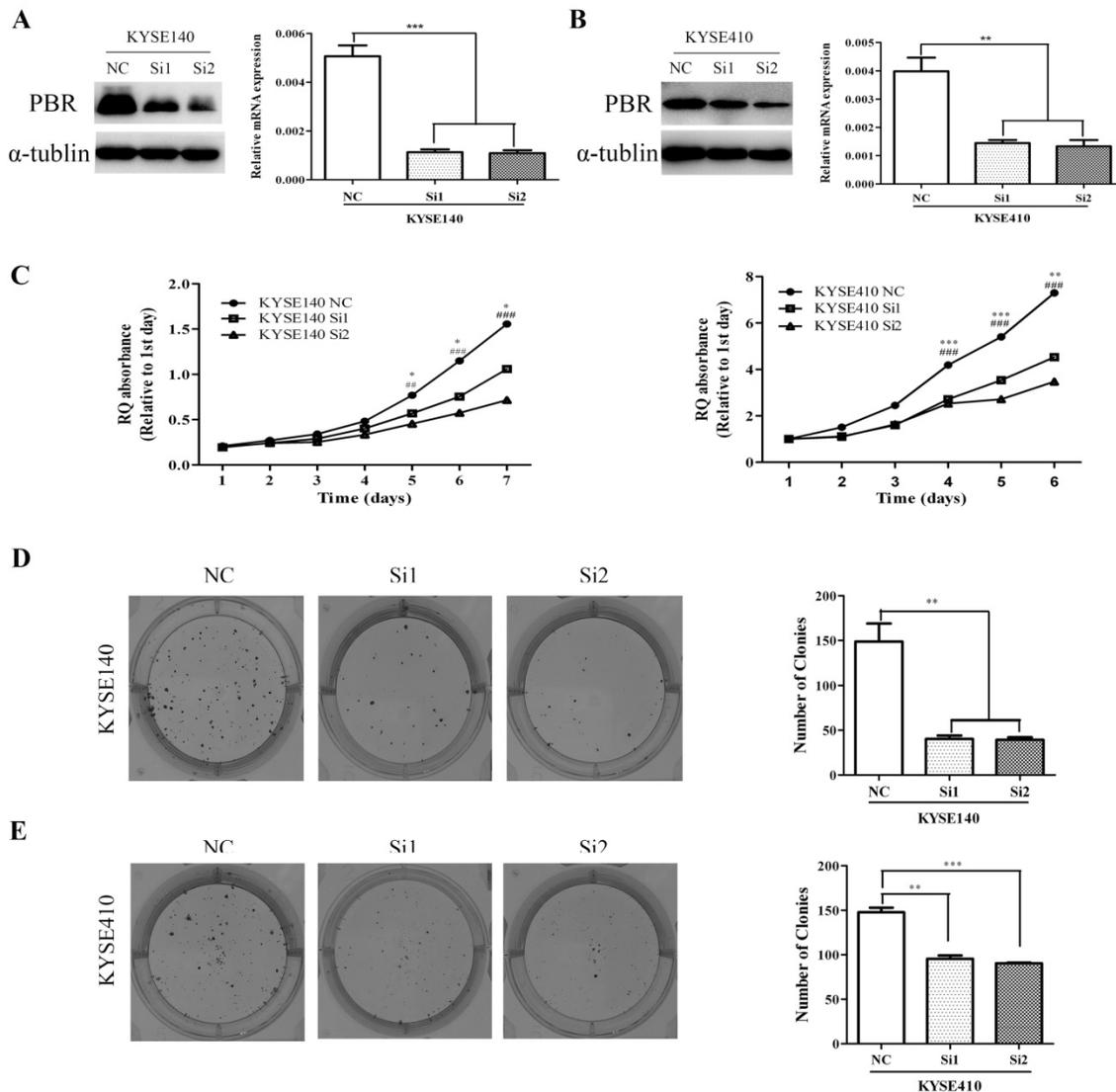
**Table 3.** Univariate and multivariate regression analysis for DFS and OS in the whole cohort

	Disease-free survival						Overall survival					
	Univariate analysis			Multivariate analysis			Univariate analysis			Multivariate analysis		
	HR	95% CI	P value <sup>a</sup>	HR	95% CI	P value <sup>a</sup>	HR	95% CI	P value <sup>a</sup>	HR	95% CI	P value <sup>a</sup>
Age <sup>b</sup>	1.212	0.858-1.710	0.277	...	...	...	1.275	0.898-1.809	0.175	...	...	...
Gender <sup>c</sup>	0.767	0.517-1.139	0.189	...	...	...	0.706	0.470-1.059	0.092	...	...	...
Smoke <sup>d</sup>	1.208	0.843-1.731	0.303	...	...	...	1.246	0.863-1.797	0.240	...	...	...
Alcohol Intake <sup>e</sup>	1.517	1.039-2.215	<b>0.031</b>	1.380	0.942-2.020	0.098	1.584	1.082-2.319	<b>0.018</b>	1.453	0.990-2.134	0.057
Location <sup>f</sup>	0.969	0.734-1.280	0.824	...	...	...	0.974	0.734-1.293	0.855	...	...	...
Surgery <sup>g</sup>	1.026	0.857-1.229	0.780	1.450	...	...	1.018	0.848-1.222	0.846	...	...	...
Differentiation <sup>h</sup>	1.545	1.204-1.982	<b>0.001</b>	2.792	1.112-1.890	<b>0.006</b>	1.511	1.175-1.942	<b>0.001</b>	1.386	1.059-1.814	<b>0.018</b>
TNM stage <sup>i</sup>	3.323	2.334-4.730	<b>&lt;0.001</b>	1.558	1.949-3.999	<b>&lt;0.001</b>	3.488	2.439-4.988	<b>&lt;0.001</b>	2.863	1.988-4.122	<b>&lt;0.001</b>
PBR <sup>j</sup>	1.823	1.248-2.663	<b>0.002</b>	1.063	1.063-2.284	<b>0.023</b>	2.161	1.456-3.207	<b>&lt;0.001</b>	1.879	1.294-2.730	<b>0.001</b>

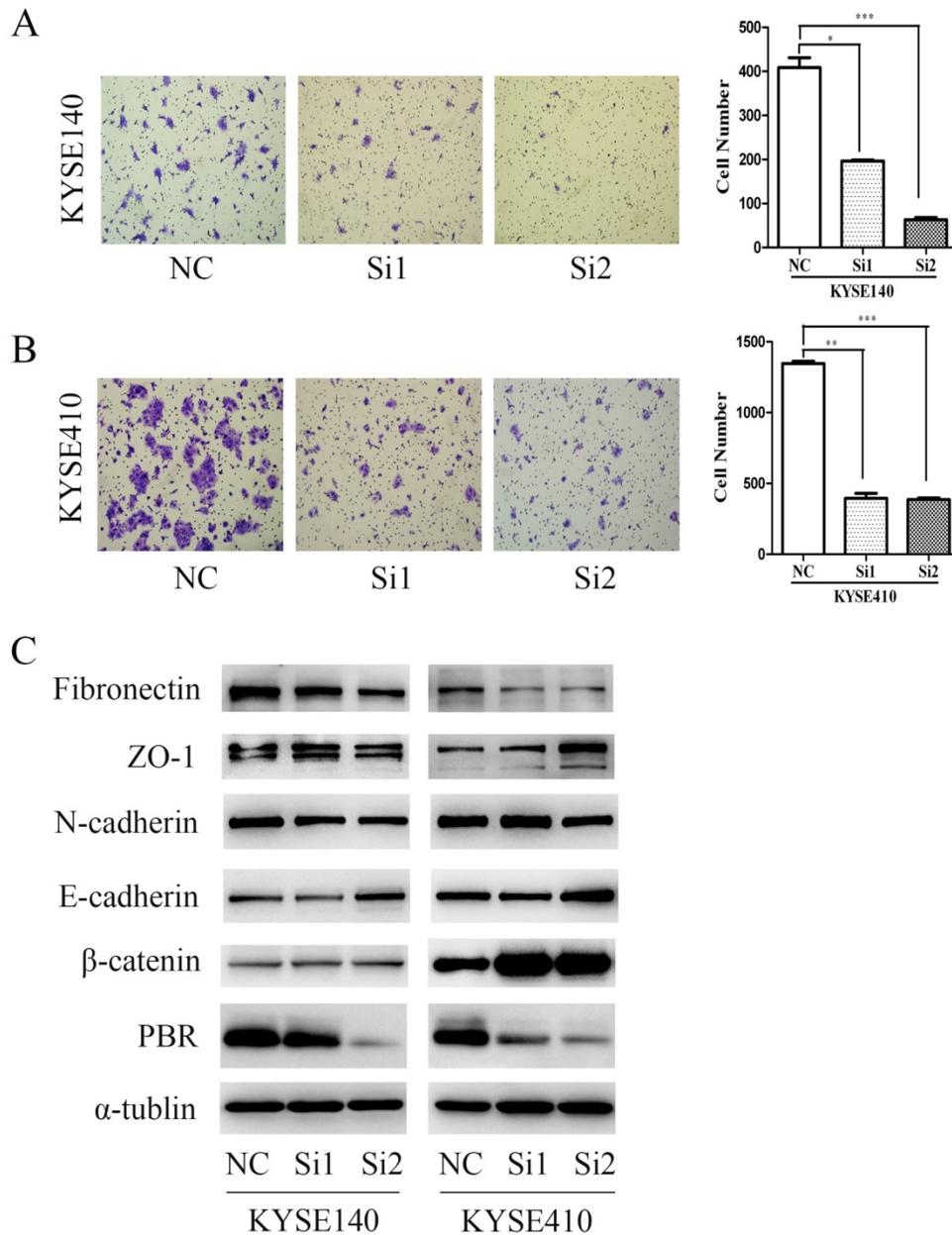
<sup>a</sup>Cox proportional hazards model; <sup>b</sup>Age $\leq$ 57 vs. Age $>$ 57; <sup>c</sup>Male vs. Female; <sup>d</sup>Smoker vs. Non-smoker; <sup>e</sup>Drinkers vs. Non-drinkers; <sup>f</sup>Upper thoracic vs. Middle thoracic vs. Lower thoracic; <sup>g</sup>Left thoracotomy vs. Thoracic-abdominal-cervical incision; <sup>h</sup>Tumor grade 1 vs. Tumor grade 2 vs. Tumor grade 3; <sup>i</sup>pTNM stage II vs. pTNM stage III; <sup>j</sup>High expression of PBR vs. low expression of PBR. HR, hazard ratio; CI, confidence interval.



**Figure 4. Nomogram model for the probability of three-, five- and ten- years overall survival (OS) predictions.** The nomogram is used by determining the total points identified by summation of the corresponding points corresponding to each of the factors. The Harrell's c-index for OS prediction was 0.696.



**Figure 5. Knockdown of PBR suppresses the proliferation and clone formation of ESCC cells.** PBR expression in KYSE140 (A) and KYSE410 (B) cells transfected with NC or PBR-targeting siRNAs was down-regulated  $\alpha$ -tubulin was used as an internal control. (C) Growth curves of KYSE140 and KYSE410 cells transfected with NC or PBR-targeting siRNAs. Colony formation assay of KYSE140 (D) and KYSE410 (E) cells infected with NC or PBR-targeting siRNAs. (\*# $p < 0.05$ , \*\*/# $p < 0.01$ , \*\*\*/### $p < 0.001$ )



**Figure 6. PBR silencing inhibited ESCC cell migration.** Transwell assay of KYSE140 (A) and KYSE410 (B) cells transfected with NC or PBR-targeting siRNAs. Data represent the means± SD. Data presented as the means± SD. (C) Western blots of ESCC cell lines (KYSE140 and KYSE410) with NC and PBR-targeting siRNA were analyzed, with α-tubulin as an internal control. (\*p<0.05, \*\*p<0.01, \*\*\*p<0.001)

From the history of PBR studies in cancer, the previous studies have determined that PBR expression is elevated in several cancerous tissues, including brain gliomas, breast cancer, colon adenocarcinoma, hepatocellular carcinoma, esophageal carcinoma, prostate cancer, and endometrial and ovarian carcinomas, suggesting a potential role of PBR in carcinogenesis [16, 18]. Further studies found that the proliferative and anti-apoptotic capacity of PBR is indirectly verified by PBR ligands in some cancers [25, 26, 28]. To date, there has been some direct evidence to confirm that PBR could play a role as a negative predictor of survival in

astrocytomas, prostate, breast and colorectal cancers [15, 29-31].

Recently, studies have reported that the differential gene expression of PBR in 17 pairs ESCC and normal tissues and PBR ligands induce apoptosis in ESCC cells [26, 28], but the prognostic value of PBR in ESCC has not been investigated. First, we confirmed the differences between mRNA and protein expression of PBR between in a relatively large scale of tumor and normal tissues, and our results are in accordance with previous finding. Second, the result of immunohistochemistry staining reconfirmed the differential expression observed in

qRT-PCR and western-blot. Furthermore, we found that the immunohistochemical staining of PBR in ESCC displayed a cytoplasmic pattern, rather than nuclear nor membranous pattern, this staining pattern is in agreement with the results in astrocytomas and colorectal cancer [15, 31], but is different from the staining in breast and prostate carcinoma, which were observed in both the cytoplasm and nucleus [30]. Some research also found that nuclear PBR is responsible for regulating cholesterol movement and proliferation of cancer cells [30, 38]. Together, those results indicated that the PBR staining pattern could be related to its different functions in different cancers.

Combined with the further statistical analysis, we found that PBR expression was positively associated with the number of lymphoid nodal metastases in ESCC, which indicated a correlation between PBR and progression of ESCC. This is the first time a relationship between PBR and lymphoid nodal metastasis of cancer has been found. Zeqiu *et al.* found that high-grade human breast cancer had higher PBR expression than low-grade cancer [30]. However, we failed to find any other correlation between PBR expression and other clinicopathological variables, including differentiation, age, gender and pTNM stage. A potential reason for this phenomenon is the heterogeneity of biomarkers in different types of tissues. Furthermore, one of the most important findings is that PBR plays a role as an independent prognostic factor in ESCC, and patients with higher PBR expression had a poorer survival rate than those with low-expression, especially in the pTNM II stage. These results indicated that in addition to the prognostic value of PBR, it could also be useful as a biomarker for earlier diagnosis of ESCC.

It is well known that a nomogram can establish a simple and visual graphic representation of a statistical predictive model. More importantly, the accuracy and usability of nomograms are accepted by more and more clinics. Therefore, we attempted to establish a nomogram to predict the probability of death in ESCC patients based on PBR expression, differentiation, and pTNM stage combined with age and sex. More importantly, this nomogram performed well in predicting about OS, because its c-index is 0.696, which is the second promising finding.

To further investigate the biological significance and functions of PBR in ESCC cell lines, we knocked down PBR expression in two ESCC cell lines using two siRNA duplexes. We found that ESCC cells had a dramatically lower proliferation rate, a smaller number of colonies, and less migration ability after siPBR treatments. Together, these results gave us more reasons to believe that PBR might be an

oncogene in ESCC. Reviewing the previous results regarding the association between PBR and lymphoid nodal metastasis, suggests that the function of PBR has a large effect on the metastasis of ESCC. At the same time, epithelial-mesenchymal transition (EMT) is a trigger that leads to dissociation of carcinoma cells from primary carcinomas, followed by migration and dissemination to distant sites.[34] Therefore, we thought that PBR may influence the metastasis by regulating EMT-related proteins in ESCC. To examine and confirm this hypothesis, we compared the expression of EMT-associated proteins in the negative control and siPBR treatment ESCC cells. Intriguingly, knocking down PBR expression in ESCC cell lines can cause up-regulation of Z0-1,  $\beta$ -catenin and E-cadherin, accompanied by the down-regulation of Fibronectin and N-cadherin. Although other previous papers noted PBR function in cancer metastasis and examined the expression of PBR and Vimentin, they failed to note the relationship between PBR and EMT other related proteins, perhaps because of the experimental limitations of using only one EMT-associated protein (Vimentin) [11]. PBR regulates EMT-associated proteins in ESCC, which could be a potential mechanism underlying its biological behaviors and prognostic value, and a potential trigger to promote lymphoid nodal metastasis. Despite the fact that PBR has been known for several decades, the function of PBR in cancers is not yet fully understood. Previous studies have almost always focused on the relationship between PBR and apoptosis [25, 26, 28]. The third remarkable point is that this is the first reported finding that EMT-associated proteins are regulated by PBR in ESCC, which maybe a potential mechanism to explain PBR as a predictor for ESCC patients. Of course, the exact mechanisms require further experimental exploration.

Some previous reports showed that the expression of PBR could influence chemosensitivity in some cancers [39], but we failed to clarify whether this relationship exists in ESCC, because our study was limited to enrolled patients who underwent radical esophagectomy without chemotherapy. However, some previous studies have suggested the importance of EMT in conferring chemoresistance in breast and pancreatic cancer models [40, 41], which seems to provide a theoretical possibility for our detailed investigation. Our study has two other potential limitations. First, as a retrospective study, the number of patients enrolled in our study was not very large. Second, the c-index of the nomogram shows that the model has a good, but not perfect predictive ability, and it would be better to use an external study cohort to validate this nomogram. Therefore, further studies

are needed to confirm and perfect these preliminary results.

In conclusion, we firstly confirmed that PBR is more highly expressed in ESCC than in adjacent non-cancerous esophageal squamous epithelium at mRNA and protein levels, and we found that ESCC patients with higher PBR levels exhibited a substantially poorer survival rate. In addition, we firstly found that PBR is an independent prognostic factor in ESCC using histochemistry analysis. Moreover, by enrolling PBR expression and any other the independent prognostic factors, a nomogram was firstly established to predict the probability of death for ESCC patients, with a good predictive ability. Besides that, knocking down PBR contributed to decreased proliferation, colony formation, and migration in ESCC cells, and also regulated EMT-associated proteins. Taken together, our study provides convincing evidences to support PBR promotes ESCC progression and metastasis using clinical data and vitro experiments. Furthermore, this is the first time a nomogram based on PBR expression level, which performs a well in predicting survival and could directly be used to guide clinical treatment, has been established.

## Abbreviations

PBR, peripheral benzodiazepine receptor; TSPO, translocator protein; EC, esophageal cancer; ESCC, esophageal squamous cell carcinoma; EMT, epithelial-mesenchymal transition; IHC, immunohistochemistry; IRS, immunoreactivity score; qRT-PCR, quantitative real-time PCR; DFS, disease-free survival; OS, overall survival.

## Supplementary Material

Related computerized programs for the nomogram using R software in our study.  
<http://www.jcancer.org/v08p3343s1.pdf>

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## Competing Interests

The authors have declared that no competing interest exists.

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